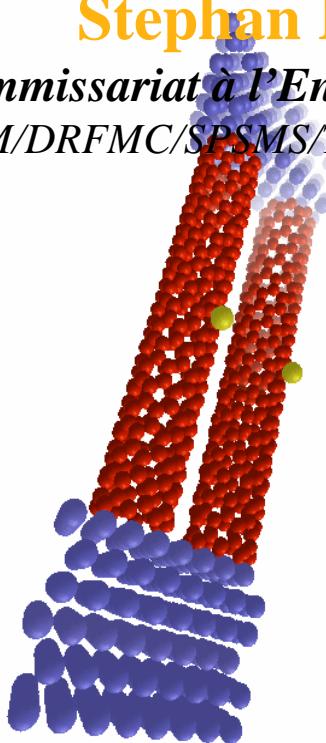


*Quantum Transport in Post-CMOS Molecular  
Material and Devices:  
Carbon Nanotubes & Semiconducting Nanowires*



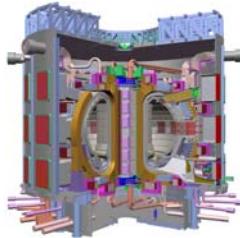
Stephan Roche

*Commissariat à l'Energie Atomique  
DSM/DRFMC/SPSMS/Theoretical Group*



# Commissariat à l'Energie Atomique in Y2006

cea



## ITER: Mastering nuclear fusion

*The main goal of ITER is the study of burning plasmas, i.e. plasmas where heating is mainly provided by the alpha particles created from fusion reactions.*



## TERA10: Supercomputing leading facility

### Europe's largest supercomputer

Rank 5th Top500 (august 2006)

**Performance** 60 Teraflops of computing power, 27 Terabytes of memory  
1 Petabytes of disc space with a throughput of 100GB/s



## NEUROSPIN: From Physics to the Human Brain

*pushing as far as possible the current limits of Magnetic Resonance Imaging (MRI) and spectroscopy to study the central nervous system, from mice to humans.*



## MINATEC:

*European leading Centre for innovation in micro & nanotechnology*

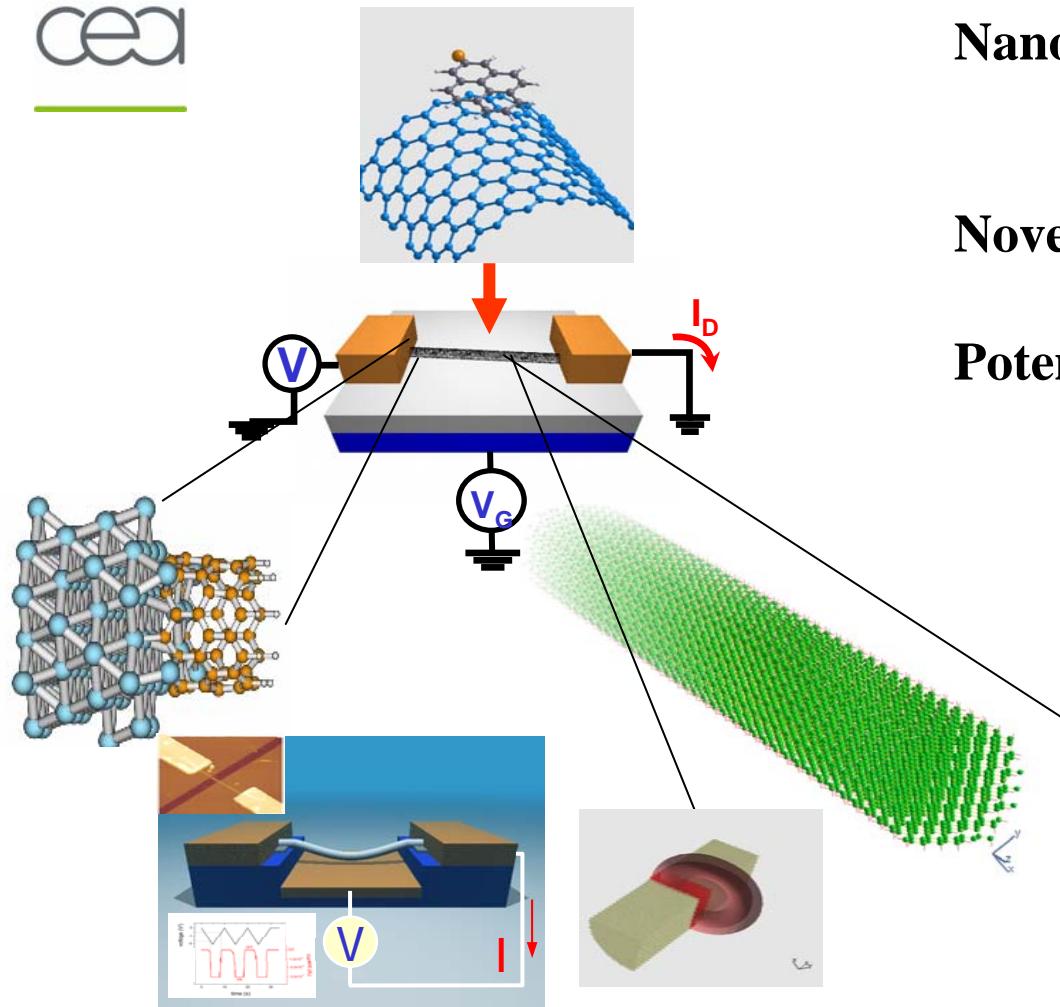


TNT2006 !

# POST-CMOS molecular materials & devices

## Carbon Nanotubes -- Semiconducting Nanowires -- Graphene

cea



Nanodevices performances

*nanoelectronics*

*optoelectronics*

Novel Physical behaviors

*spintronique,...*

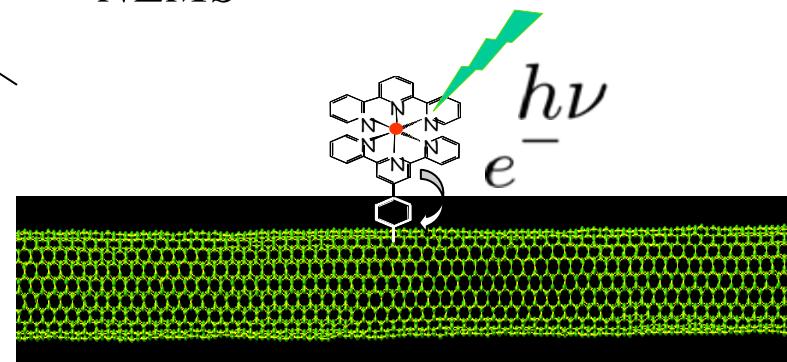
Potential for novel functionalities

*(bio)-chemical Sensing*

*molecular memories,*

*photo-switches,...*

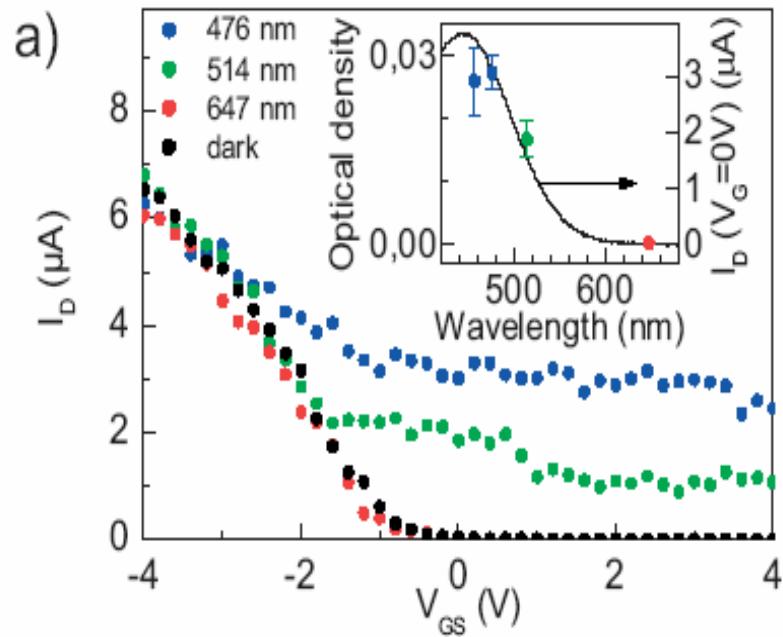
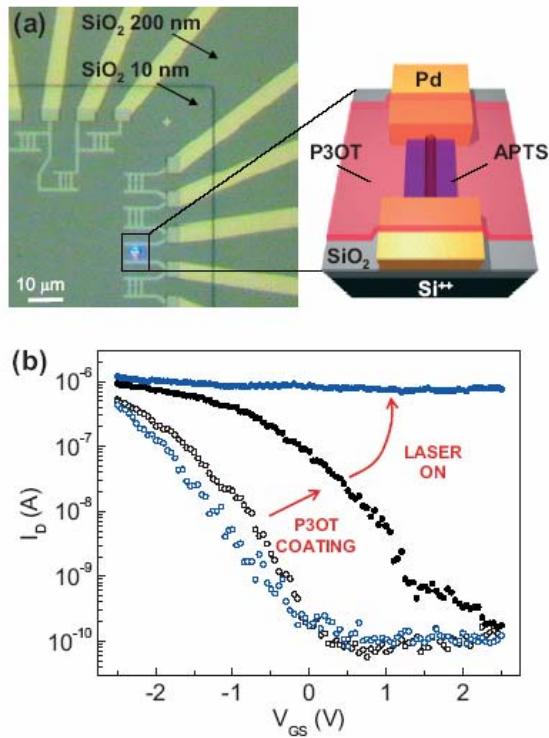
*NEMS*



DOI: 10.1002/adma.200601138

# Optoelectronic Switch and Memory Devices Based on Polymer-Functionalized Carbon Nanotube Transistors\*\*

By Julien Borghetti, Vincent Derycke,\* Stéphane Lefrant, Pascale Chenevier, Arianna Filoromo, Marcelo Goffman, Dominique Vuillaume, and Jean-Philippe Bourgoin



# Quantum Transport Modelling & Simulation

**Our strategy :** *Development of quantum transport approaches to tackle fundamental physics in realistic models (predictability efficiency)*

cea

**This implies :** *Order N methods*

*Ab-initio & sophisticated tight-binding models*

*Pushing the limits beyond mean field and DFT...*

**This TALK**

## Coherent Transport

**Intrinsic transport / linear response regime** (Kubo formula)

- *Elastic mean free path in disordered systems (charge mobilities, etc..)*
- *Weak localization regimes (magnetoresistance patterns)*
- *Conductance scaling*

**Quantum Transport for open systems** (Landauer-Büttiker formula)

- *Ballistic transport and contact dependent transmission phenomena*

## Out-of –equilibrium regimes & Inelastic Transport

**Poisson-Schrödinger solver** (*Field-effect Transistor physics*)

**QM treatment of el-ph coupling** (*beyond mean field*,..)

# Quantum Transport by Kubo approach

Solving time dependent  
Schrödinger equation

Efficient order N real space methods

$$|\Psi(t)\rangle = \exp(-i\frac{Ht}{\hbar})|\Psi(0)\rangle$$

$$\frac{e^2 h}{\Omega} \text{Tr}[\hat{V}_x \delta(E-H) \hat{V}_x \delta(E-H)] = \lim_{t \rightarrow \infty} \text{Tr}[\delta(E-H) \frac{(\hat{X}(t) - \hat{X}(0))^2}{t}]$$

Quantum dynamics  
(conduction regimes)

Kubo Conductance  
(conductance scaling)

$$D(E, t) = \frac{1}{t} \langle (\hat{X}(t) - \hat{X}(0))^2 \rangle_E$$

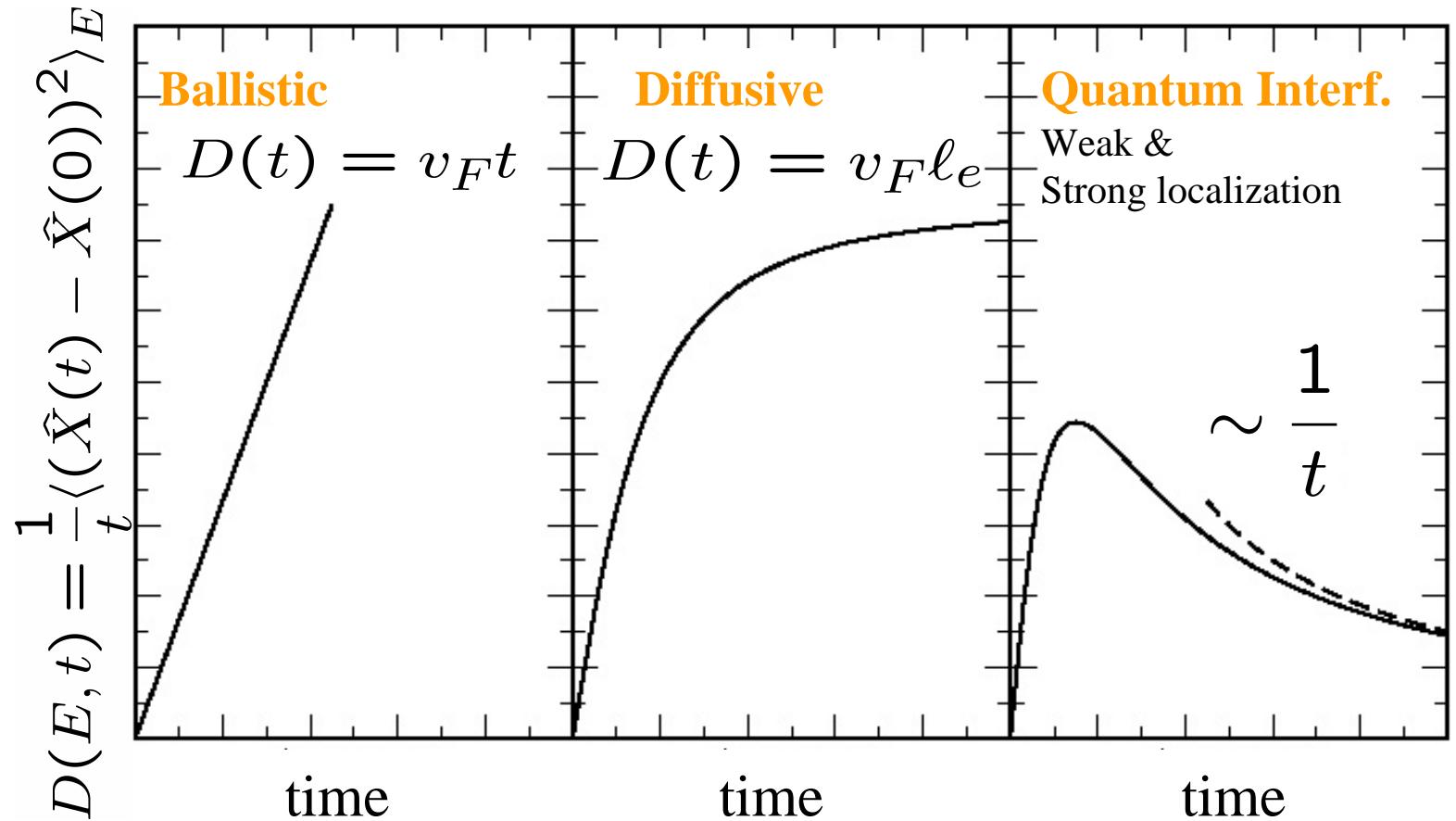
$$G(E) = \frac{2e^2}{L_{syst}} \lim_{t \rightarrow \tau_L} \text{Tr}[\delta(E - H) D(E, t)]$$

S.R, D. Mayou, **Phys. Rev. Lett. 79, 2518 (1997)**

S.R, R. Saito, **Phys. Rev. Lett. 87, 246803 (2001)**

# Quantum Transport Regimes & Conductance scaling

cea



$$G(E) = \frac{2e^2}{h} N(E)$$

$$G(E) = \frac{2e^2}{h} \frac{\ell_e}{L_{syst}}$$

$$G(E) = \frac{2e^2}{h} \left( \frac{\ell_e}{L_{syst}} - \delta\sigma \right)$$

$$G(E) = \frac{2e^2}{h} \exp\left(-\frac{\ell_e}{L_{syst}}\right)$$

# Effective $\pi$ electrons-model

**Hybrid molecular orbitals**

Cohesion  $s, p_x, p_y \equiv \sigma$

Electronic properties close to  $E_F$   $p_z \equiv \pi$

cea

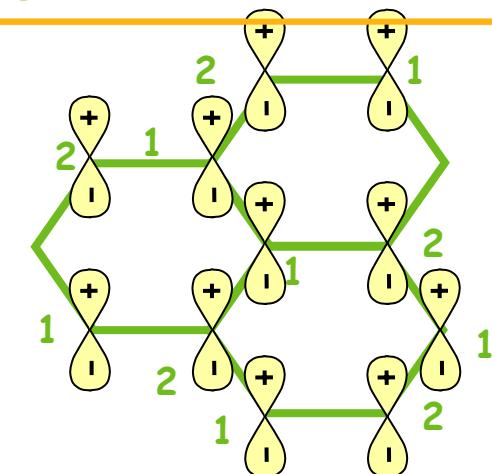
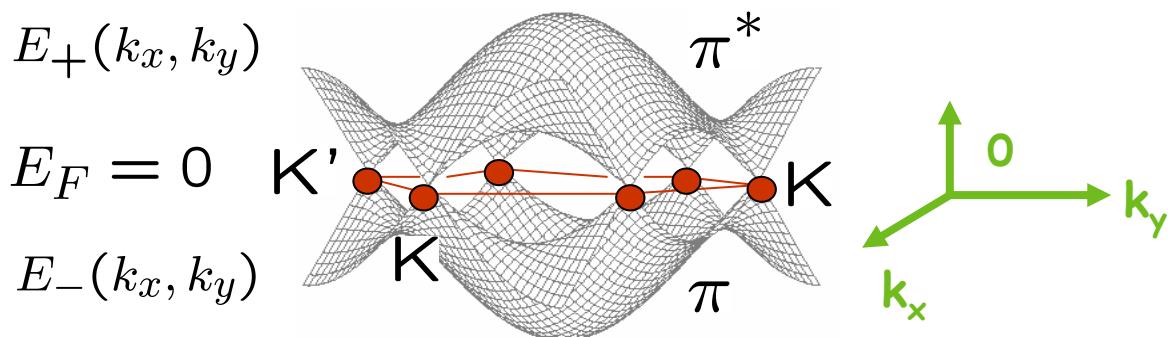
2 atoms/ unit cell

$\gamma_0$  coupling between orbitals

$p_z$

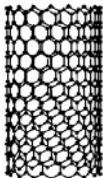
$$H(\vec{k}) = \begin{bmatrix} 0 & f(\vec{k}) \\ f^*(\vec{k}) & 0 \end{bmatrix} \quad f(\vec{k}) = \gamma_0 \sum_{\alpha} e^{i\vec{k} \cdot \vec{\tau}_{\alpha}}$$

$$E_{\pm}(k_x, k_y) = \pm \gamma_0 \left( 3 + 4 \cos\left(\frac{\sqrt{3}k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + 2 \cos(k_y a) \right)^{1/2}$$



1th Brillouin Zone

# Nanotubes: Electronic Properties



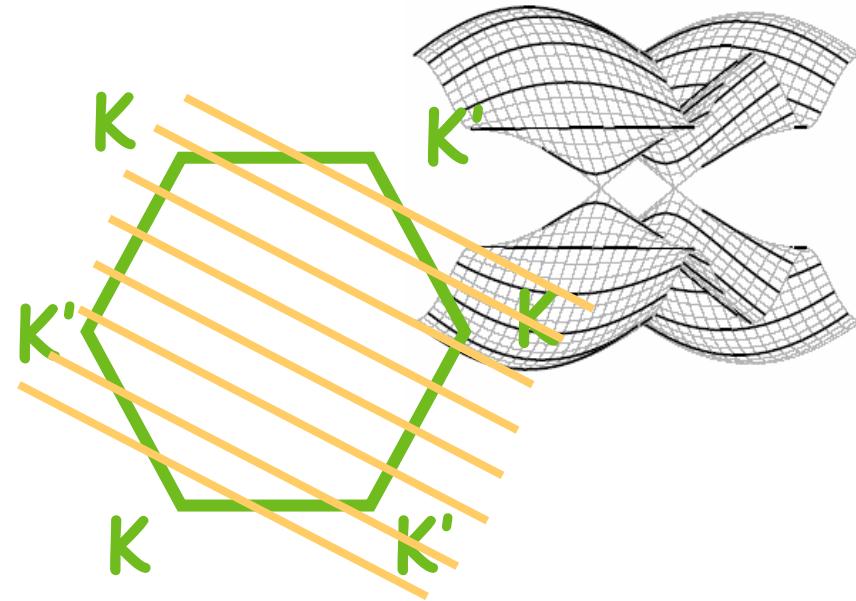
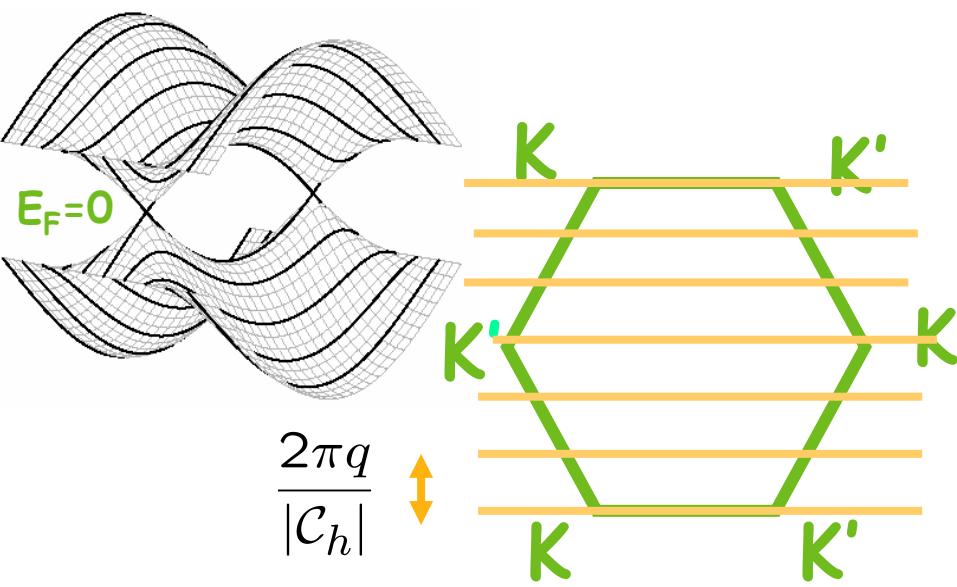
## Periodic boundary conditions

$$-\frac{\pi}{|\vec{T}_{(n,m)}|} \leq k_y (= k) \leq +\frac{\pi}{|\vec{T}_{(n,m)}|} \quad k_x = \frac{2\pi q}{|\vec{C}_{(n,m)}|} \quad (q = 1, N)$$

## Helicity

$$\vec{C}_{n,n} = n(\vec{a}_1 + \vec{a}_2)$$

$$\vec{C}_{n,m} = (3p \pm 1)\vec{a}_1$$



# Energy-dependent mean free path

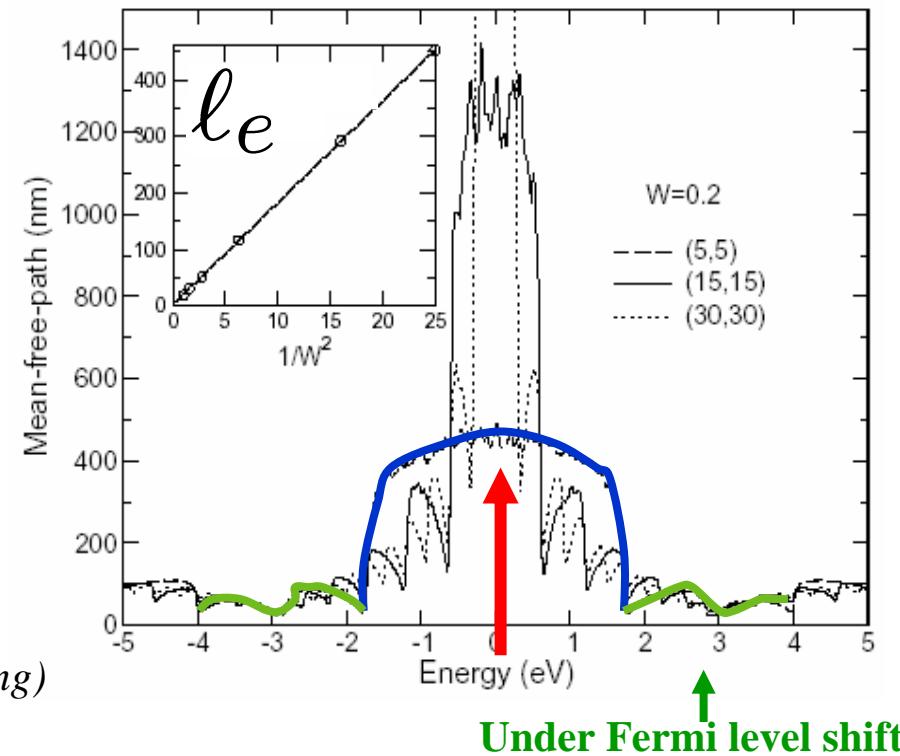
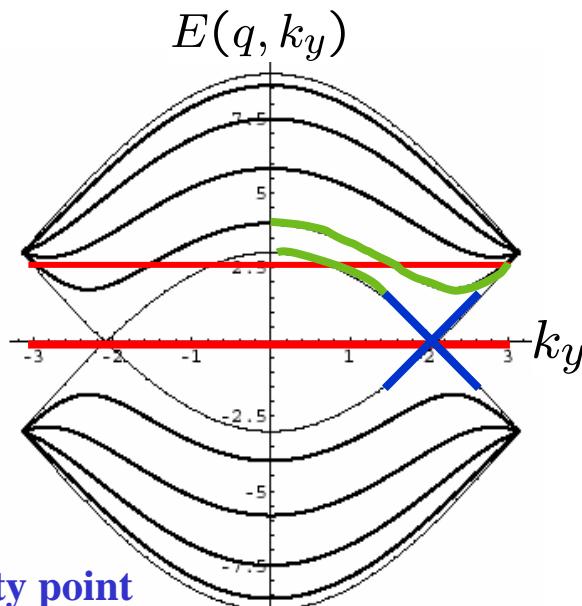
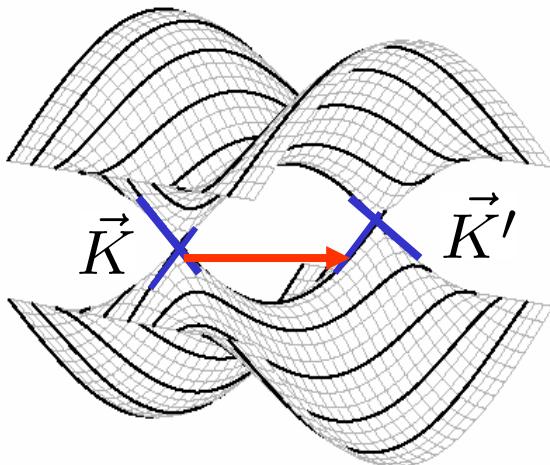
cea

(5,5)

Nanotube

Close to the  
charge neutrality point

Fermi Golden Rule (disorder-induced backscattering)



Under Fermi level shift

$$\frac{1}{\tau(E_F)} = \frac{2\pi}{\hbar} \left| \langle \Psi_{n_1}(k_F) | \hat{\mathcal{U}} | \Psi_{n_2}(-k_F) \rangle \right|^2 \rho(E_F)$$

$$\ell_e = v_F \tau(v_F) \longrightarrow \ell_e = \frac{18}{\sqrt{3}} \left( \frac{\gamma_0}{W} \right)^2 \|\vec{C}_h\|$$

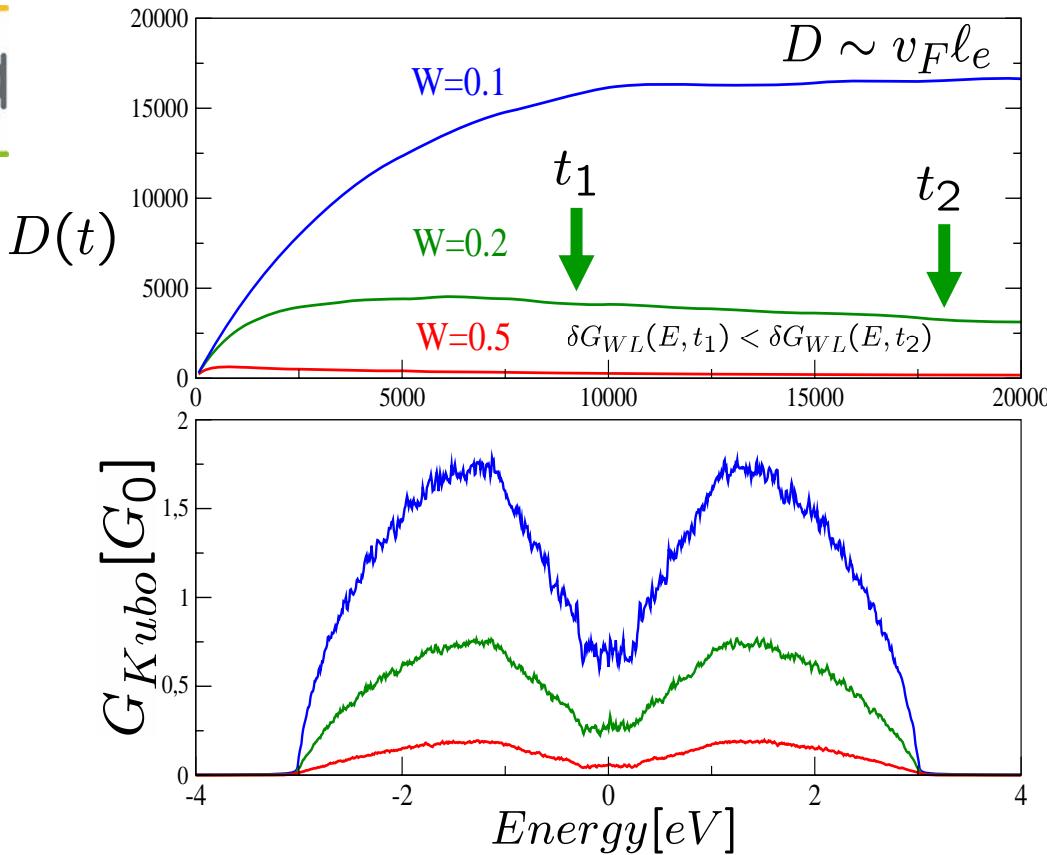
Anderson-type elastic disorder (W)

F. Triozon, S.R, A. Rubio, D. Mayou, **Phys. Rev. B 69, 121410 (2004)**

# Weak localization & coherence length scaling

Nanotube: (10,10)

Anderson type static disorder strength = W



→ Saturation regime  
Mean free path

$\ell_e$

$$\tau_{class}(E) = \frac{2e^2}{h} N_\perp \frac{\ell_e(E)}{L(E, t)}$$

Scaling properties  
Coherence length

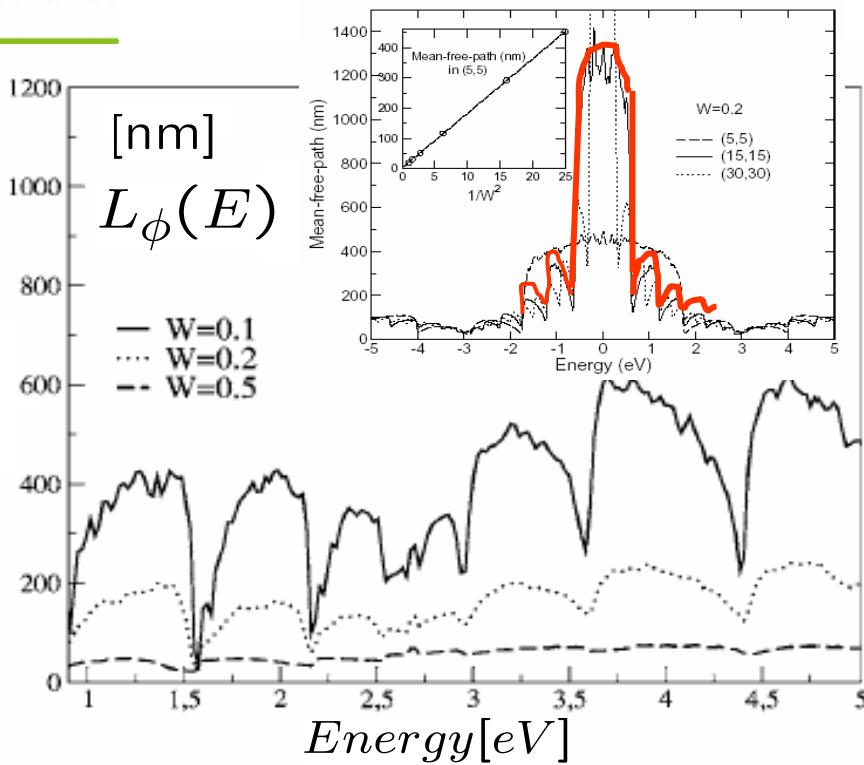
$$\delta G_{WL}(E) = \frac{2e^2}{h} N_\perp \frac{\ell_e(E)}{L(E, t)} - G_{Kubo}(E)$$

→  $L_\phi(E)$

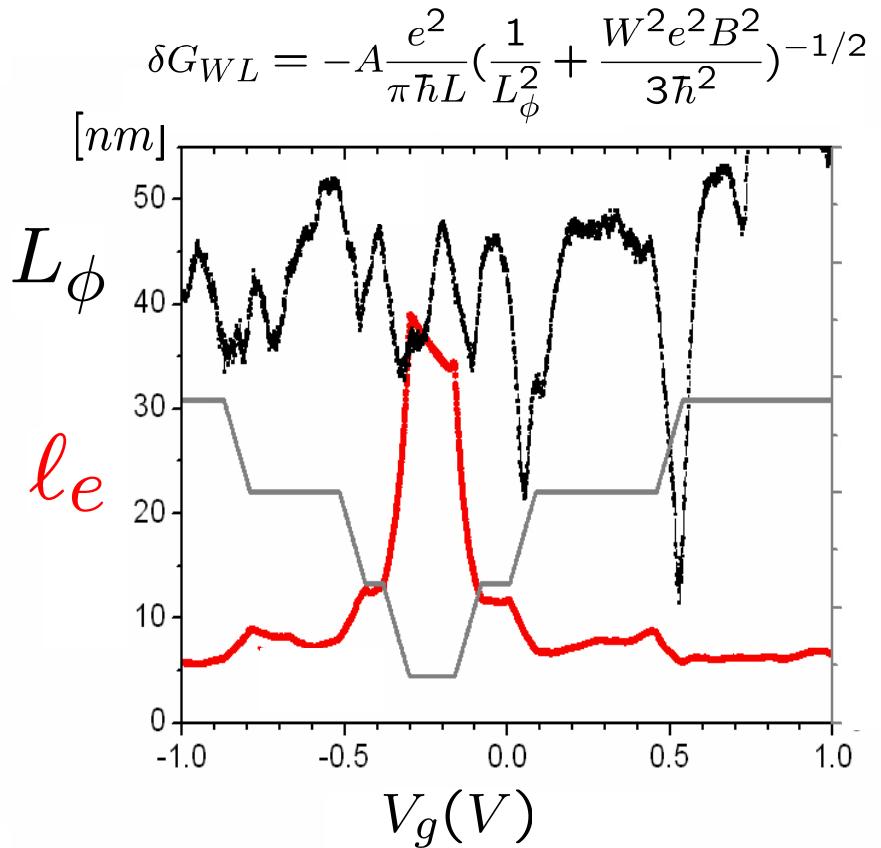
# Coherence lengths/time in the diffusive regime

$$\delta G_{WL}(E) = \frac{2e^2}{h} \frac{L_\phi(E)}{L(E,t)}$$

cea



Experimental results  
*Exploring Weak localization  
under gate voltage*

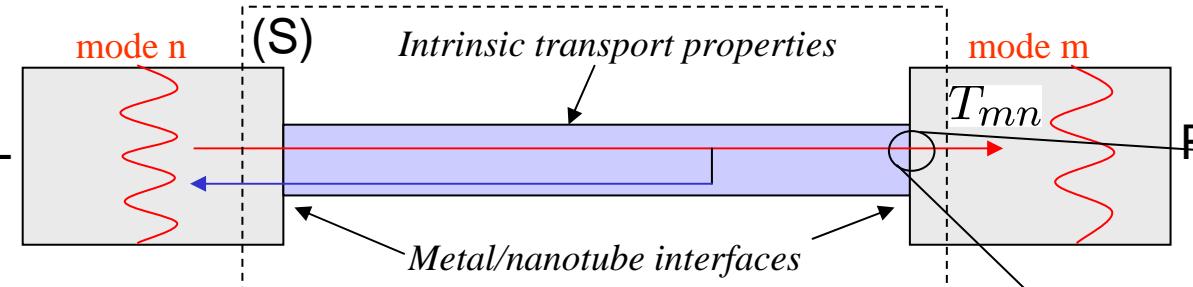


S.R, J. Jiang, F. Triozon, R. Saito,  
**Phys. Rev. B 72, 113410 (2005)**

B. Stojetz et al., **PRL 94, 186802 (2005)**

# Quantum Transport by the Landauer-Büttiker Approach

cea



$$\frac{dI}{dV} = \frac{2e^2}{h} \sum_{m,n} T_{mn} = \mathcal{G}(E) = \frac{2e^2}{h} \text{Tr}[\Gamma_L G^r \Gamma_R G^a]$$

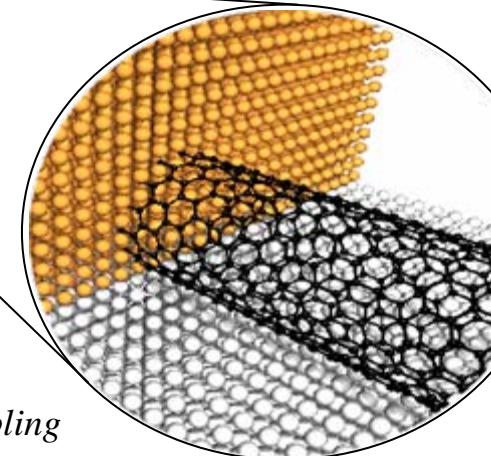
$$G^{r,a}(E) = \frac{1}{E - \mathcal{H}_{Sys} - (\Sigma_L^{r,a}(E) + \Sigma_R^{r,a}(E))}$$

Self-energy due to  
metal/nanotube coupling

Finite size system

$$\Sigma_{L,R}^{r,a}(E) = V_{L,R-Sys}^\dagger g_{L,R}^{r,a}(E) V_{L,R-Sys}$$

$$\Gamma_{L,R}(E) = i[\Sigma_{L,R}^r(E) - \Sigma_{L,R}^a(E)]$$

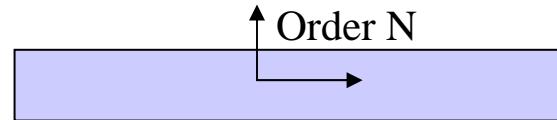


\*) Decimation recursive techniques

\*) Order N (bi-orthogonalization process) and continuous fraction expansion

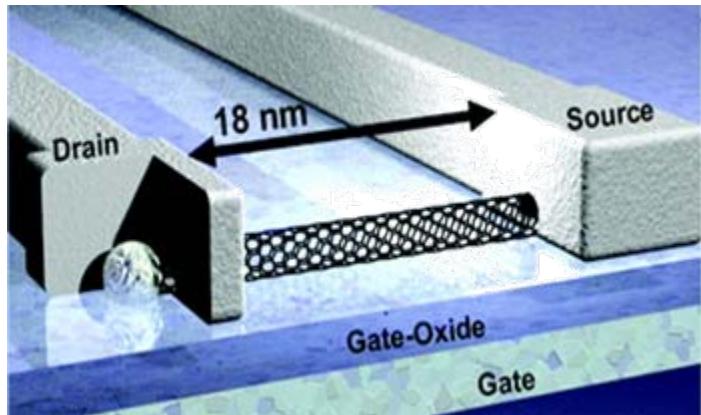
$$\mathcal{H} = \mathcal{H}_{Sys} + \Sigma_L^r + \Sigma_R^r \quad \text{Effective non-symmetric H}$$

$$\mathcal{G} = \frac{2e^2}{h} \sum_{\alpha,\beta,\alpha',\beta'} \langle \beta | \Gamma_R | \alpha \rangle \langle \alpha | G^r | \alpha' \rangle \langle \alpha' | \Gamma_L | \beta' \rangle \langle \beta' | G^a | \beta \rangle$$



# Ballistic regimes & field effect transistors

## Semiconducting CNTs

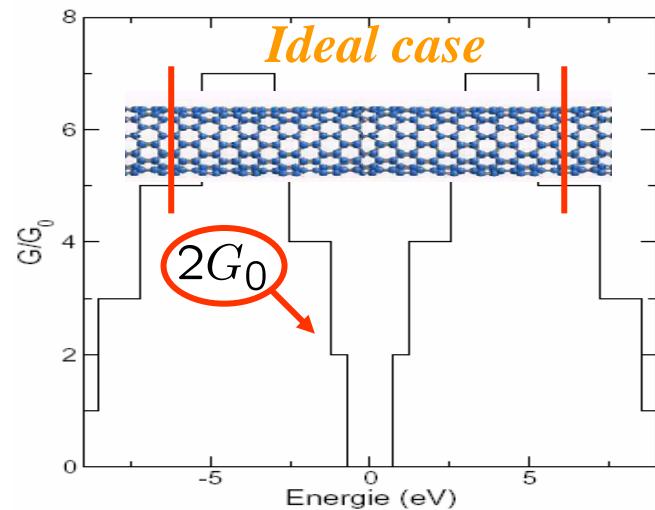
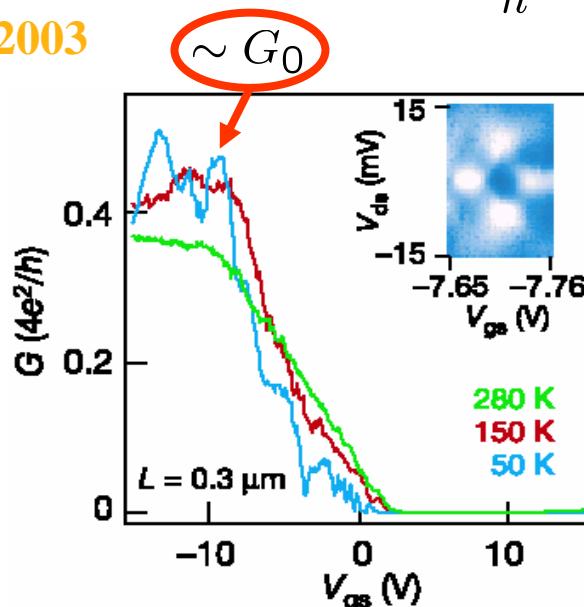
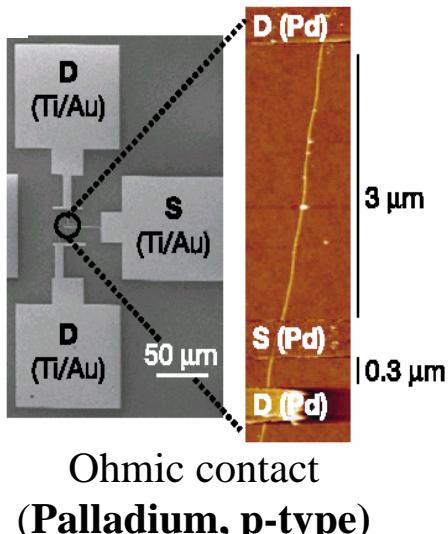


Ballistic transport  $\ell_e \geq L_{\text{canal}}$

$$G(E) = \frac{2e^2}{h} N_\perp(E)$$

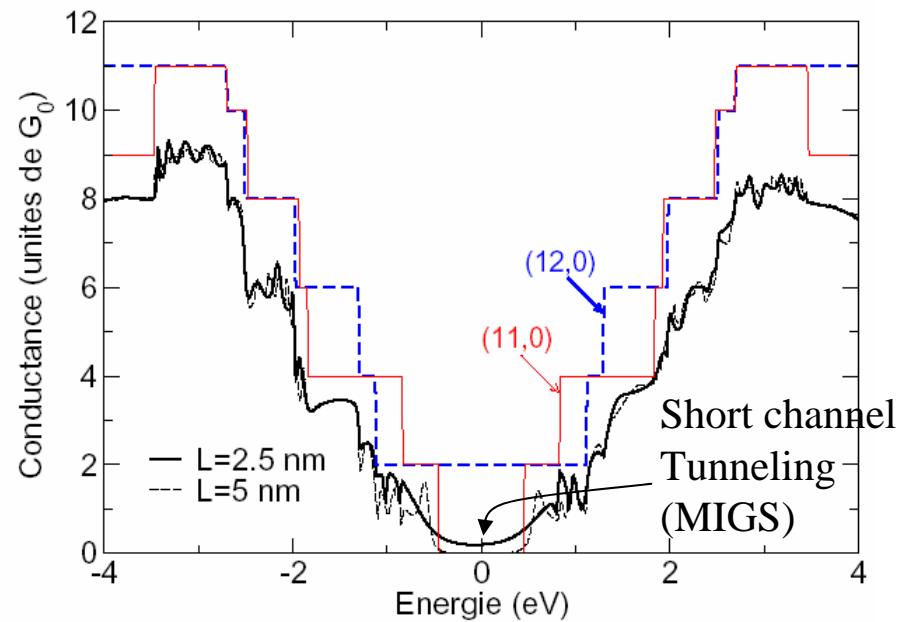
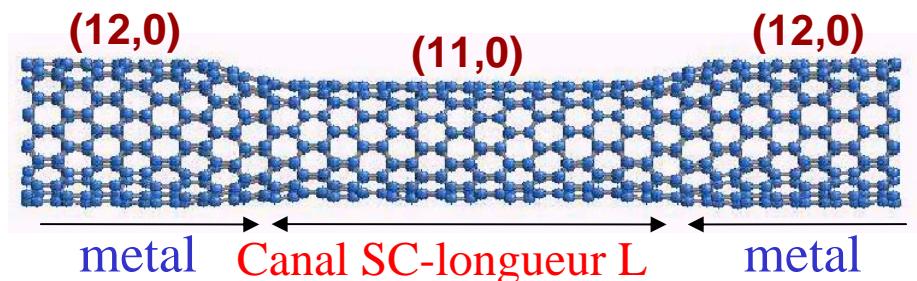
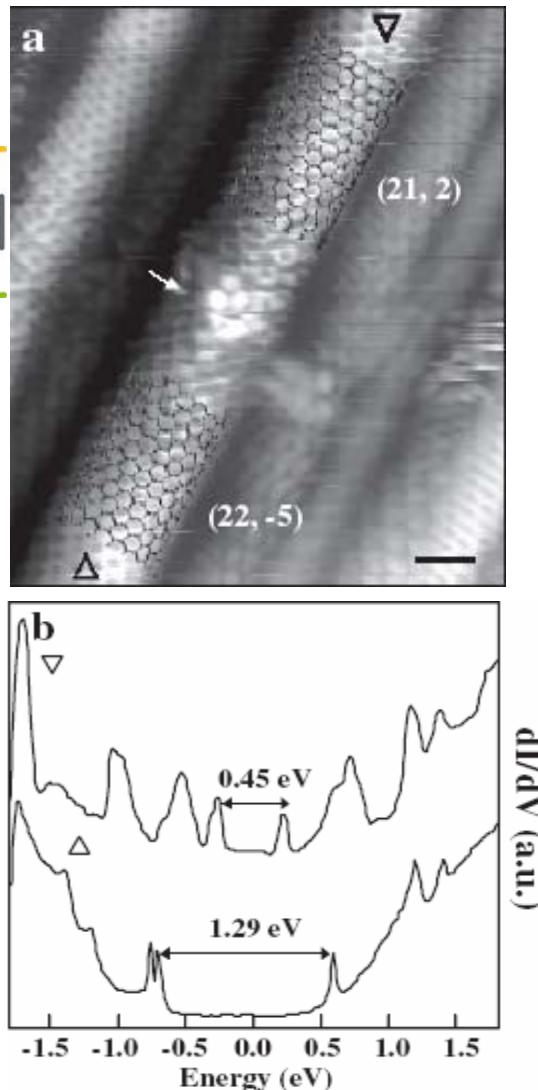
$$\frac{2e^2}{h} = G_0 = (13\text{k}\Omega)^{-1}$$

A. Javey et al., Nature 2003



# Metal/SC/Metal intramolecular junctions

cea



T < 1 quantum reflections at interfaces

T. Odom, J. Huang, C.M. Lieber,  
**J. Phys. C. 14 R145 (2002)**

F.Triozon, Ph. Lambin, SR, **Nanotechnology (2005)**

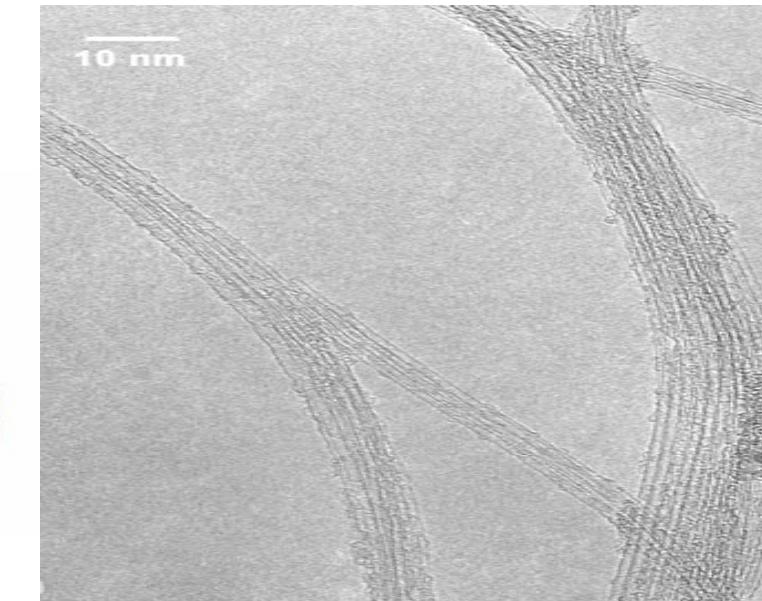
# *Properties of chemically modified Carbon nanotubes*

- Substitutions Nitrogen (*n*) or Boron (*p*)-
- Physisorption potassium-
- Physiorption benzene, azulene,..

# Doped nanotubes with Nitrogen or Boron

Incorporation of N<sub>2</sub>(gas) during the synthesis

cea



M. Glerup *et al.*  
Chem. Phys. Lett. 387, 193 (2004)

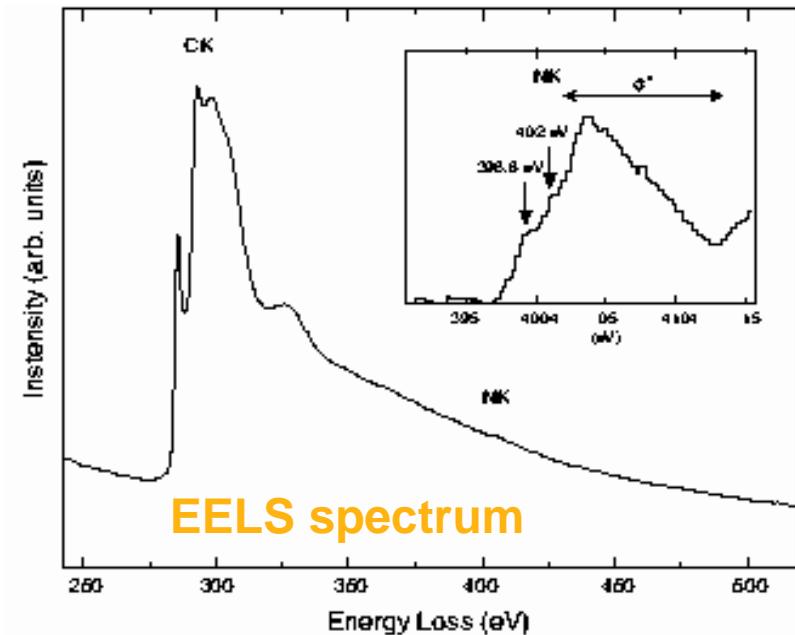


Fig. 3. EEL core electron K-shell spectra of CN<sub>x</sub> nanotube bundles (sample 4). The nanotubes are doped with around 1 at.% nitrogen. For the C-K edge well defined  $\pi^*$  and  $\sigma^*$  fine structure features are observed which are evidences of sp<sup>2</sup>-hybridisation in graphitic structures. The inset is a magnification of the N-K edge.

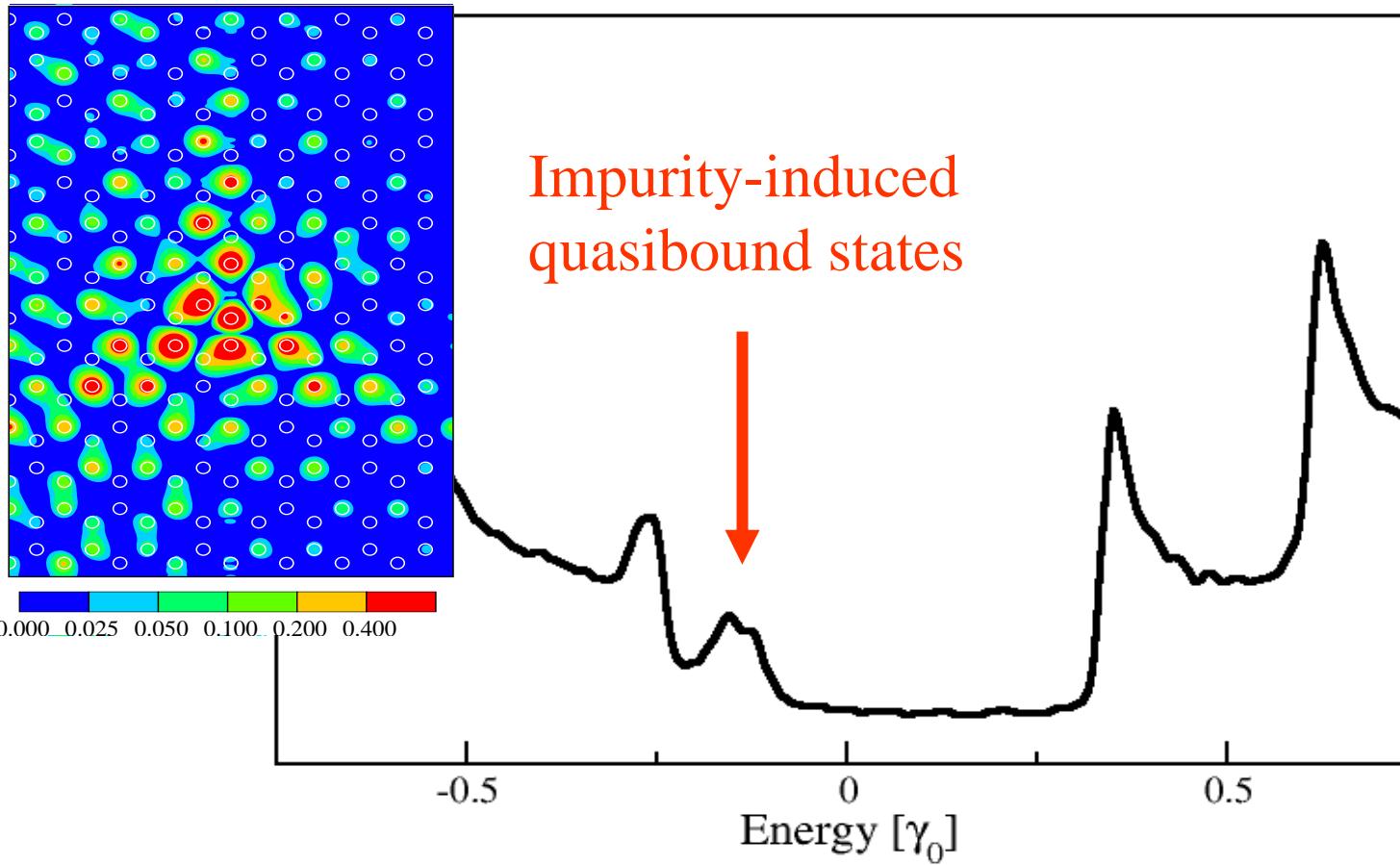
# Substitution de bore dans un nanotube

cea

modèle *Zone Folding* (ZF)

Nanotube (10,10)

**BORON: 0.5 %**

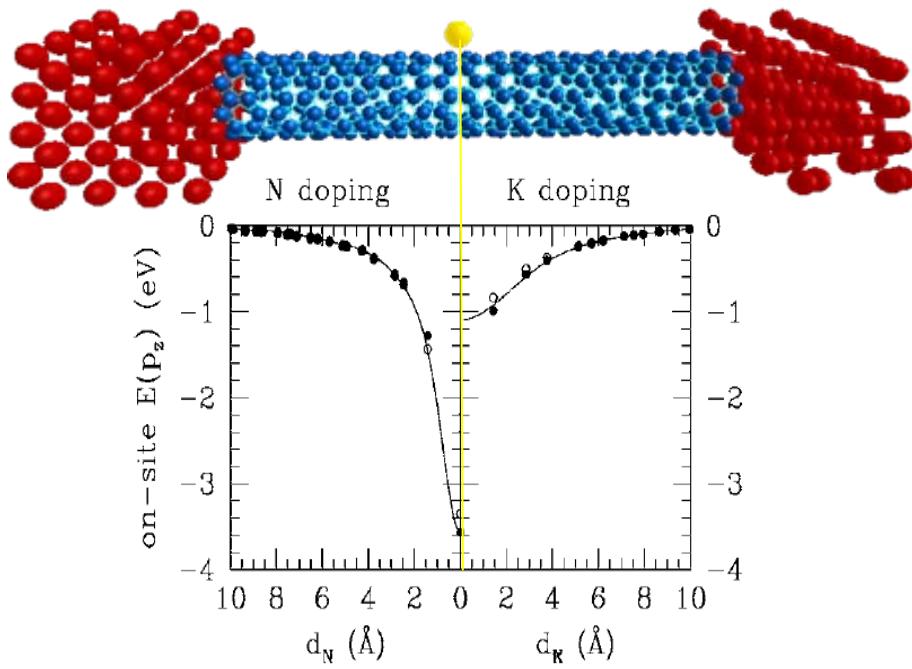


# Chemically Doped Carbon Nanotubes

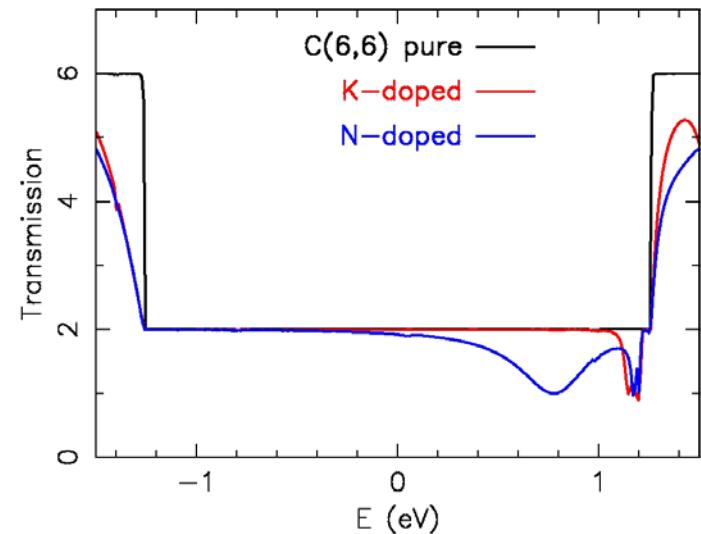
cea

Combining

- ab-initio calculations* (accuracy of energetics at atomistic level)
- effective tight-binding* (performing large scale studies)



Single-impurity case (X. Blase)  
Transport calculation (SIESTA)



Gaussian potential  
Effective model

$$\tilde{\mathcal{H}}_{NT}(\alpha) = -\tilde{\gamma}_0 \sum_{\langle i,j \rangle} \hat{c}_i^\dagger \hat{c}_j + hc + \sum_i \tilde{V}_{\alpha i} \hat{c}_i^\dagger \hat{c}_i$$

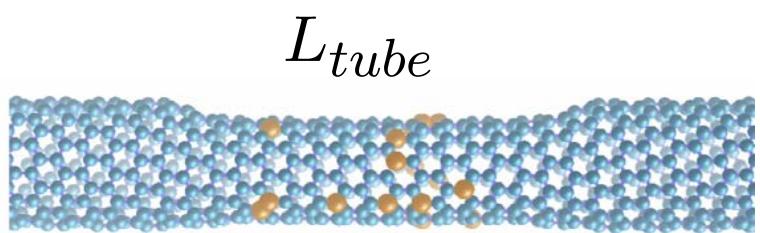
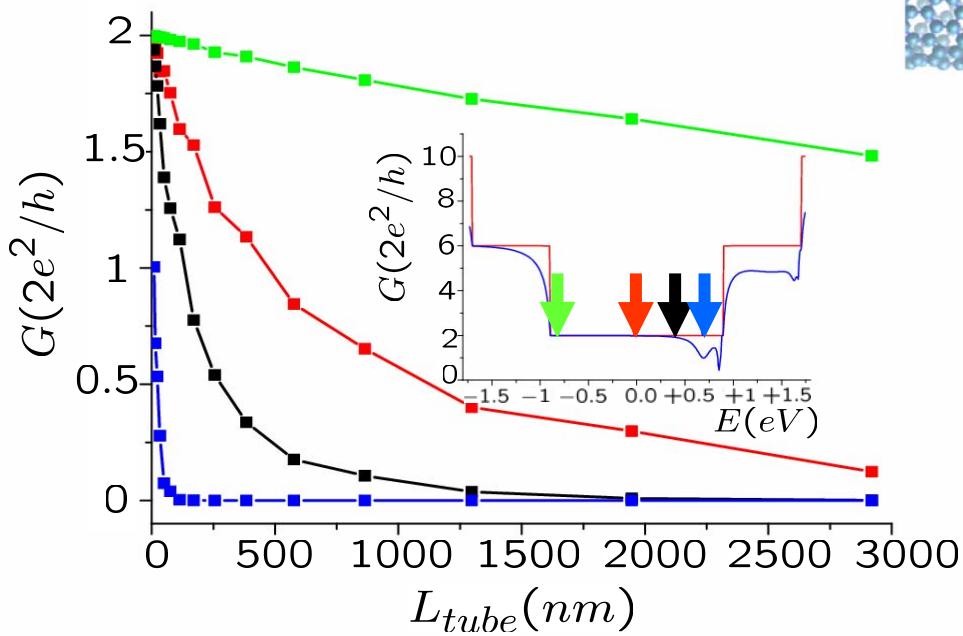
# Scaling study of quantum conductance

Chemically disordered nanotubes with length up to several microns

cea

$$\mathcal{G}(\epsilon) = \mathcal{G}_0 T(\epsilon) \quad T(\epsilon) = \text{Tr}\{\hat{t}_{LR}(\epsilon) \hat{t}_{LR}^\dagger(\epsilon)\}$$

Metallic nanotube (10,10)  
Doping N = 0.1%



Quasi-ballistic

Diffusive

*elastic mean free path+QIE*

Strong localization

# Statistical Analysis of T(E) & Transport Regimes

**Quasi-ballistic**

$$L \ll l_e \ll l_\phi$$

$$\langle T \rangle = N_\perp(\epsilon)$$

**Diffusive**

$$l_e \ll L \ll l_\phi$$

$$\langle T \rangle = N_\perp(\epsilon) \frac{l_e(\epsilon)}{L}$$

**Localized**

$$\xi \ll L \ll l_\phi$$

$$\langle \ln T \rangle = -\frac{L}{\xi}$$

$$\langle T \rangle = \frac{N_\perp(\epsilon)}{1 + \frac{L}{l_e(\epsilon)}}$$



*elastic mean free path*

$$\ell_e$$

## Thouless relationship (agreement with RMT)

$E(\text{eV})$	$\ell_e(\text{nm})$	$\ell_e^K(\text{nm})$	$\xi(\text{nm})$	$\xi/\ell_e$	$\ell_e^K/\ell_e$
-0.78	$8424 \pm 95$	$\approx 6766$	/	/	$\approx 0.8$
0.00	$466 \pm 16$	$\approx 460$	$841 \pm 38$	$1.8 \pm 0.1$	$\approx 1.0$
0.35	$126 \pm 9$	$\approx 91$	$170 \pm 4$	$1.3 \pm 0.1$	$\approx 0.7$
0.69	$8.2 \pm 0.8$	$\approx 6.4$	$8.75 \pm 0.02$	$1.1 \pm 0.1$	$\approx 0.8$
1.25	$24.7 \pm 2.7$	$\approx 17$	$97.2 \pm 1.7$	$3.9 \pm 0.4$	$\approx 0.7$

$E(\text{eV})$	$\ell_e(\nu = 1.21)$	/	$\xi(\nu = 1.21)$	$\frac{\xi}{\ell_e}(\nu = 1.21)$	/
0.69	$192.1 \pm 7.5$	/	$396.8 \pm 12.4$	$2.1 \pm 0.1$	/
1.25	$19.9 \pm 2.4$	/	$194.6 \pm 5.4$	$9.8 \pm 1.2$	/

$$\beta = 1$$

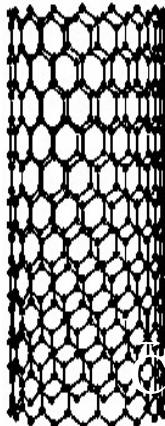
$$\xi = \frac{1}{2} \{ \beta(N_\perp - 1) + 2 \} \ell_e$$

$$\beta = 2$$

breaking TRS (perp B-field)

# Aharonov-Bohm Effects on Bandstructure

cea



$$\vec{B}$$

Landau gauge

$$\vec{\mathcal{A}} = (\phi/|\mathcal{C}_h|, 0)$$

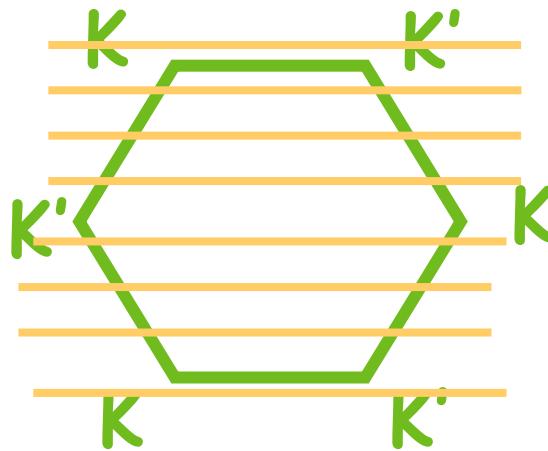
Wavefunction

$$\Psi \sim e^{ik_y y} e^{i(k_x x + \frac{e}{\hbar} \int \vec{\mathcal{A}} \cdot d\vec{r})}$$

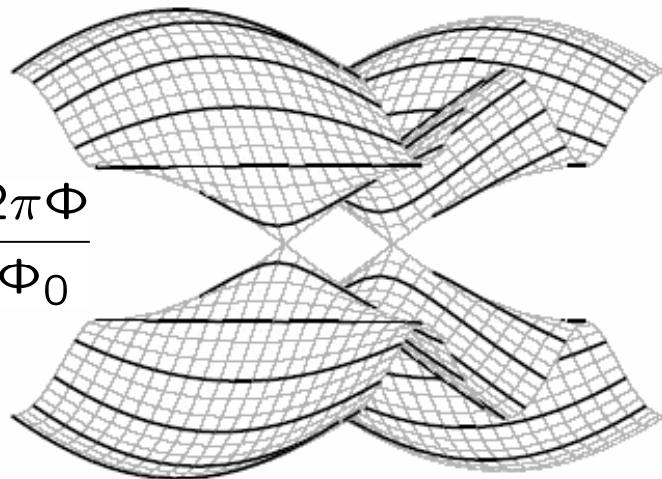
$$\begin{aligned}\Delta\varphi_{\vec{r},\vec{r}'} &= \int_0^1 (\vec{r}' - \vec{r}) \cdot (\vec{\mathcal{A}}(\vec{r} + \lambda[\vec{r}' - \vec{r}])) d\lambda \\ &= i(x - x')\phi/|\mathcal{C}_h|\end{aligned}$$

$$\{\vec{\mathcal{C}}_h/|\vec{\mathcal{C}}_h|, \vec{T}/|\vec{T}|\}$$

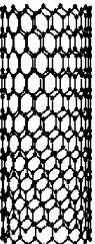
$$\delta\vec{k}(\phi) \cdot \vec{\kappa}_x = \delta\vec{k}(0) \cdot \vec{\kappa}_x + 2\pi\phi/(\phi_0|\vec{\mathcal{C}}_h|)$$



$$k_x = \frac{2\pi q}{|\mathcal{C}_h|} + \frac{2\pi\Phi}{\Phi_0}$$



cea

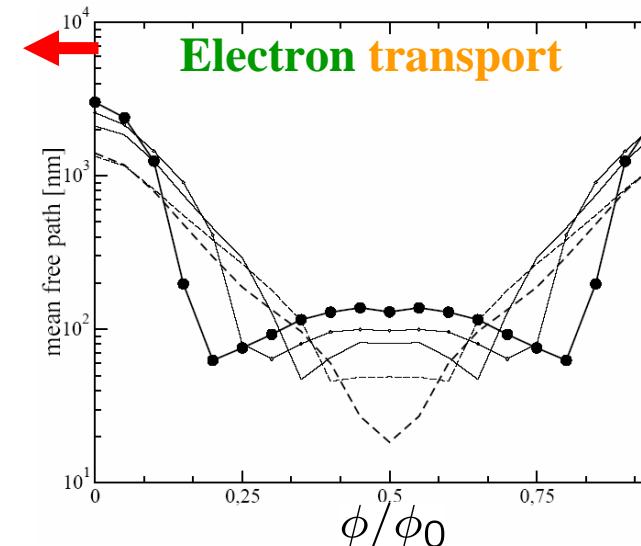
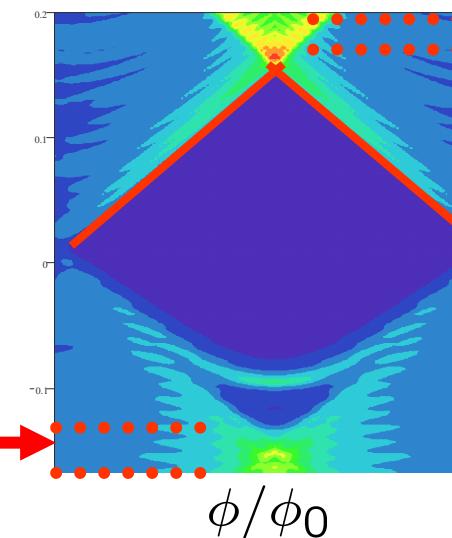
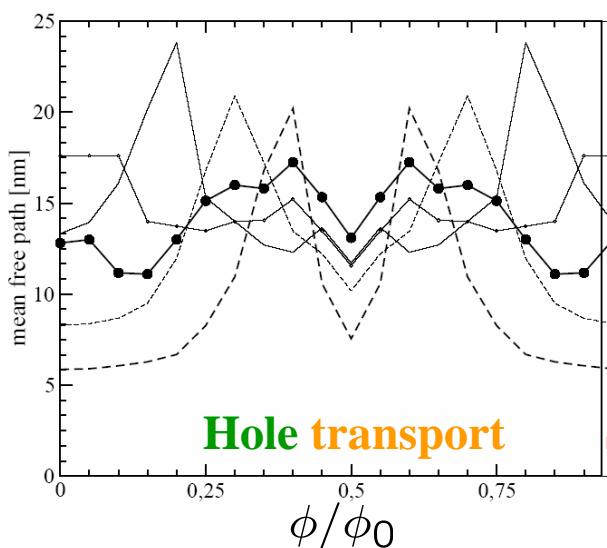


# Transport under B and Aharonov-Bohm effects

cea

Chemical impurities  
-Breaking of electron-hole symmetry

$$D(t) = v(E)\ell_e \sim v^2(E)\tau$$

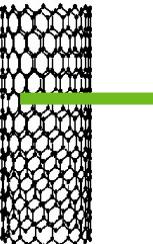


**Holes:**  $\ell_e \in [5\text{nm}, 25\text{nm}]$

**Electrons:**  $\ell_e \in [200\text{nm}, 4\mu\text{m}]$



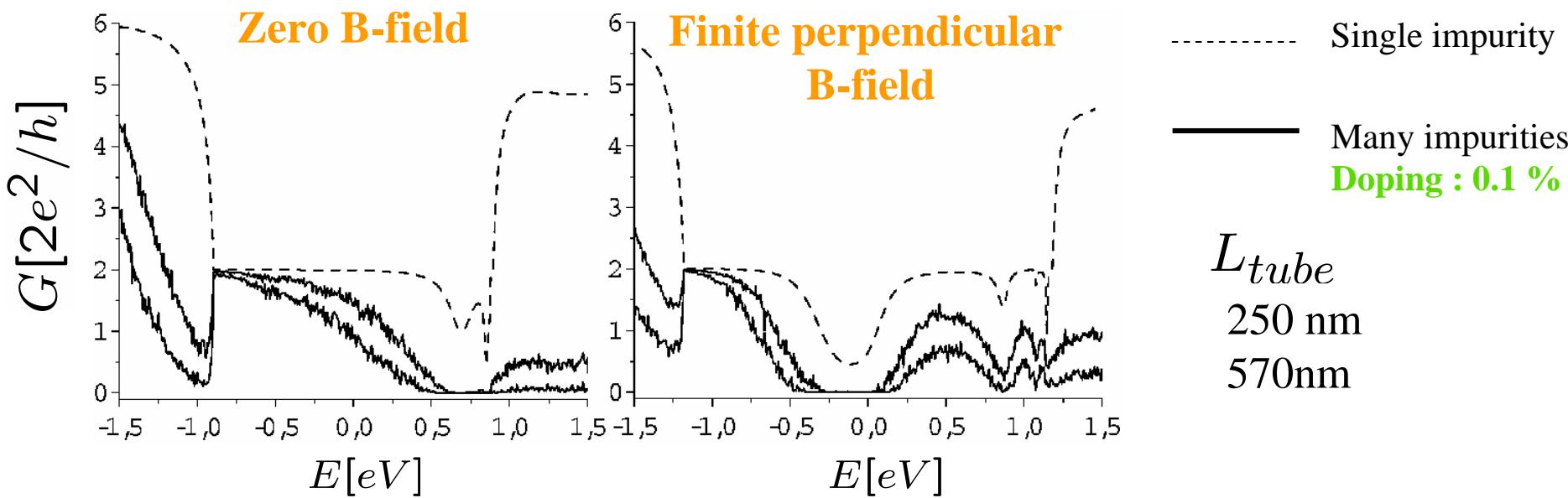
P-doped but hole current  
*is vanishingly small* compared  
to electron current



# Colossal Magnetoresistance fluctuations (Nitrogen doped)

$$\tilde{\mathcal{H}}_{NT}(\Omega, \vec{A}) = -\tilde{\gamma}_0 \sum_{\langle i,j \rangle} e^{-i\theta_{ij}(\vec{A})} \hat{c}_i^\dagger \hat{c}_j + h.c. + \sum_{\alpha \in \Omega} \sum_i \tilde{V}_{\alpha i} \hat{c}_i^\dagger \hat{c}_i$$

$$\theta_{ij}(\vec{A}) = \frac{1}{B\ell_B^2} \int_i^j \vec{A} \cdot d\vec{l} \quad \ell_B = \sqrt{\frac{\hbar}{eB}}$$



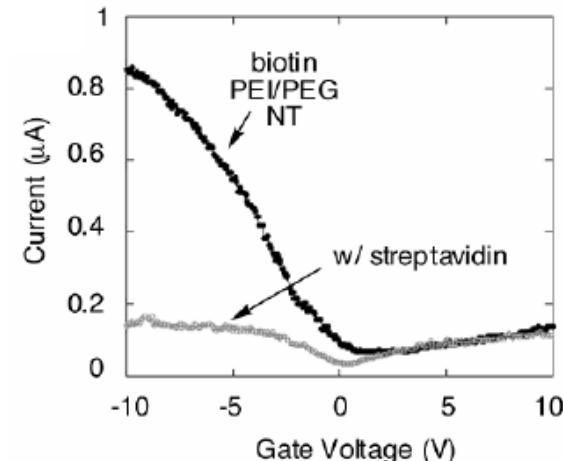
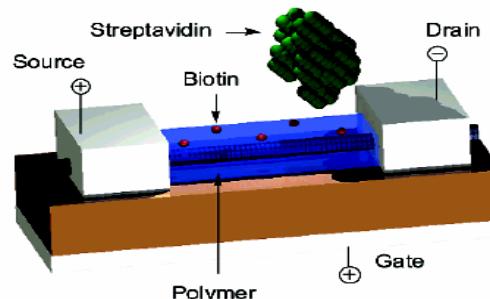
# Nanoscale sensing ?

## Sensitiveness and selectivity / molecular adsorption

cea

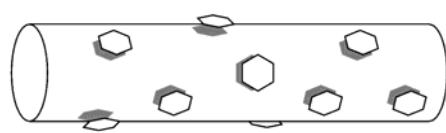
*Interactions Proteines ,  
pH, enzymatic activity,...*

A. Star et al.,  
*Nanoletters 3, 459 (2003)*



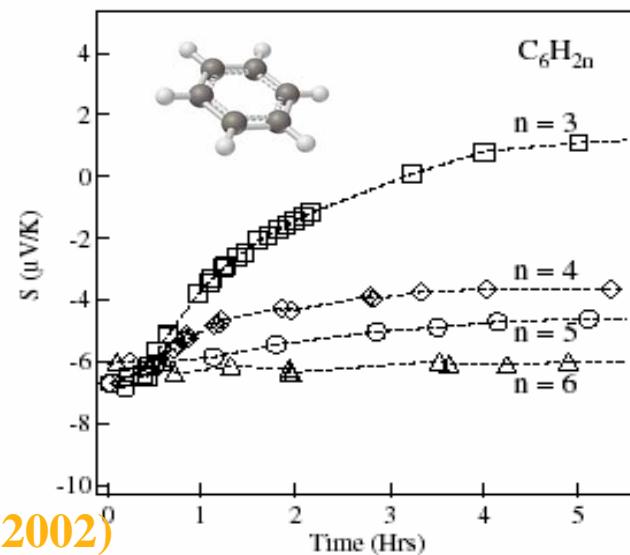
## Physisorption

Any measurable effects ?



Giant changes of electrical thermopower  
under benzene physisorption

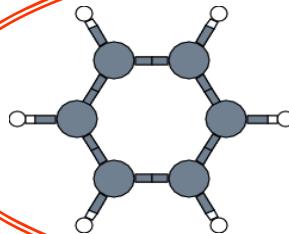
$$S = -\frac{\pi^2 k_B}{3eG} \frac{dG(E)}{dE} \Big|_{E_F}$$



G. Sumanakera et al. *Phys. Rev. Lett.* 89, 166801 (2002)

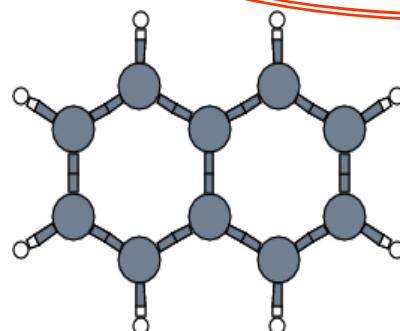
## $\pi$ -conjuguated

### Cycle aromatic



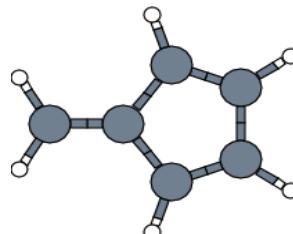
Benzene ( $C_6H_6$ )

$E_g = 5.15 \text{ eV}$



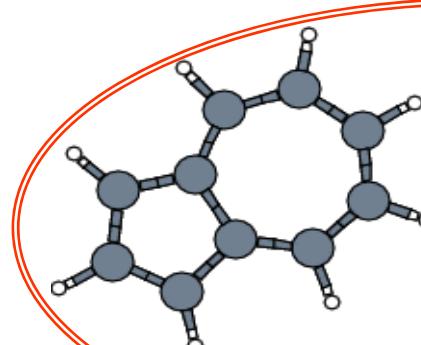
Naphthalene ( $C_{10}H_8$ )

$E_g = 3.41 \text{ eV}$



Fulvene ( $C_6H_6$ )

$E_g = 2.46 \text{ eV}$



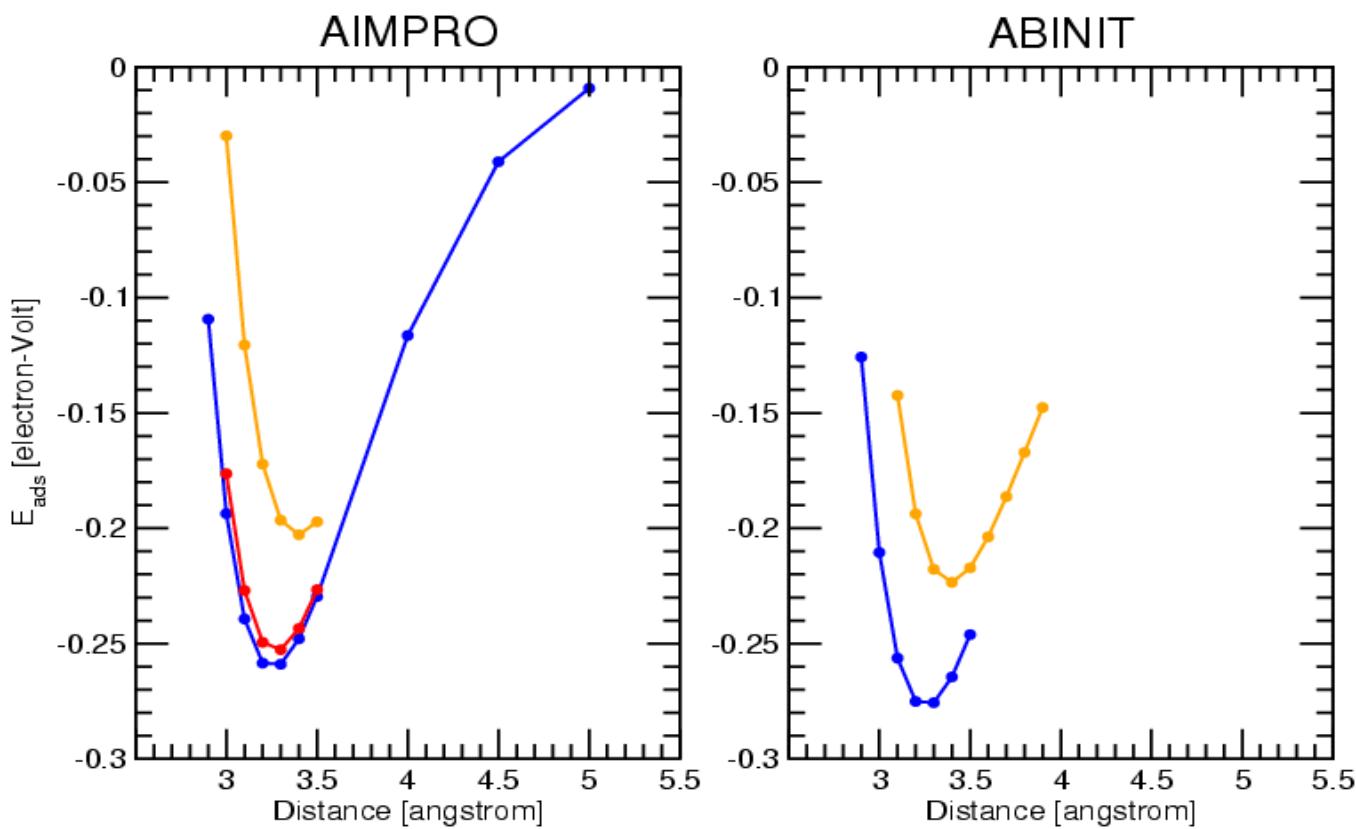
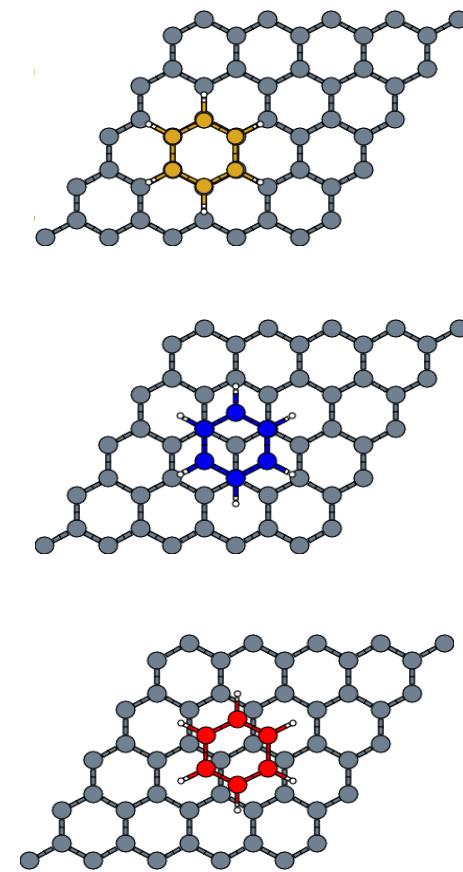
Azulene ( $C_{10}H_8$ )

$E_g = 2.07 \text{ eV}$

**Ab-initio calculation**

**DFT-LDA (structure + electronic properties)**

## Equilibrium positions



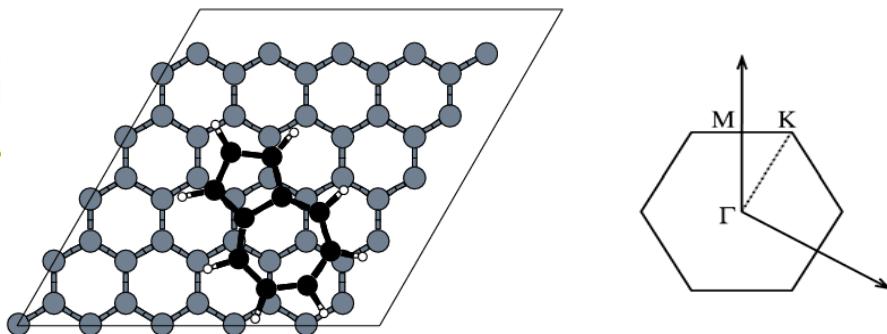
<http://aimpro.ncl.ac.uk>

<http://www.abinit.org>

S. Latil (Univ. Namur)

# Electronic properties : multiscale method

## ab-initio (DFT)

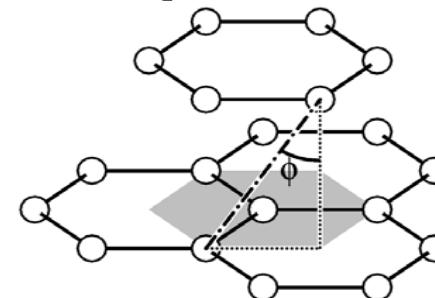
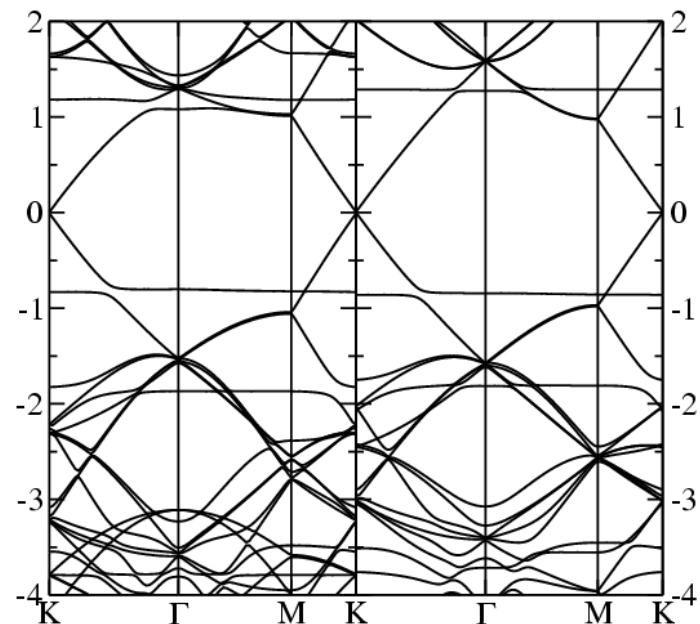


## Effective model

$|\pi_i\rangle$  = onsite orbital  $i$ , *energy* =  $\varepsilon_i$

$$H = \sum_{\langle i,j \rangle} \varepsilon_i |\pi_i\rangle \langle \pi_i| - \gamma_0 \sum_{\langle i,j \rangle} |\pi_j\rangle \langle \pi_i| - \sum_{\langle i,j \rangle} \beta_{ij} |\pi_j^{mol}\rangle \langle \pi_i^{CNT}| + C.C$$

DFT Tight-binding parametrization

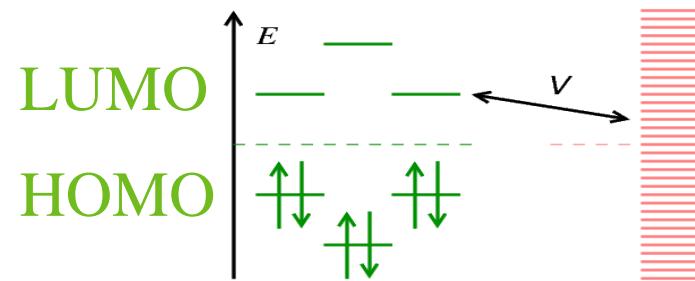


$$\beta_{ij} = \gamma_0 \cos(\phi_{ij}) e^{-(d_{ij} - \delta)/L}$$

# Electronic properties of hybrid systems

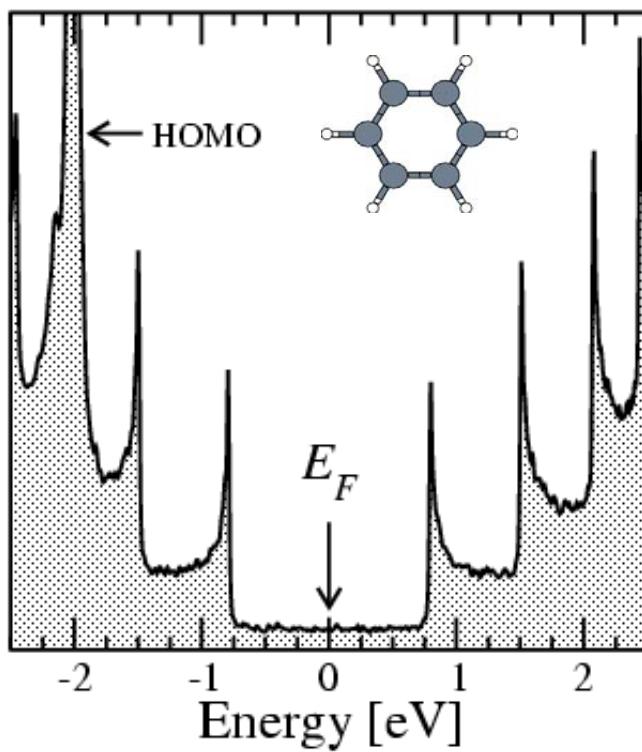
cea

Molecules

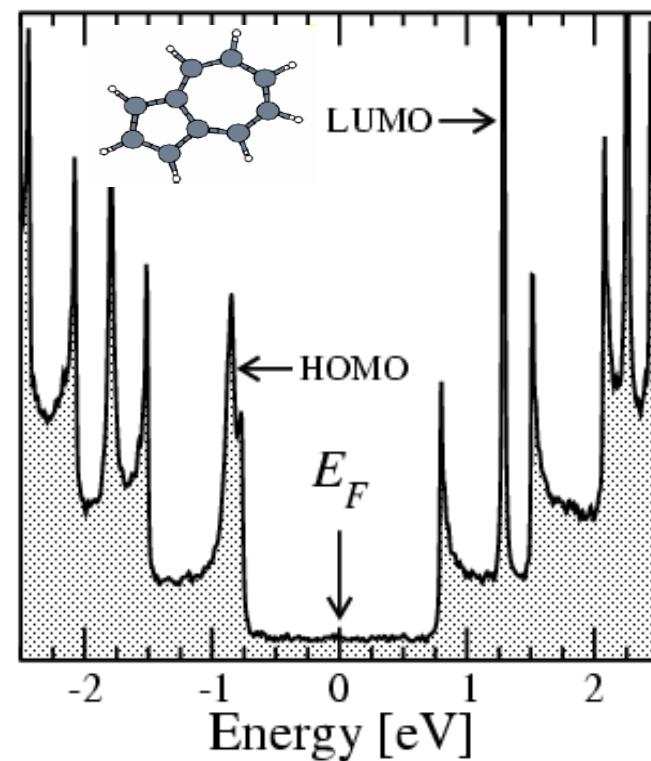


Nanotube

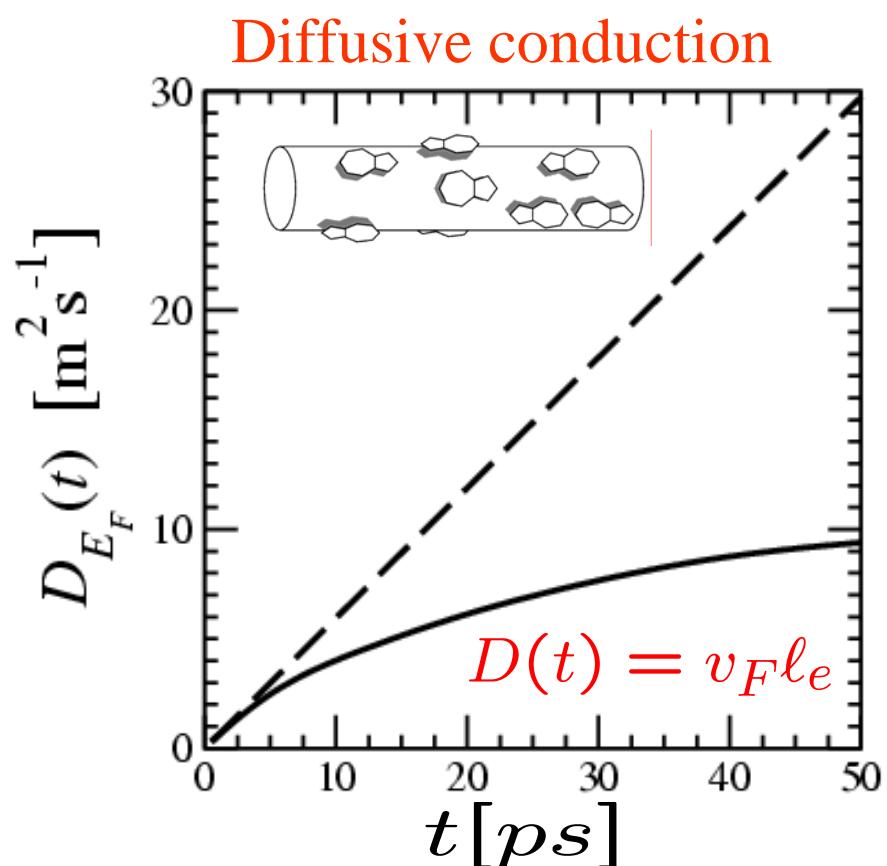
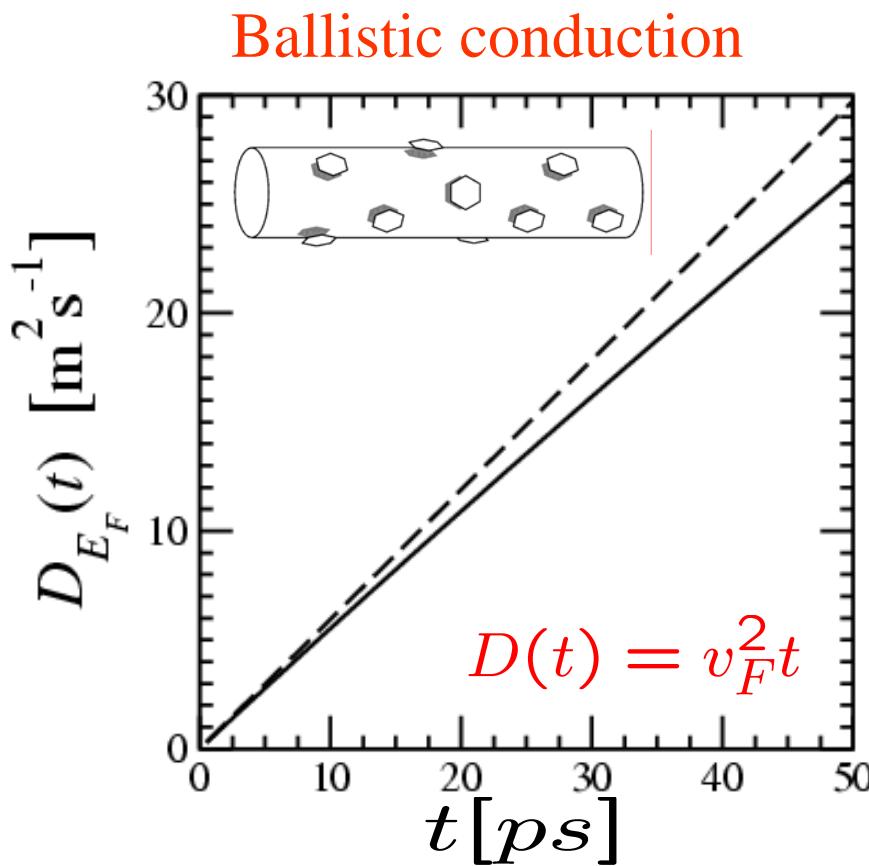
Density of states



Density of states



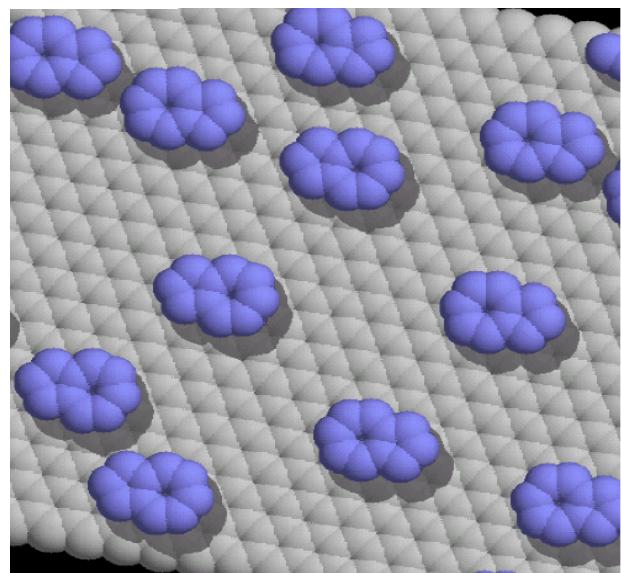
Random coverage of physisorber molecules C<sub>6</sub>H<sub>6</sub> et de C<sub>10</sub>H<sub>8</sub>       $D(E, t) = \frac{1}{t} \langle (\hat{X}(t) - \hat{X}(0))^2 \rangle_E$



## Azulene case: mean free path

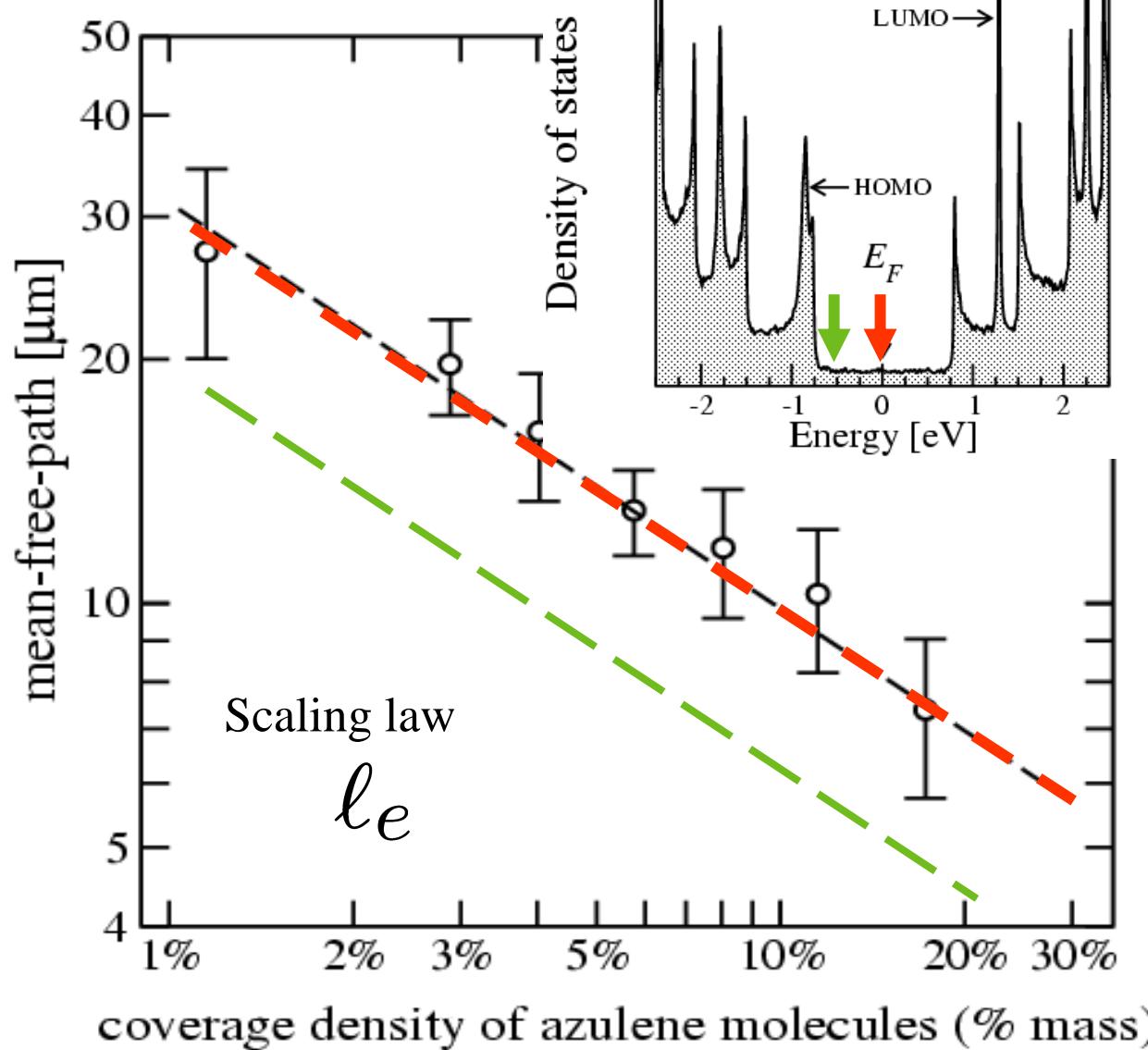
Random coverage

$C_{10}H_8$

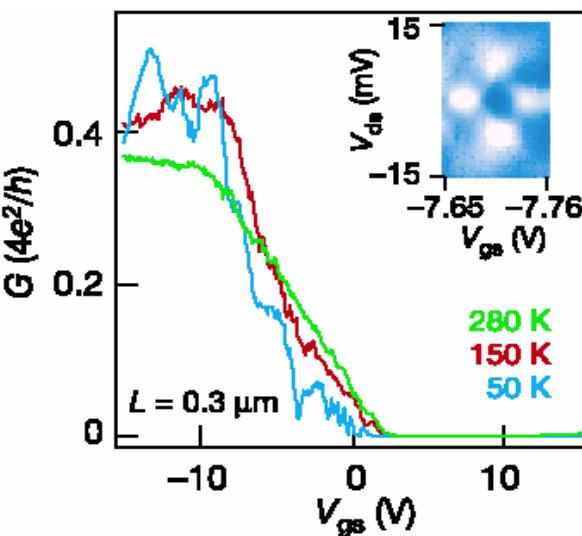


downscaling of  
MFP as FL approaches  
HOMO resonance

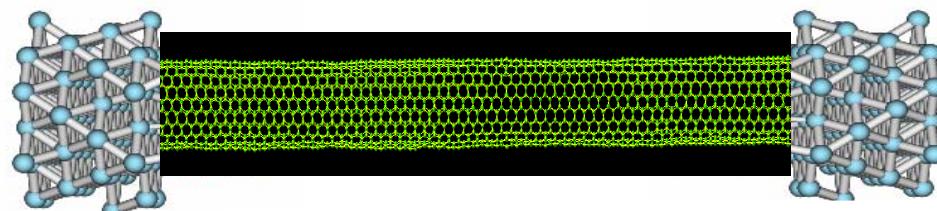
$\ell_e$



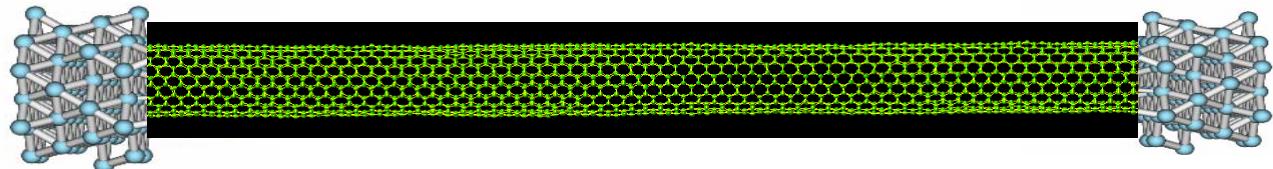
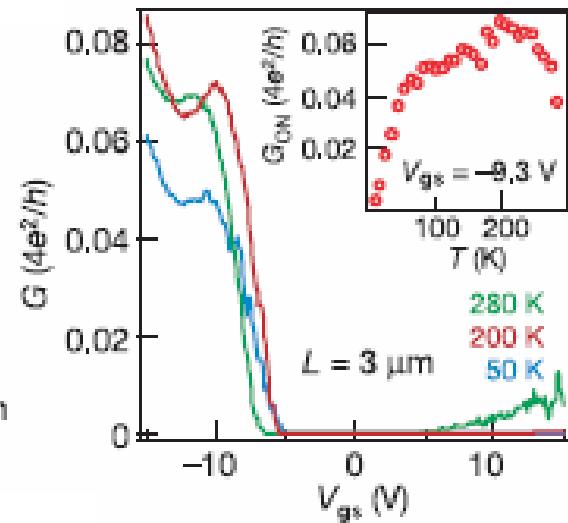
# Ballistic transport limitations (high bias conditions)



Electron-phonon coupling  
(high energy-optic vibrational modes)



$L = 0.3 \mu\text{m} > L_{\text{el-ph}}$   
*Balistic regime*



$L = 3 \mu\text{m} < L_{\text{el-ph}}$   
« *ohmic-like regime ?* »

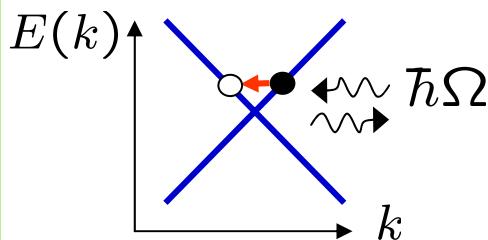
A. Javey, J; Guo, Q. Wang, M. Lundstrom & H.J. Dai  
**Nature 424, 654 (2003)**

# Electron-Phonon coupling in Carbon Nanotubes

cea

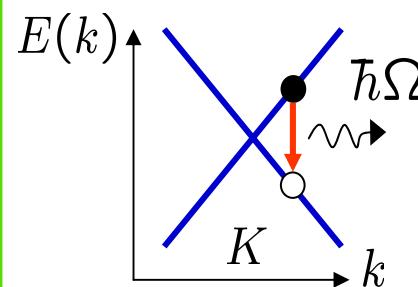
## Acoustic Phonons

$$q \sim 0, \hbar\Omega \ll k_B T$$



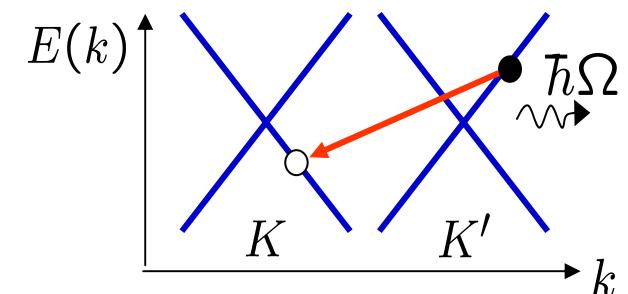
## Optical phonon

$$q \sim 0, \hbar\Omega > k_B T$$

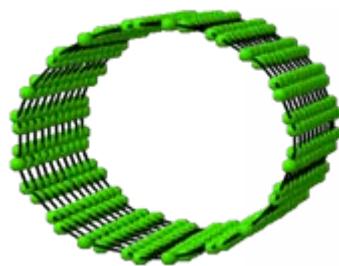


## Zone boundary phonon

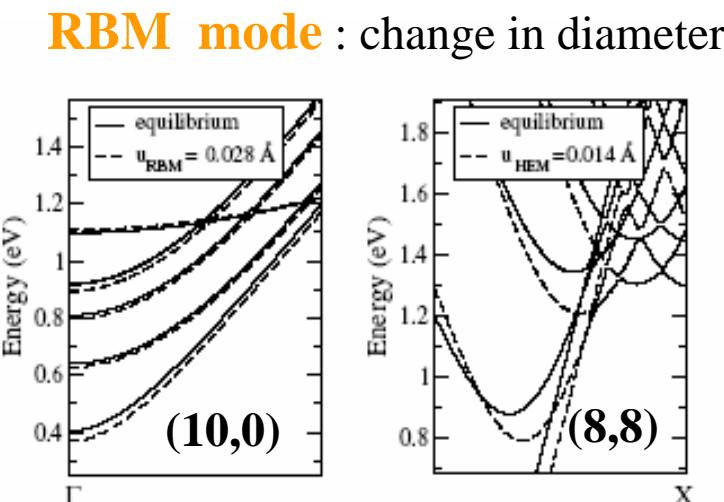
$$q > 0, \hbar\Omega > k_B T$$



## Atomic displacements & electronic structure

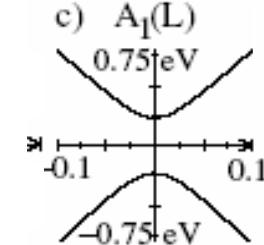
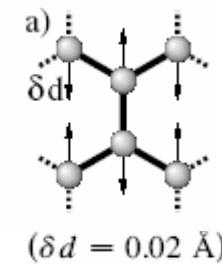


Ab-initio  
calculations



M. Machon, S. Reich et al., Phys. Rev. B 71, 035416 (2005)

## Optic modes $A_1(L)$

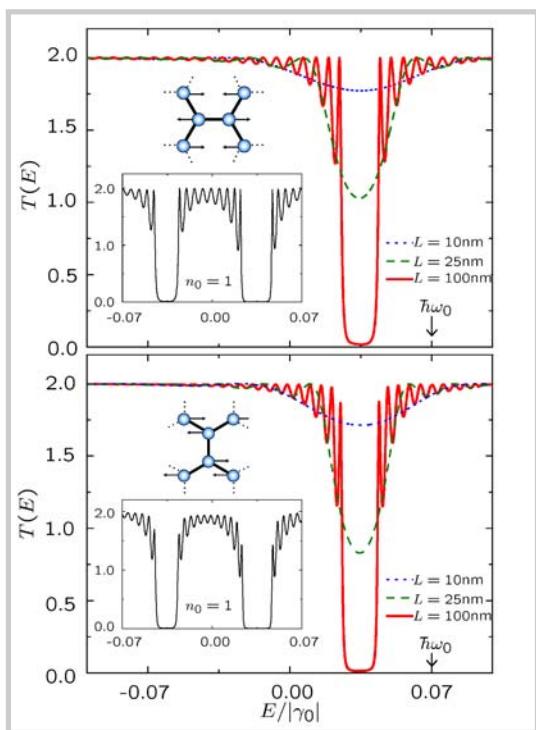
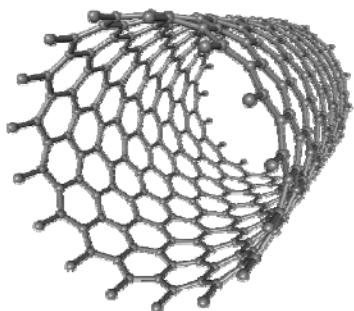


Gap opening

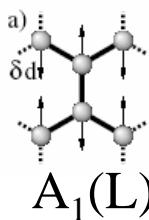
O. Dubay, G. Kresse, H. Kuzmany,  
Phys. Rev. B 88, 235506 (2002)

# Quantum Transport in presence of el-ph coupling

cea



## Hamiltonian



$$H_e = -\gamma_0 \sum_{\langle i,j \rangle} [c_i^+ c_j + h.c.] ,$$

$$H_{ph} = \hbar\omega_0 b^+ b, \quad \text{Mode LO (1580 cm}^{-1}\text{)}$$

$$H_{e-ph} = \sum_{\langle i,j \rangle_{vib}} [\gamma_{i,j}^{e-ph} c_i^+ c_j (b^+ + b) + h.c.]$$

*Inelastic transport computed from  
a many-body treatment of e-p in Fock space*

Bonca and Trugman, PRL 75, 2566 (1995)

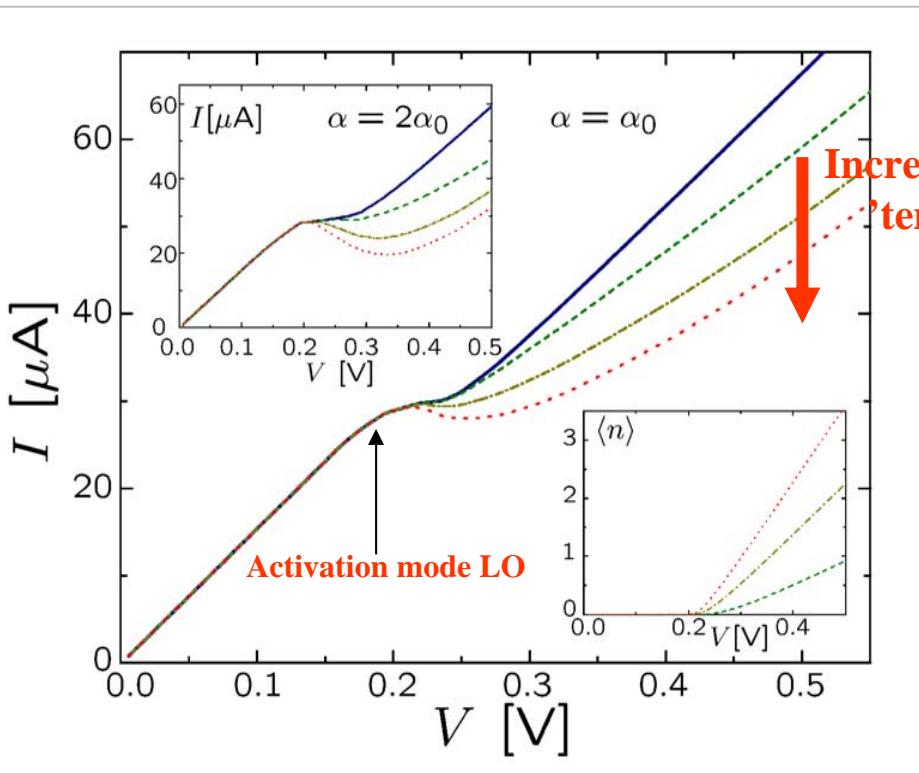
## Peierls-type mechanism

when the electrons gain enough energy (bias source)  
to emit optic phonons...

Backscattering probability = 1

Luis Foa-Torres and SR, Phys. Rev. Lett. 97, 076804 (2006)

# Peierls type mechanism & onset of current saturation

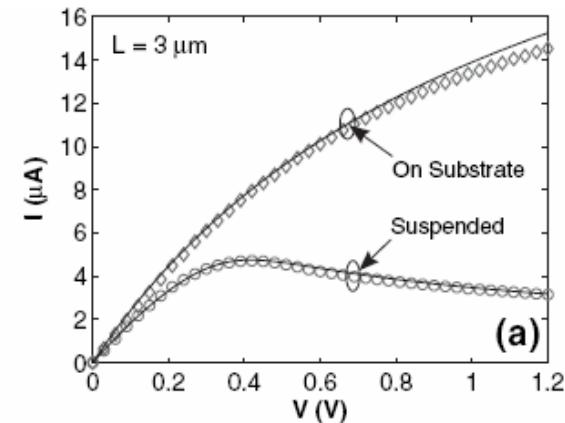


Ballistic regime

$$I = \frac{4e^2}{h}V$$

Onset of current saturation at  $V = \hbar\omega_0$

$$I_{sat} = \frac{4e}{h}\hbar\omega_0$$



*These mechanisms are beyond the scope of a  
Fermi Golden rule-type description of  
inelastic backscattering*

E. Pop et al, PRL 95, 155505 (2005)



# Thank you for your attention!

## POST-CMOS molecular CEA Working Group

### CEA research staff

- François Triozon
- Yann-Michel Niquet
- S.R

### Postdocs

- Martin Person
- Luis Foa-Torres
- Mathieu Dubois

### Ph.D. students

- Rémi Avriller
- Aurélien Lherbier
- Alejandro Lopez-Bezanilla

### University Lyon

Xavier Blase,  
Christophe Adessi