



### Quantum transport in carbon nanotubes

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- Doping in carbon nanotubes (boron & nitrogen)
- → Adsorption of organic molecules on carbon nanotubes (non-covalent functionalisation)



Defects in carbon nanotubes (spintronics)



**Carbon nanotubes** 

CNT as "rolled" graphene sheet:



Chiral vector:  $\mathbf{C}_h = n\mathbf{a}_1 + m\mathbf{a}_2$ Translational vector:  $\mathbf{T} = t_1\mathbf{a}_1 + t_2\mathbf{a}_2$  Armchair: (n,n)



ZigZag: (n,0)



Chiral: (n,m)

### **Graphene : electronic structure**





J.-C. Charlier, X. Blase, S. Roche., Rev. Mod. Phys. 2007

**Electronic properties** 



# **CNT : electronic properties**

STMicroscopy and STSpectroscopy



- E<sub>gap</sub> • IV
- $dI/dV \propto DOS$

Wildöer, Venema, Rinzler, Smalley, Dekke Nature **391**, 59 (1998)

Odom, Huang, Kim, Lieber Nature **391**, 62 (1998)





**Example :** an ideal armchair carbon nanotube



Landauer-Büttiker [PRB **31**, 6207 (1985)] equilibrium transport @ 0K and V=0 :

 $\mathbf{G}(\mathbf{E}) = \mathbf{T}(\mathbf{E}).\mathbf{G}_0$ 

Where  $G_0 = 2e^2/h =$ quantum of conduct. T(E) = transmission coefficient of scattering theory  $T(E) \sim \#$  conduction channels @E

 $G(E_F) = T(E_F)$ .  $G_0 = 2$  units of  $2e^2/h \sim (12.9 \text{ k}\Omega)^{-1}$ 





# <u>1. DOPING</u>



# **Doping carbon nanotubes**

Incorporation of  $N_2(gas)$  during the synthesis Bundles of Nitrogen-doped Single-wall nanotubes



Fig. 3. EEL core electron K-shell spectra of CN<sub>Y</sub> nanotube bundles (sample 4). The nanotubes are doped with around 1 at.% nitrogen. For the C-K edge well defined  $\pi^*$  and  $\sigma^*$  fine structure features are observed which are evidences of sp<sup>2</sup>-hybridisation in graphitic structures. The inset is a magnification of the N-K edge.

M. Glerup *et al*. Chem. Phys. Lett. 387, 193 (2004)



### **Computation of the transport properties**

#### Landauer

Computation of the *transfert probability* from the left lead to the right lead.



Conductance from transmission:

$$G(E) = G_0. \sum_{p \in \mathcal{L}} \sum_{q \in \mathcal{R}} \mathcal{T}_{p \to q}$$

#### **Conductance from Green function**

$$T = \operatorname{Tr}\left[\Gamma_L G_S^{(r)} \Gamma_R G_S^{(a)}\right]$$

with

and

$$\Gamma_{L,R} = i \left[ \Sigma_{L,R}^{(r)} - \Sigma_{L,R}^{(a)} \right]$$
$$G_S^{(r,a)} \cdot \left[ E - \hat{H}_S - \Sigma_L^{(r,a)} - \Sigma_R^{(r,a)} \right] = \hat{\mathbf{1}}$$

### **Discussion on the leads**



#### **Elastic scattering only?**

- No exange without any reservoir (like phonons e.g.)
- No electron-electron interactions are taken into account

S. Datta, Quantum transport : Atom to transistor, Cambridge University Press 2006

# **B** and N: one substitution

#### ab initio study (DFT-LDA)

#### Model:

The system is a (10,10) nanotube containing a single substitution. The leads are made from two halfinfinite perfect nanotubes



H. J. Choi *et al*, Phys. Rev. Lett. **84**, 2917 (2000)



1 atomic substitution within an 8 cells long carbon nanotube

### **Computation of the transport properties**

### Landauer

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$$G(E) = G_0. \sum_{p \in \mathbf{L}} \sum_{q \in \mathbf{R}} \mathbf{T}_{p \to q}$$







Electronic conductivity is a measure of the correlation function of charge carriers

$$\sigma_{\rm DC}(E) = \frac{2e^2\hbar}{\Omega}f(E)$$

$$f(E) = \frac{n(E)}{2\pi\hbar} \lim_{t \to \infty} D_E(t)$$







**Pristine (10,10) carbon nanotube** 



### **B- or N-doped (10,10) carbon nanotube**

### How to treat accurately the charge transfer ?



**First-principles calculations** 

- Density Functional Theory (DFT)
- Local Density Approximation (LDA)
- Pseudopotentials
- Supercell geometry
- Plane wave expansion (30 Ha)



#### (10Å vacuum between tubes)

S. Latil, S. Roche, D. Mayou and J.-C. Charlier Phys. Rev. Lett. 92, 256805 (2004).















#### **Boron-doped nanotubes: Quantum diffusion**

Determination of the conduction regime :

(10,10) - CNT containing

-0.5





0.1% of boron impurities, located randomly. 2000 1500 Density of states 1000



#### **Boron-doped nanotubes: Quantum diffusion**

Determination of the conduction regime :





(10,10) - CNT containing
0.1% of boron impurities,
located randomly.



### **B** doped nanotubes

Scaling of the mean free path at  $E = E_F$ 



PRB 63, 161404 (2002) ; PRB 67, 041401 (2003)

•  $\ell_e \simeq 220 - 250 nm$ 

# 2. <u>NON-COVALENT</u> <u>FUNCTIONALIZATION</u>



### Non-covalent functionalization of carbon nanotubes

### **Motivations:**

• Bundles of carbon nanotubes (CNT)



Spread them into a matrix



• Functionalize the CNTs and keep their conduction properties



- "Soft" functionalization, non-covalent
- Fundamental study, reactivity with organic molecules (gas sensors)



 $\pi$ -stacking interaction between  $\pi$ -conjugated systems





• Weak interactions (including Van der Waals forces) between two systems with  $\pi$  electrons



• Low binding energy (small overlap), but may modify the electronic properties

### **Benzene on NANOTUBE**

- Perfect tubes, frozen system, large enough supercells ( > 10Å vac.)
- Various adsorption sites considered











Bridge

#### Bridge-bis

F. Tournus, *et al.*, PRB 71, 165421 (2005) F. Tournus *et al.*, PRB 72, 075431 (2005)

"The smaller the POAV misalignment angle is, the more favorable the benzene adsorption is."



Why is the adsorption more favorable on one type of bond ?  $\Rightarrow$  POAV misalignment angle  $\phi$ 

### **Electronic changes upon molecule adsorption**

The behavior is dictated by the relative position of the HOMO and LUMO of the molecule, with respect to the valence and conduction bands of the tube.



### The quantum transport in CNTs with random coverage of physisorbed molecules

- $\pi$ -conjugated hydrocarbon molecule : benzene (C<sub>6</sub>H<sub>6</sub>) and azulene molecule (C<sub>10</sub>H<sub>18</sub>).
- Questions :



How random coverage of physisorbed molecules may act as scattering centers for the propagating electrons at the Fermi energy ?



Is physisorption able to affect the generic transport length scales

(such as mean free path)?

• Recent thermopower measurements have shown the effect of physisorption of small membered ring molecules ( $C_6H_{2n}$  with n=3,4,6).



### variation of the conductance close to the Fermi energy !

<u>The  $\pi$ -stacking interaction</u>

• MULTISCALE METHOD : ABINITIO + TB approach

### Ab initio (DFT)



Effective model

 $|\pi_i\rangle = \text{ orbital on site } i$ 

 $\varepsilon_i =$  on-site energy at i

$$H = \sum_{\langle i,j \rangle} \varepsilon_i |\pi_i\rangle \langle \pi_i| - \gamma_0 \sum_{\langle i,j \rangle} |\pi_j\rangle \langle \pi_i|$$
$$- \sum_{\langle i,j \rangle} \beta_{ij} |\pi_j^{mol}\rangle \langle \pi_i^{CNT}| + C.C$$

DFT tight-binding parametrization



 $\beta_{ij} = \gamma_0 \cos(\phi_{ij}) e^{-(d_{ij} - \delta)/L}$ 



Density of states

**Quantum diffusion** 

#### Random coverage of physisorbed molecules C<sub>6</sub>H<sub>6</sub> et de C<sub>10</sub>H<sub>8</sub>



**Molecule-dependent conduction regime** !

#### **Evolution of the mean free path vs. the azulene coverage density**



 $D_E(t) \sim v_F \ell_e$ 

- In contrast with the chemical doping, where :  $\ell_e \sim 1/\rho_{dopant}$
- The mean free path associated to the azulene physisorption manifests a weaker dependence on the density of grafted molecules.

$$\ell_e \sim 1/\sqrt{\rho_{mol}}$$

**<u>Conclusion</u>**:  $\pi$ -interactions via molecular physisorption can produce substantial backscattering in the CNT, modifying its electronic mean free path and its conductance that downscales with the coverage density. Strong dependence on the molecular nature...

S. Latil, S. Roche, J.-C. Charlier, Nano Lett. 5, 2216 (2005).

# 3. <u>TOPOLOGICAL</u> <u>DEFECTS</u>



## Experimental evidences...

in Graphite



2.50

5.00

Air plasma oxidization creates defects in graphite (HOPG)

Paredes et al., Carbon 2000

### in Graphene



Defect-induced quantum interferences in bilayer graphite

> Mallet *et al.*, PRL 2007



0.5 um



Reactivity of defects in SWNT using electrochemical treatment (Ni atoms)

Fan et al., Nat. Mat. 2005

# Direct evidences for atomic defects (1) Direct experimental approach : HRTEM



vacancy / di-vacancy

Hashimoto *et al.*, Nature 2004 Suenaga *et al.*, Nature Nanotech. 2007

# Direct evidences for atomic defects

### (2) Indirect experimental approach : STS

Variation of the local electronic density of states



Carroll et al., PRL 1997

Vitali et al., PRL 2004

 $\Rightarrow$  Importance to propose a list of defects in  $sp^2$  carbon nanostructures in order to predict their identification.

**<u>First-principles calculations :</u>** Defects in graphene



Density Functional Theory (DFT) Local Density Approximation (LDA) Norm-conserving pseudopotentials (Carbon atom) Plane wave expansion (30 Ha) and (3x3) MP k-points 2D grid Supercell geometry [ (5x5) or (6x6) cells of 50 - 72 atoms ] Cold smearing method of Marzari (smearing : 0.002 Ha) Relaxation of the atomic position until the forces on the atoms are reduced to within 1.0d-6 eV/Å Code :

http://www.abinit.org



# Vacancy in graphene...



Amara et al., PRB 76, 115423 (2007)

# **Electronic properties of Defects**





Electronic states are quite localized !

# Simulations of STM images

- Useful technique to investigate nanoscopic systems at the nanoscale.
- The electron transfer is allowed from occupied states of the sample to unoccupied states of the tip (or inversely).
- For weak difference of potential between the sample and the metallic tip (0-1eV), tunnelling current is sensible to the electronic states of the sample close to E<sub>F</sub>.



The total tunnelling current is

$$I = \frac{2\pi e}{\hbar} \int dE \left[ f_t(E) - f_s(E) \right] \sum_{\alpha,\beta} |\langle \alpha | v | \beta \rangle|^2 \delta(E - E_\alpha) \delta(E - E_\beta)$$

# Simulations of STM images

STM tight-binding theory

In tight binding, assuming one orbital per atom,

$$|lpha
angle = \sum_{i\in t}\chi^lpha_i|\eta_i
angle$$
 ,  $|eta
angle = \sum_{j\in s}\psi^eta_j| heta_j
angle$ 

$$I = (2\pi)^2 \frac{e}{h} \int_{E_F - eV}^{E_F} dE \sum_{i,i' \in t} \sum_{j,j' \in s} v_{ij} v_{i'j'}^* n_{ii'}^t (E - \delta E_F - eV) n_{jj'}^s (E)$$

V is the tip-sample bias potential (e > 0),  $\delta E_F = E_F^t - E_f^s$ ,

$$n_{jj'}^{s}(E) = \sum_{\beta} \psi_{j}^{\beta*} \delta(E - E_{\beta}) \psi_{j'}^{\beta} = (-1/\pi) \operatorname{Im} G_{jj'}^{s}(E + i0^{+})$$

with  $G_{ij'}^s$  a Green function element of the sample.

Meunier et al., PRL 1998

## Graphene versus Graphite





Calculated STM curent map at constant height (0.5 nm) above a single graphene layer ( $V_t = +0.2V$ ).

- In graphene, all the C atoms look identical...
- The hexagonal structure is perfectly reproduced...

Calculated STM current map at constant height (0.5 nm) above multilayered graphite ( $V_t = 0.2V$ ).

- The B atoms are clearly resolved, while the A atoms are not...

# STM images of a vacancy in graphene



Localized electronic states induced by the three dangling bonds =>Large protrusion in the form of a hillock with trigonal symmetry at the center of the defect (V<sub>t</sub> = +0.2V).

Amara *et al.*, PRB 76, 115423 (2007)



The largest protrusion is located on the two-coordinated atom located in front of the pentagon with a long C-C bond produced by the reconstruction ( $V_t = +0.2V$ ). The trigonal symmetry of the image can be restored by invoking a dynamical Jahn-Teller effect, where the pentagon rotates by +/- $2\pi/3$ . The image would then be an average of three structures equivalent to that rotated by +/- $2\pi/3$ .

## **Experimental data**



-0.2



Most often, experimental STM image of irradiated graphite show defects in form of protrusions with three-fold symmetry and  $\sqrt{3} \times \sqrt{3}$  superstructure around them.<sup>1</sup> This observation is consistent with the reconstructed  $C_s$  vacancy if one invokes a dynamical Jahn-Teller effect,<sup>2</sup> thanks to which the observed image is an average of three equivalent structures rotated by  $\pm 2\pi/3$  [1] J. Valenzuela-Benavides *et al.*, Surf. Sci. (1995) [2] A. A. El-Barbary *et al.*, PRB, (2003)

### STM images of a di-vacancy and SW in graphene



There is no dangling bond in the structure. A dumbell-like feature decorates the two pentagons. Interestingly, the maximum of protrusion is realized right at the center of the defect, where there is no atom. In perfect graphene, the centers of the hexagons corresponds lead to depressions  $(V_t = +0.2V)$ .



Elongated ring of protrusion having its major axis parallel to the Stone Wales bond and extending from 0.3 to 0.5 nm around the center of the defect  $(V_t = +0.2V)$ .

Amara et al., PRB 76, 115423 (2007)

#### Gomez-Navarro et al., Nat. Mat. 2005

# Controlling conductance

Most of the outstanding properties of carbon nanotubes rely on them being almost atomically perfect, but the amount of imperfections, and the effect that they have on the tube properties, has, to date, been poorly understood. New work shows that even a very low concentration of missing atom-pairs in the nanotubes has a large effect on their electrical conductance.



It is crucial to understand the influence of defects on the transport properties in order to conquer their detrimental effects, but also because controlled defect introduction may be used to tune nanotube properties in a desired direction (i.e. gas sensing property).

**Density Functional Theory (DFT)** SIE Local Density Approximation (LDA) **Pseudopotentials Supercell geometry** (10Å vacuum between tubes) (Max. Force < 0.01 eV/Å;  $Z_{\text{min}}$  calculated for each case !) Localized Atomic Orbitals (DZP basis)

**First-principles calculations :** 

### **Quantum transport :**





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**Smeagol** is an *ab initio* electronic transport code based on a combination of DFT and Non-Equilibrium Green's function transport methods (NEGF). It has been designed to describe two-terminals nanoscale devices, for which the potential drop must be calculated accurately (+ spintronics).

### Quantum conductance : ideal CNTs





# Conclusions

- Electronic properties of CNTs containing defects, dopants and with random coverage of physisorbed molecules.
  - surface modification of CNT = important topic
- Transport properties of « modified CNTs » :
  - different quantum regimes of conduction
  - gas sensing properties...
  - magnetic properties => spin-transport !

### Collaborators

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## Textbooks & Review articles

REVIEWS OF MODERN PHYSICS, VOLUME 79, APRIL-JUNE 2007



#### Electronic and transport properties of nanotubes

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This article reviews the electronic and transport nanotubes. The focus is mainly theoretical, but when appropriate the rel ults is mentioned. While simple band-folding arguments will be invok ietallic or semiconducting character of nore sophisticated tight-binding and *ab initio* nanotubes is inferred from th of e subtle physical effects, such as those induced by topological defects. The same approach will be followed for amental aspects of conduction regimes and transport length scales will using simple models of disorder, with the derivation of a few analytic results concerning be presen ons of short- and long-range static perturbations. Further, the latest developments in specific situ semiempirical or *ab initio* simulations aimed at exploring the effect of realistic static scatterers (chemical impurities, adsorbed molecules, etc.) or inelastic electron-phonon interactions will be emphasized. Finally, specific issues, going beyond the noninteracting electron model, will be addressed, including excitonic effects in optical experiments, the Coulomb-blockade regime, and the Luttinger liquid, charge density waves, or superconducting transition.