

*Towards functional polymers for nanoimprint lithography –  
Strategies and achievements of the NaPa Materials  
subproject*

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*micro resist technology GmbH, Germany*

Litho 2006, Marseille 26 – 30 June 2006

## **Motivation - General tasks of the Materials subproject**

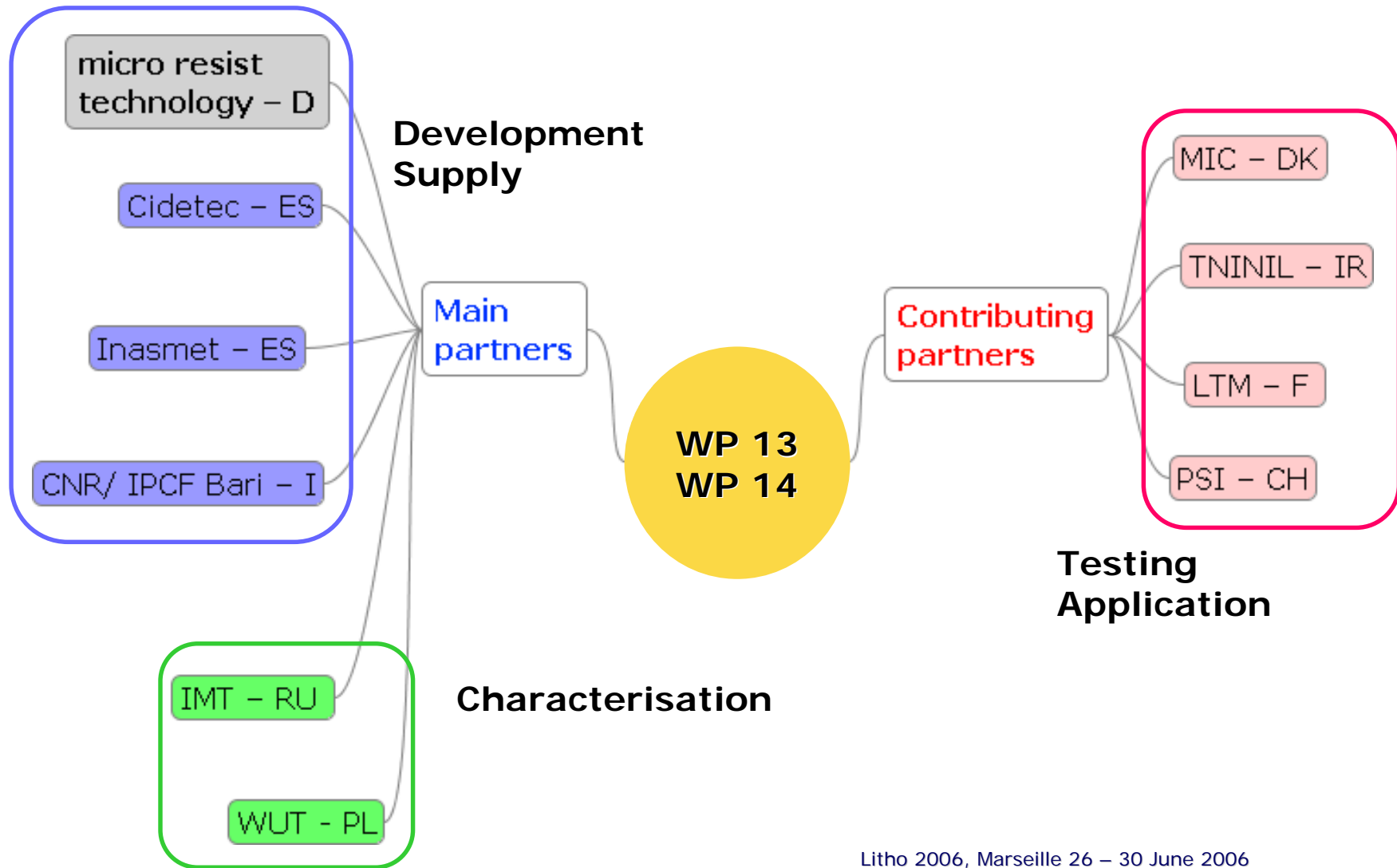
- Materials are a **key factor** in the development of nanopatterning methods  
Off-the-shelf materials do not meet specific requirements of nanopatterning methods  
  
→ Need to integrate partners into the project who develop new materials required **designed for NaPa** to exploit the potential of the techniques and to create new applications

- Focus in the **Materials subproject**
  - Nanoimprint lithography
  - Soft lithography and self-assembly
- To **develop** materials and **provide** them to partners, close collaboration in the NIL subproject

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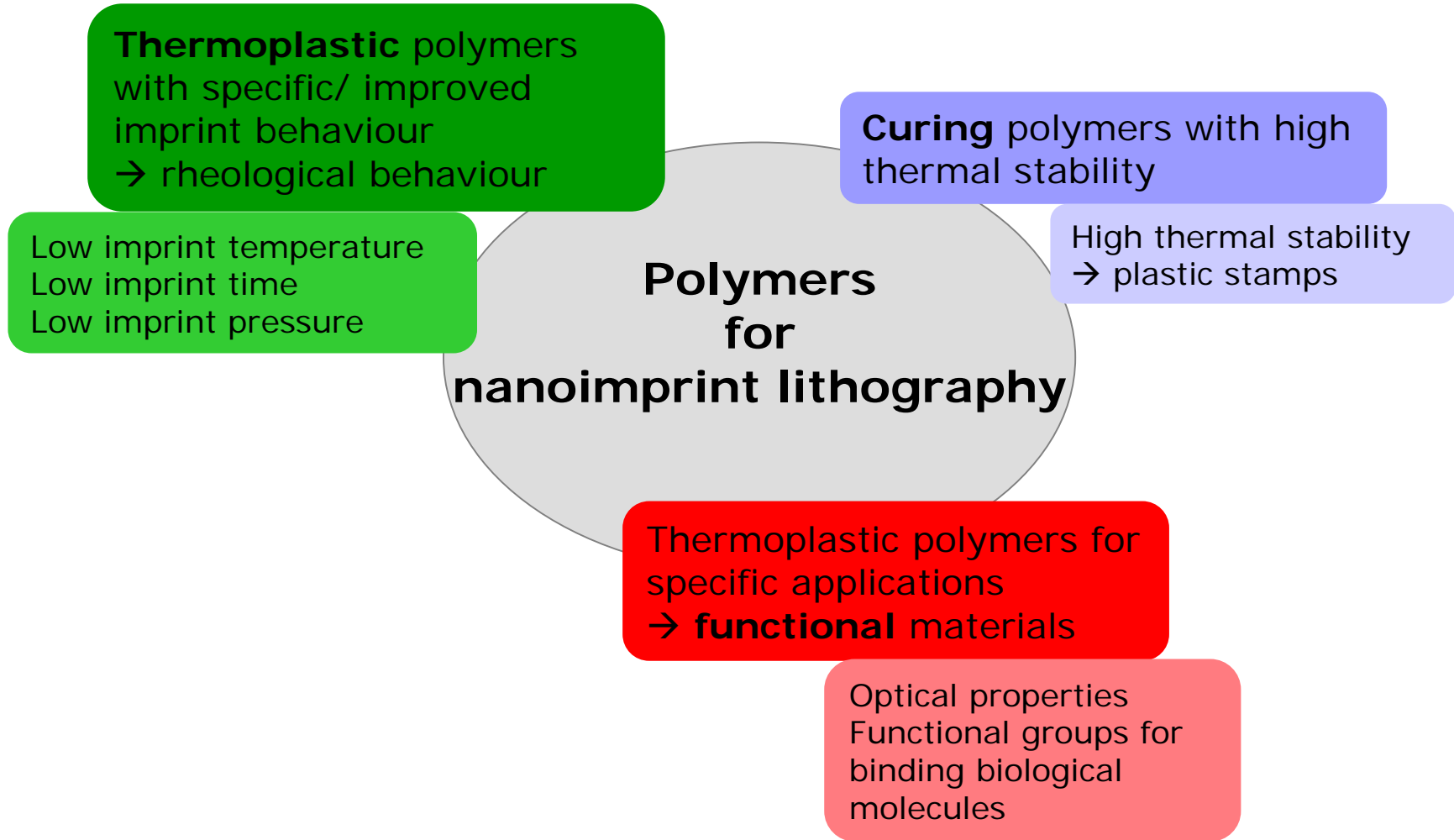
# Materials Subproject

## NaPa Materials subproject



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*Polymer development for NIL - Strategies*



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## Outline

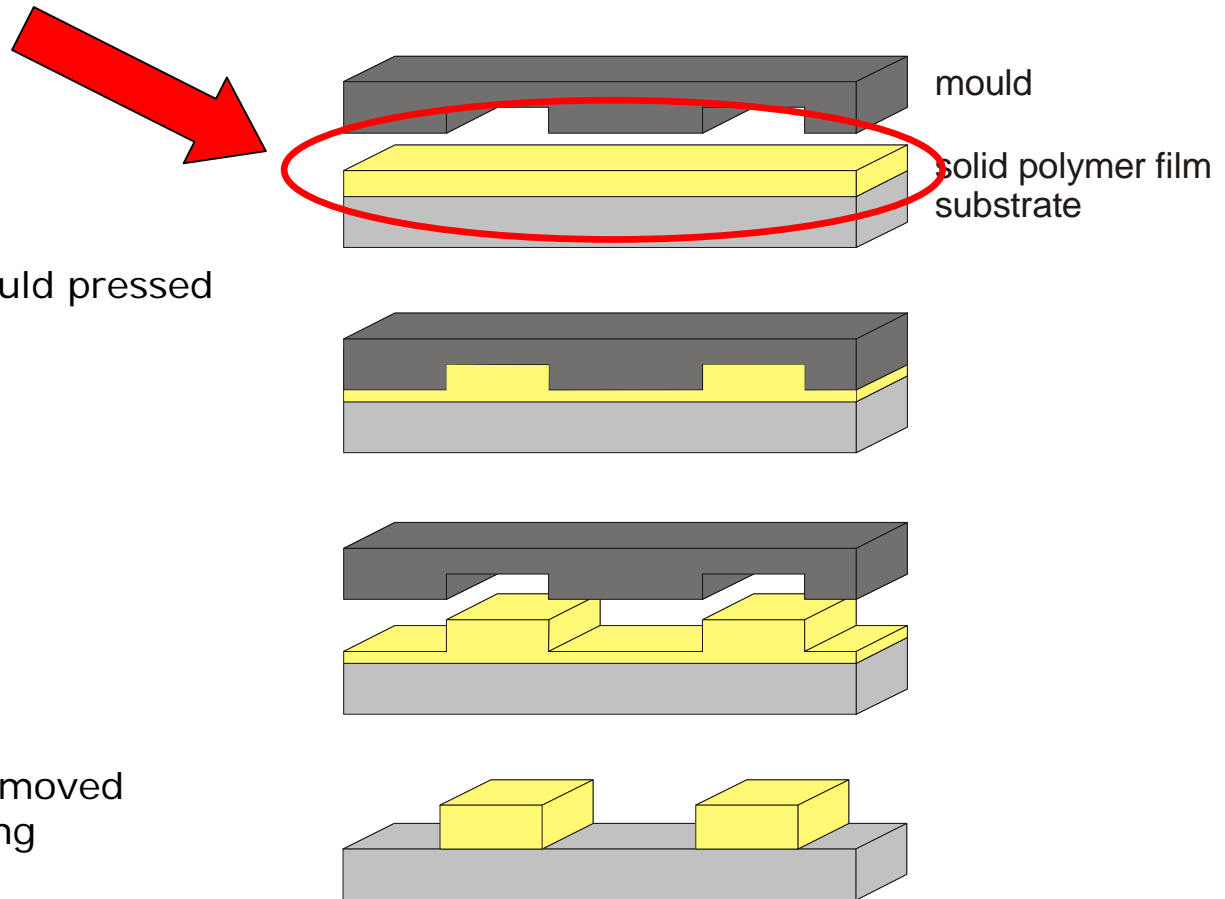
- **Thermoplastic polymers for NIL**
  - Mechanical behaviour
  - New developments  
Thermoplastics with improved imprint behaviour, TOPAS based polymers, polymers with narrow molecular weight distribution
- **Functionalised thermoplastics**
  - Functionalisation by synthesis - Copolymers
  - Functionalisation by surface modification of polymers
  - Functionalisation by doping of polymers with nanoparticles
- **Curing polymers**
  - Thermally curing polymers
  - Photochemically curing polymers
- **Summary**

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## NIL process

- Imprint
  - Polymer solution spun on substrate
  - Nano structured mould pressed into polymer @  $T > T_g$
  - Mould removed @  $T < T_g$
  -
- Pattern transfer
  - Residual polymer removed by anisotropic etching

( $T_g$  – glass transition temperature, kind of "softening" temperature)



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## *Requirements for polymers for NIL*

High quality amorphous films

- good adhesion to the substrate, high thickness uniformity
- Low viscosity during imprinting
- High pattern transfer fidelity, no adhesion to the mould during release
- Sufficient **thermal stability** in subsequent processes, e.g. RIE, lift-off
- High **plasma etch resistance**
  - *allows smaller film thickness, aspect ratio and feature size*
- Soluble in non-toxic solvents, deposition by spin-coating

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*Thermoplastic polymers*

**Thermoplastic** polymers specifically meeting general requirements of NIL  
→ rheological behaviour

Low imprint temperature  
Low imprint time  
Low imprint pressure

**Polymers for nanoimprint lithography**

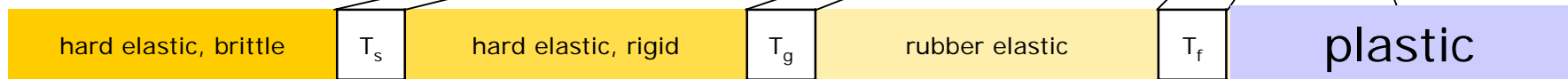
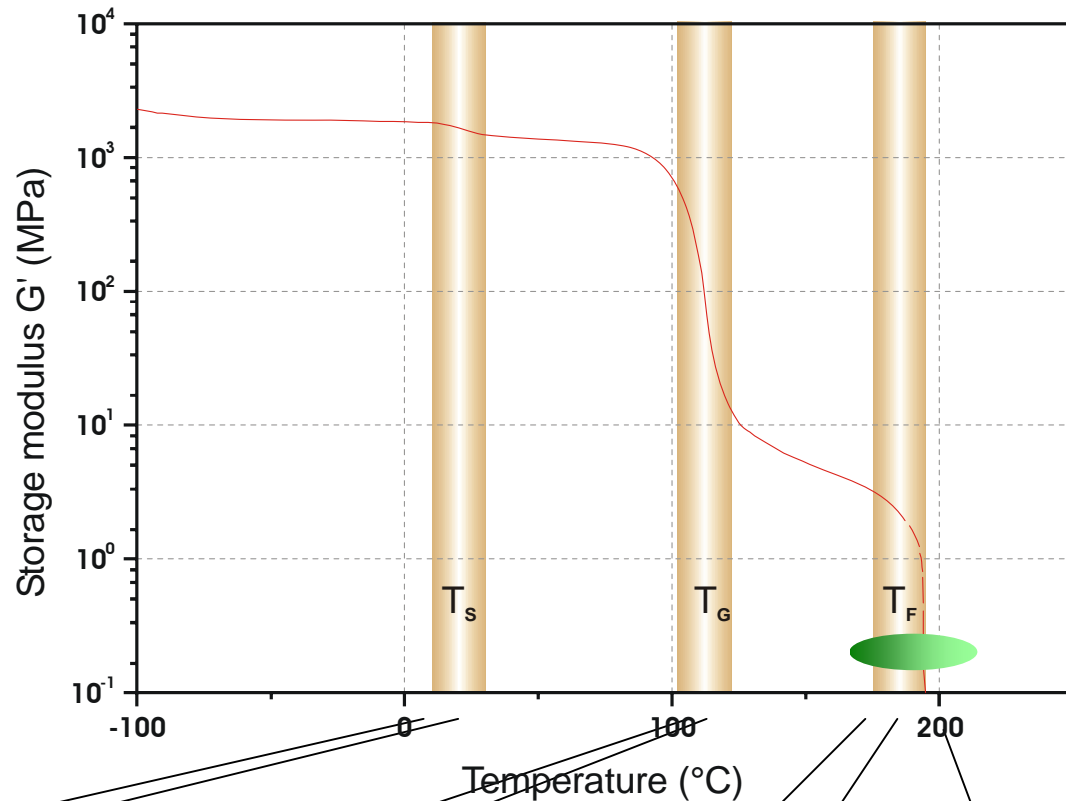
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**Glass transition and flow temperature of PMMA**

**Mechanical properties**  
of the polymers  
- not photochemistry-  
govern imprint  
behaviour

- $T_s$  sub transition
- $T_g$  glass transition
- $T_f$  flow



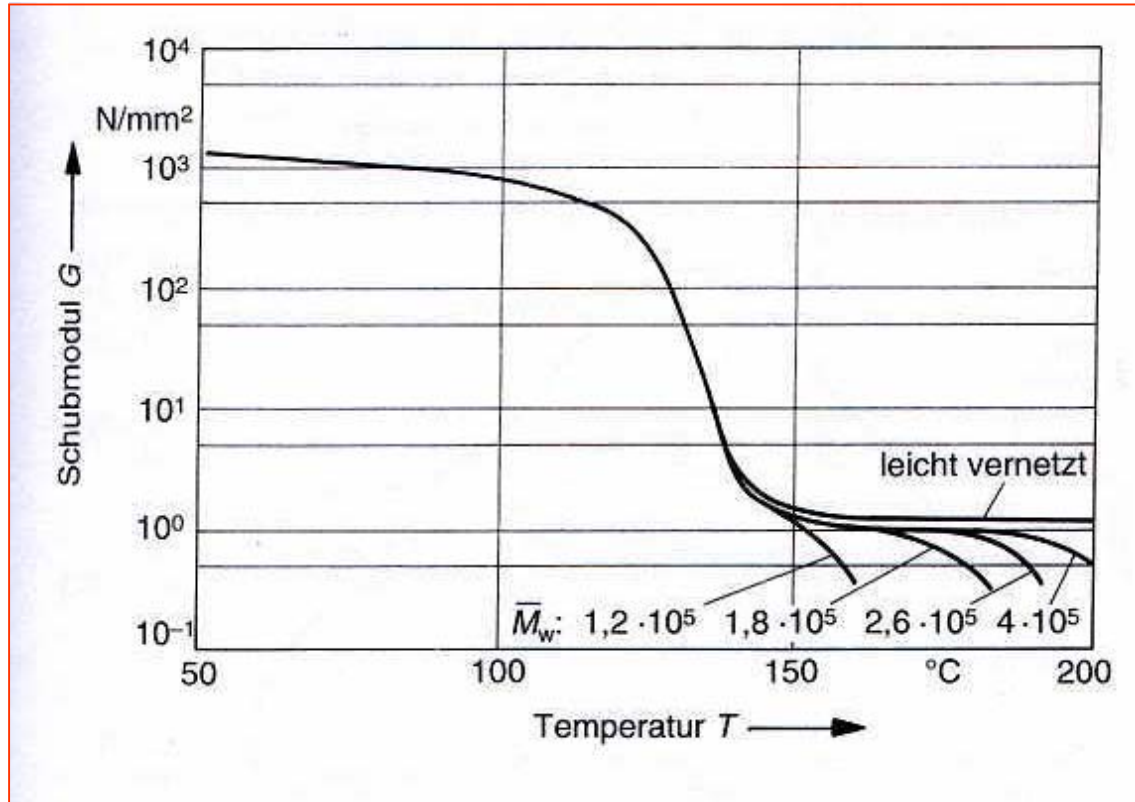
Temperature range for NIL

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## Shear modulus of different molecular weight PMMAs

Flow temperature of PMMA (and other amorphous polymers) increases with increasing molecular weight.

A. Franck, Kunststoff-Kompendium, 4. Aufl., Vogel Buchverlag, Würzburg 1996, p. 255

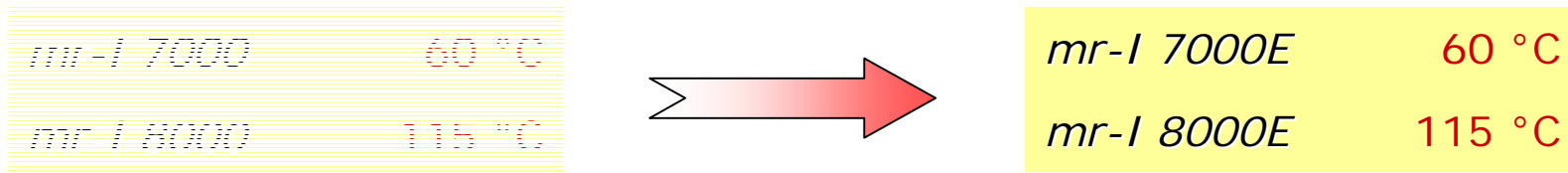


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**mr-I 7000E, mr-I 8000E - Thermoplastics with improved imprinting behaviour (1)**

Thermoplastic polymers for NIL with excellent plasma etch resistance developed and provided by *micro resist technology* Berlin require **rather high imprint pressure**.

**Improved imprint behaviour** by redesign of the polymerisation process (mainly lower molecular weights) and the recipe of the polymer solution

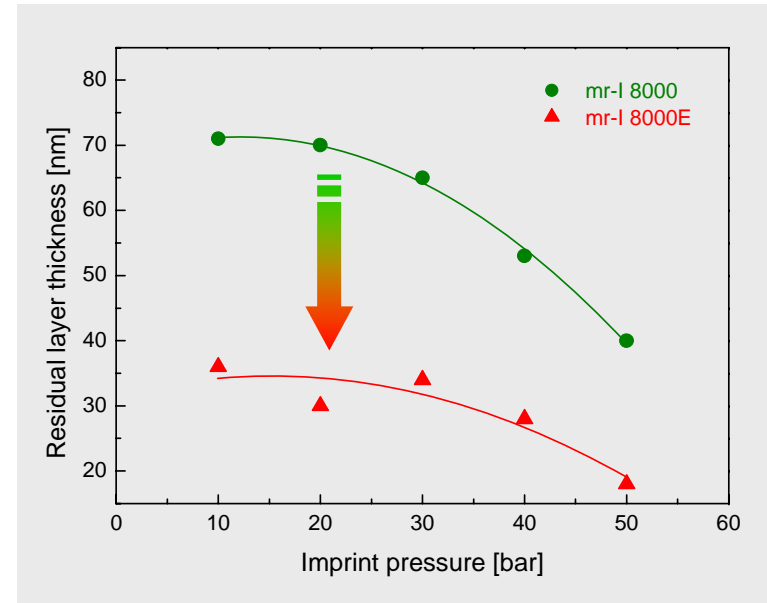


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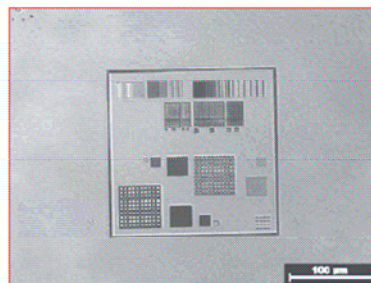
## mr-I 7000E, mr-I 8000E - Thermoplastics with improved imprinting behaviour (2)

Residual layer thickness as a function of imprint pressure  
 Film thickness: 200 nm  
 Imprint: 10 s @ 160 °C, incomplete filling of stamp cavities

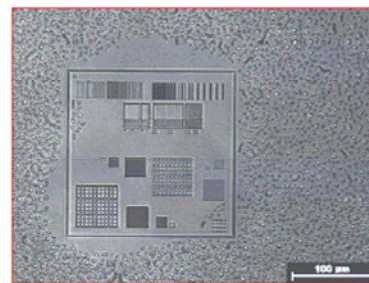
- Lower imprint pressure
- Lower residual layer thickness
- Shorter cycle times due to faster imprint



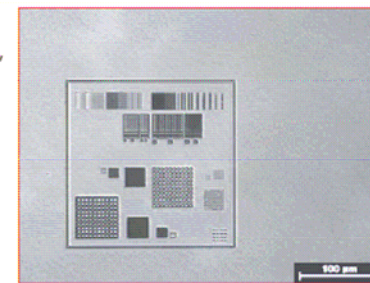
### Defect-free imprints at lower pressure



mr-I 7000  
 130 °C, 3 min,  
**50 bar**  
 no defects



mr-I 7000  
 130 °C, 3 min,  
**20 bar**  
 insufficient  
 polymer flow



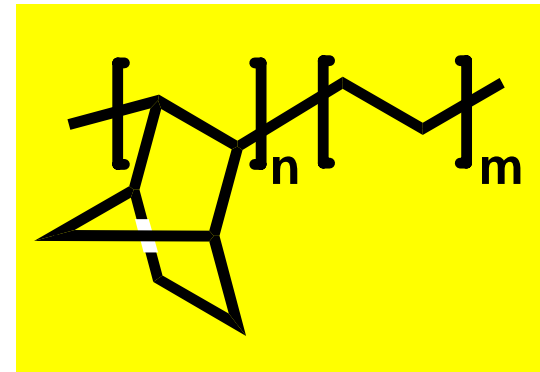
mr-I 7000E  
 130 °C, 3 min,  
**20 bar**  
 no defects

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## TOPAS polymers for NIL

- COC: cyclic olefinic copolymer (norbornene and ethylene)
- Attractive properties:
  - **very unpolar**
  - very low water absorption
  - high optical transparency ( $> 300$  nm)
  - high chemical resistance
  - low surface energy
  - high plasma etch resistance

Finding solvent system giving homogeneous and stable solutions - Challenging task



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## *Cyclic Olefin Copolymer TOPAS for nanoimprint lithography for bio-applications*

Homogeneous spin-coating of Topas

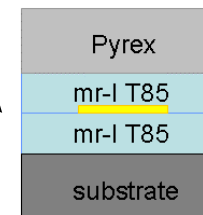
Control of Topas surface on wafer substrates

**Commercialisation** of Topas solutions:

- **mr-I T85** with Topas grade 8007
- **mr-I T65** with Topas grade 9506

### *Applications:*

- *Lab-on-a-chip microfluidic system for absorption measurements*
- *Plasmon polariton wave guide components*
- *Pattern transfer for photonic crystal devices*



*(in Collaboration with MIC)*

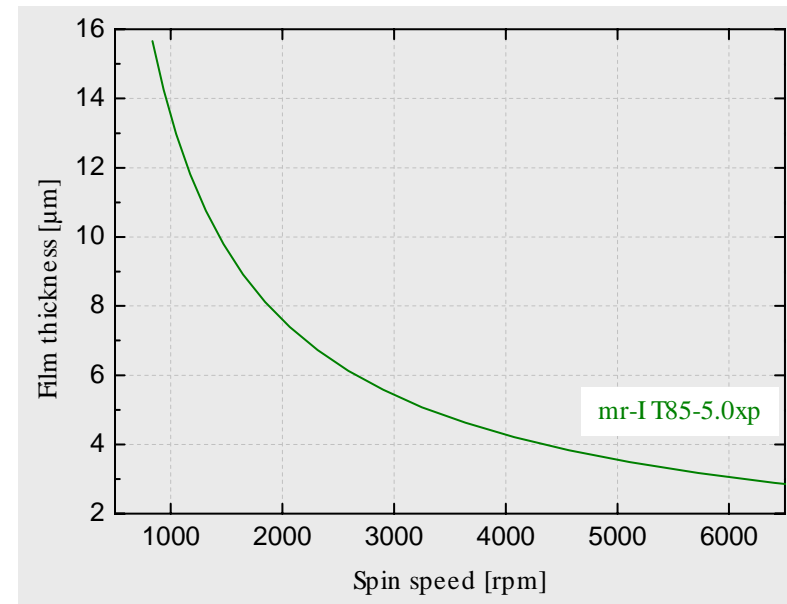
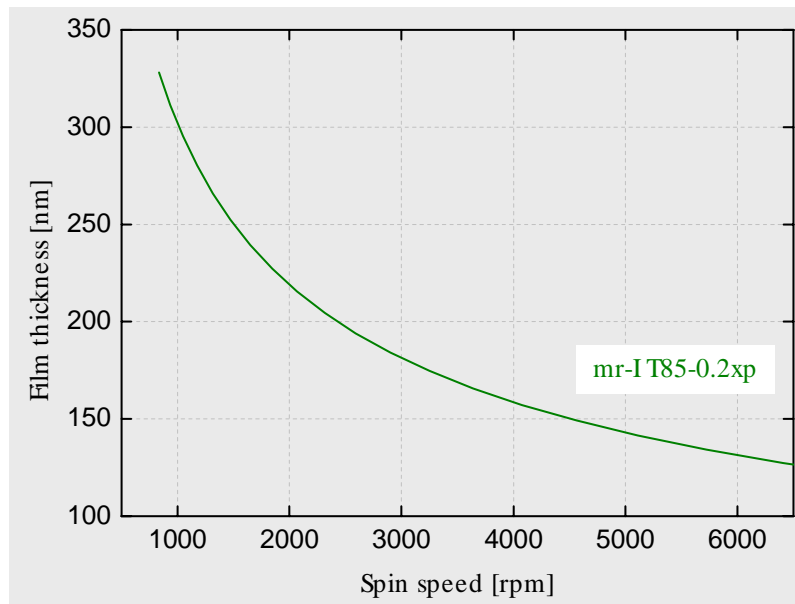
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## TOPAS film thicknesses

**Commercialisation** of Topas solutions:

- **mr-I T85** with Topas grade 8007
- **mr-I T65** with Topas grade 9506

Spin curves: mr-I T85 in solvent system 1



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## *Well defined thermoplastic polymers by ATRP*

ATRP - **Atom transfer radical polymerization**

Variant of living polymerization

- Versatility
- Many possibilities to create various polymer architectures
  - block polymers
  - graft polymers
  - dendritic polymers
- Control of the molecular weight
- Low polydispersity
- A lot more possibilities for tailoring polymer properties, when using different monomers (copolymerisation)



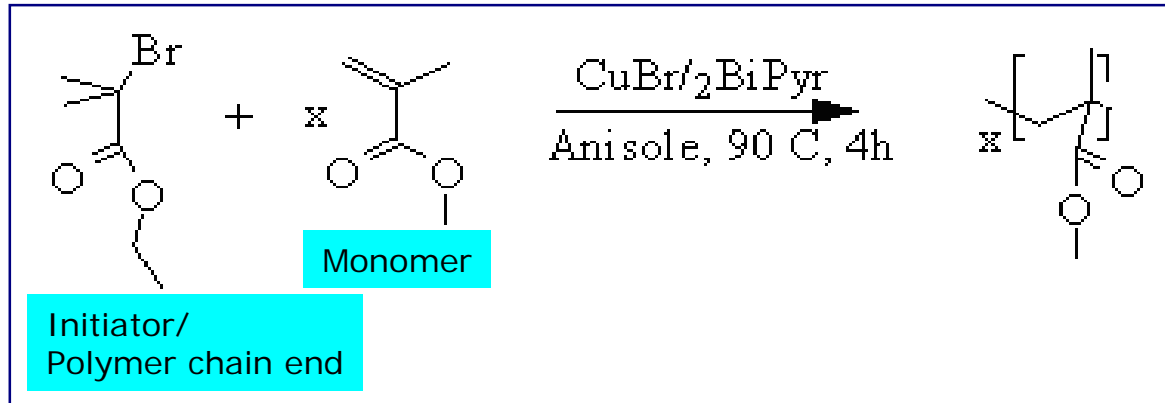
**powerful method for tailoring polymer properties**

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**Synthesis of low polydispersity homo-polymethacrylates**

ATRP conditions:

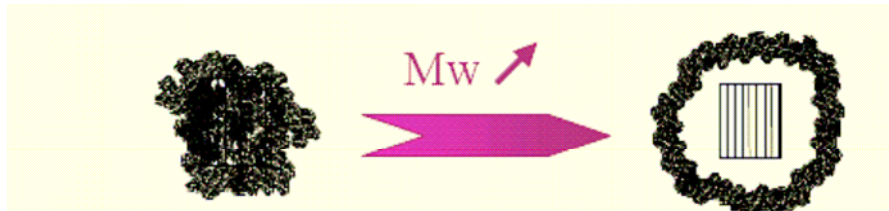
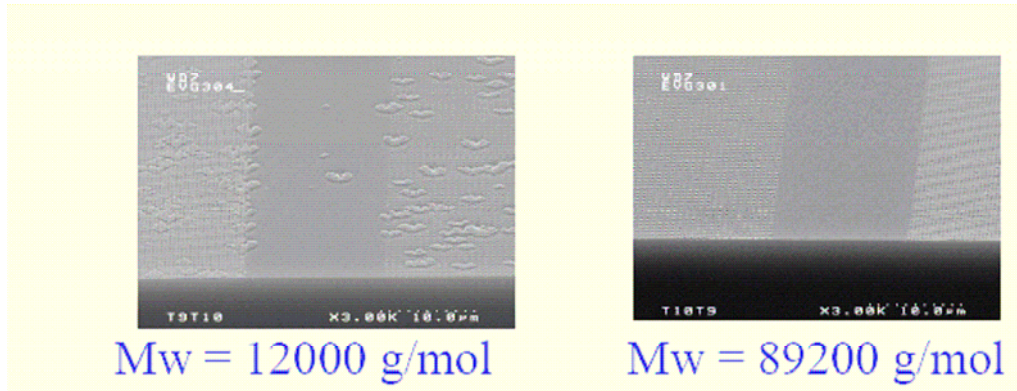


Polymer	Molecular weight g/mol	Polydispersity
Poly(methyl methacrylate)	15,000	1.15
Poly(methyl methacrylate)	24,000	1.15
Poly(methyl methacrylate)	50,000	1.2
Poly(aromatic methacrylate)	13000	1.10
Poly(aromatic methacrylate)	16500	1.4
Poly(aromatic methacrylate)	33000	1.2
Poly(aromatic methacrylate)	89000	1.2

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***Influence of molecular weight on imprinting***

Imprinting temperature :  $T_g + 20$  K

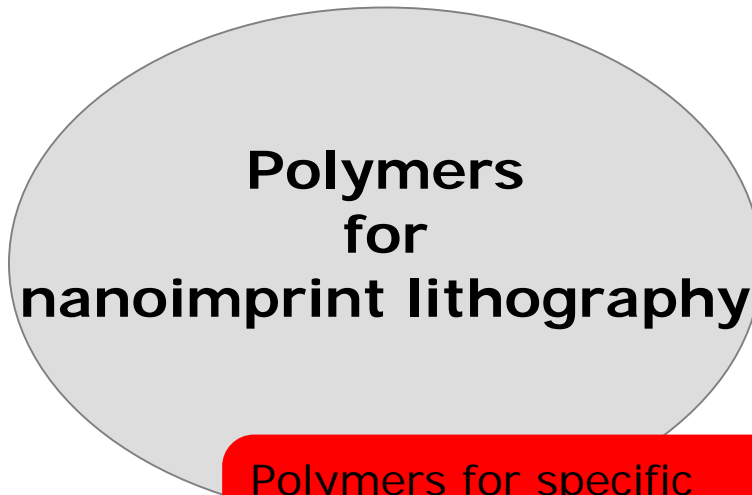


When imprinted at **low temperature**, then less defects induced by capillary effects with increasing molecular weight

*(N. Chaix, C. Gourgon, S. Landis, C. Perret (LTM), NNT 2004)*

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*Functionalised polymers*

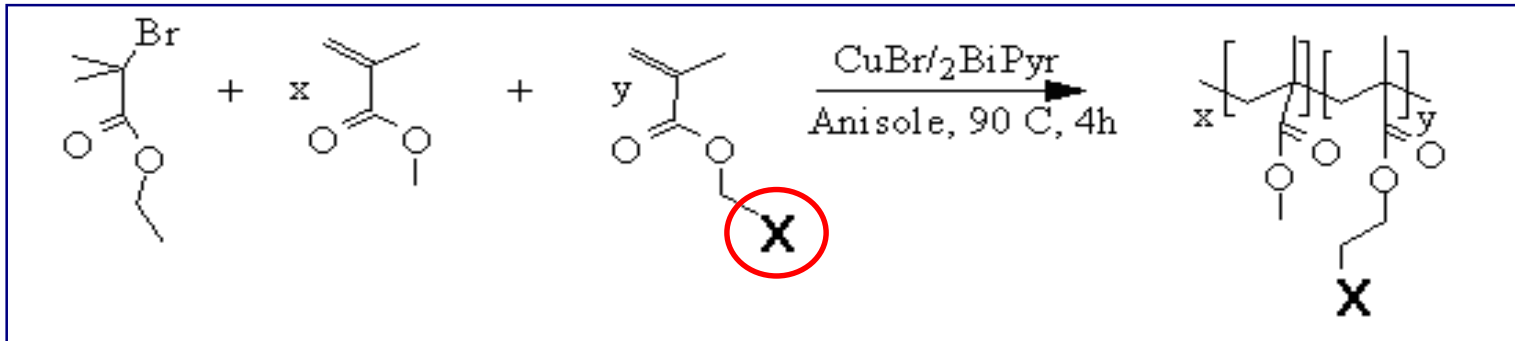


Polymers for specific applications  
→ **functional** materials

Optical properties  
Functional groups for binding biological molecules

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## Functionalised random copolymers



Polymer	Molecular weight g/mol	Polydispersity
Poly(methyl methacrylate-co-methacrylic acid)	15,000	1.2
Poly(methyl methacrylate-co-dimethyl aminoethyl methacrylate)	18,000	1.2
Poly(methyl methacrylate-co-perfluorinated methacrylate)	15,000	1.2
Poly(methyl methacrylate-co-glycidyl methacrylate)	20,000	1.2

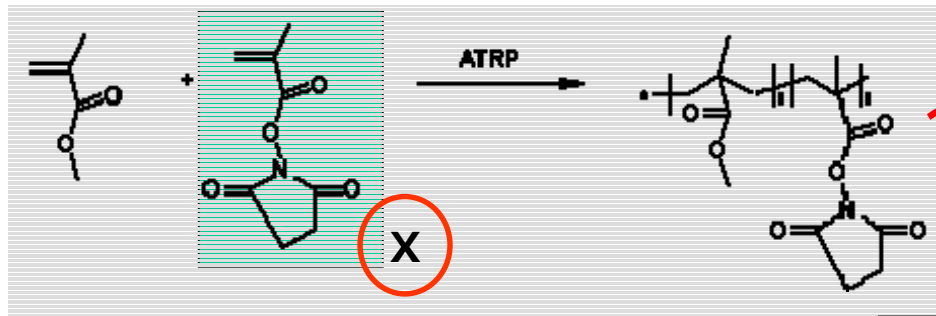
**X:**

- carboxylic acid
- tertiary amine
- perfluorinated alkyl
- glycidyl
- hydroxy groups
- ...

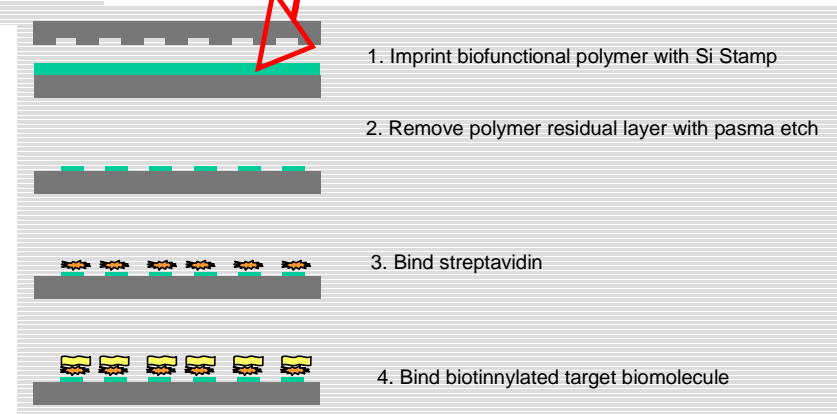
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**Functional random copolymers**  
**Example: Poly(MMA-succinimidyl methacrylate)**

Polymers for biological applications by introduction of functional groups



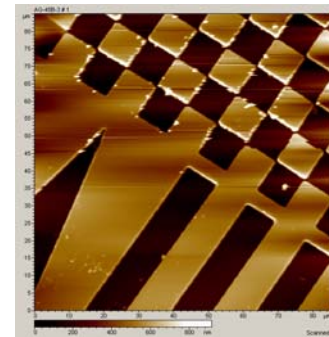
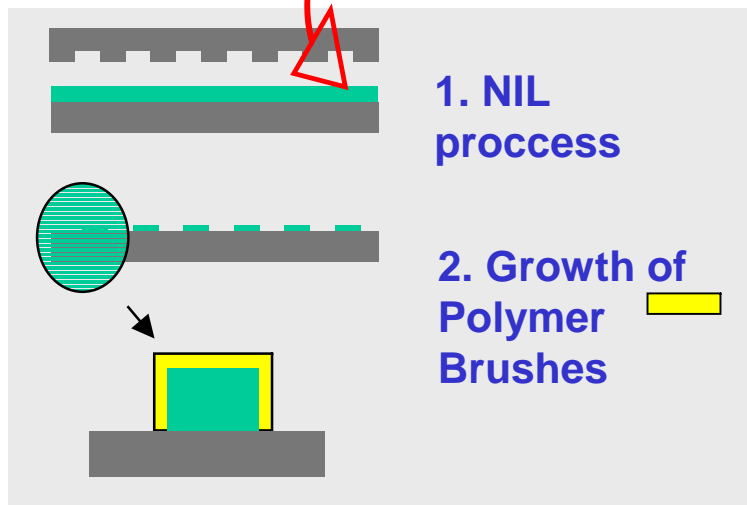
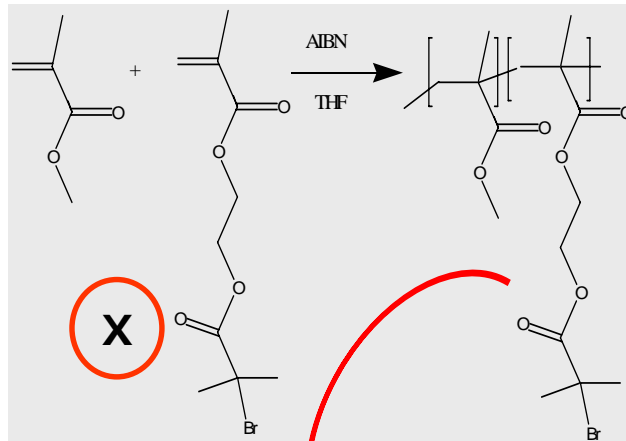
Fluorescence Microscopy of polymer with bound streptavidin



Envisaged 4 step-nanolithography method using functionalised polymer

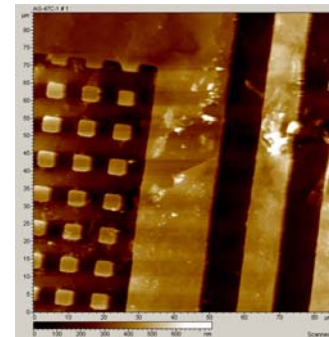
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## Nanoimprinted surface modification by polymer brushes



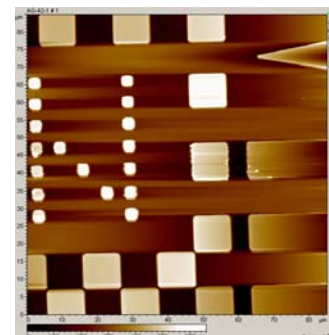
(in collaboration with TNINIL)

Water contact angle of imprinted PMMA copolymer: 88°



Surface modification of nanoimprinted polymer by growing hydrophilic polymer brushes: Based on Sulfopropyl methacrylate

Water contact angle: 34.4°



Surface modification of nanoimprinted polymer by growing hydrophobic polymer brushes: Zonyl fluoromonomer

Water contact angle: 115°

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***New nanocrystal/polymer based materials for NIL***

**Why synthesis and functionalisation of colloidal nanoparticles for incorporation in thermoplastic and curing polymers?**

Tuning of functional properties

- Optical absorption and emission
- Mechanical Stability
- Conductivity
- Processability
- ...



Size dependent luminescent CdSe NCs



Potential for new permanent applications

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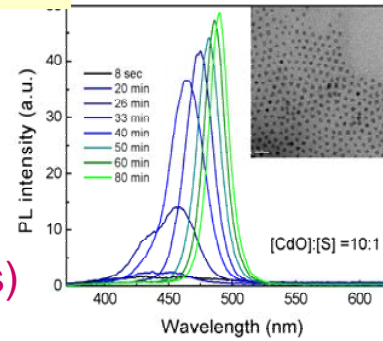
## What kind of Nanoparticles?

- Luminescent NCs:**
- CdS NCs;
  - CdSe NCs;
  - CdSe@ZnS NCs

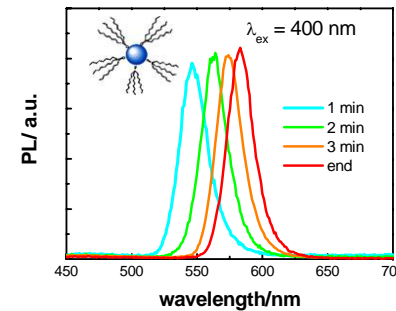
- Oxide NCs:**
- TiO<sub>2</sub> NCs (dots and rods)

- Metal NPs:**
- Au nanodots and nanorods

- Metal oxide composites:**
- TiO<sub>2</sub>/Au
  - TiO<sub>2</sub>/Ag

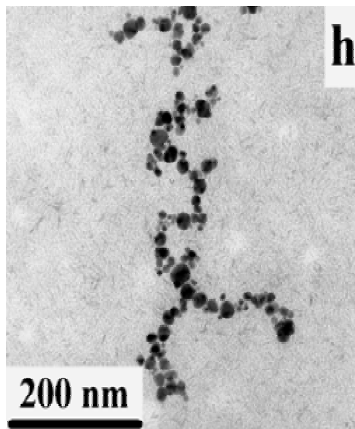


CdS NCs

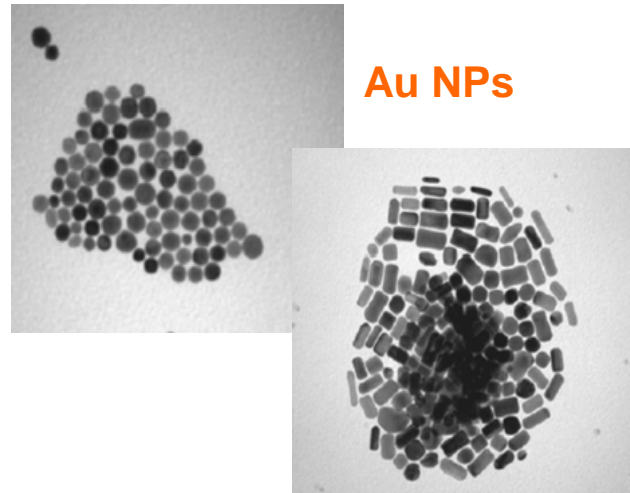


CdSe@ZnS NCs

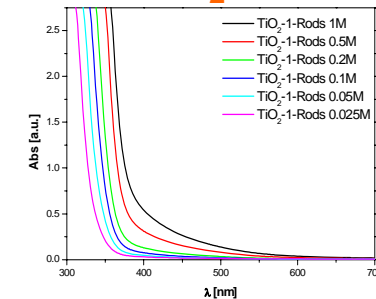
TiO<sub>2</sub>-Au NPs



Au NPs



TiO<sub>2</sub> NCs



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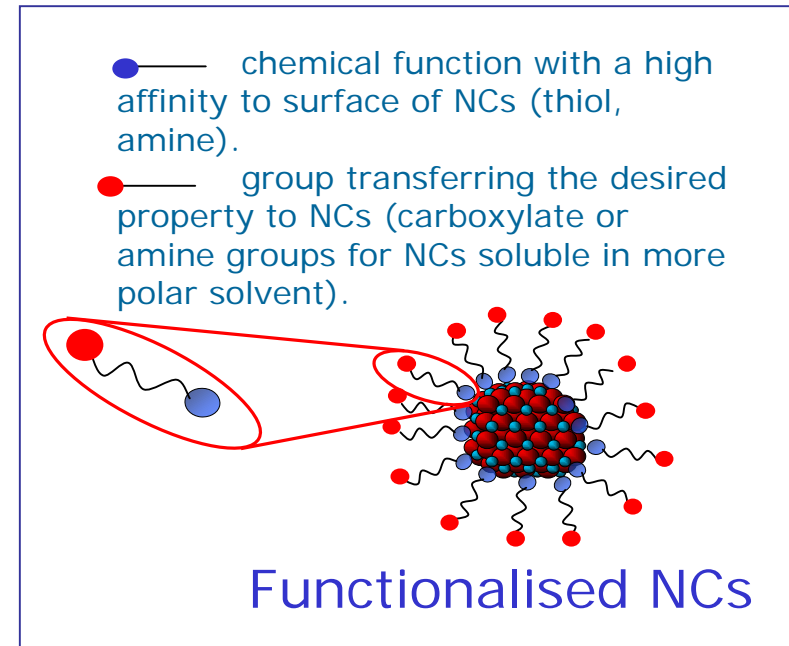
## NC incorporation procedures

### Capping exchange on surface of NCs performed to

- obtain solubility in polar solvents
- investigate the role played by surface ligands in the nanocomposite

### Two incorporation approaches:

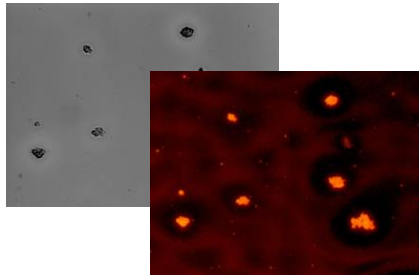
- Control surface chemistry by changing ligand molecules as capping layer to make NCs compatible with the desired environment
- Exploit chemical interaction between functional groups at polymer chains and ligand molecule at NC surface.



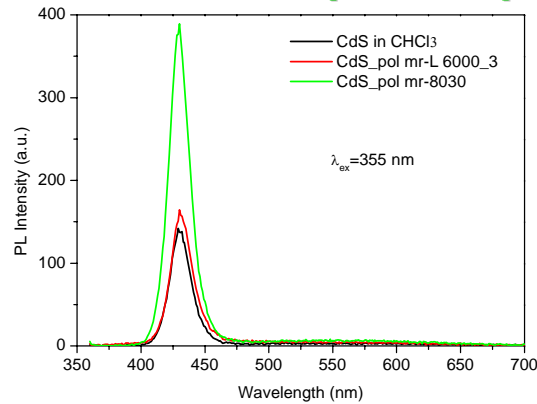
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## Nanocrystal modification of:

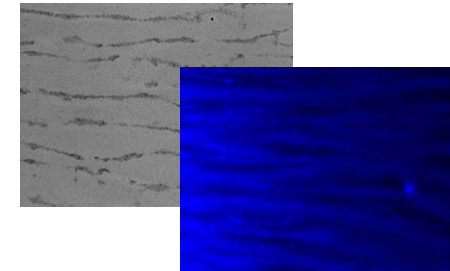
CdSe@ZnS



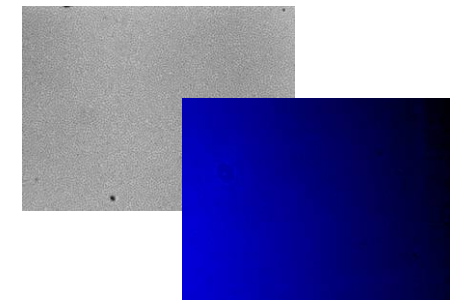
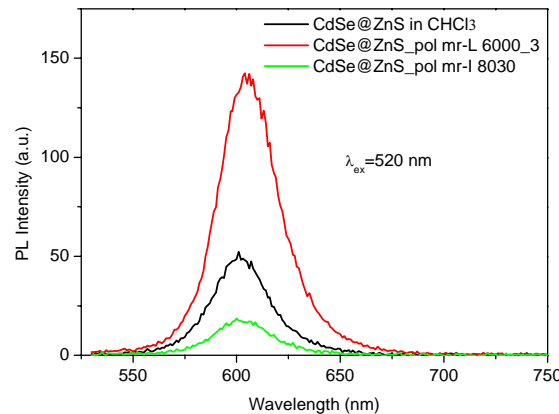
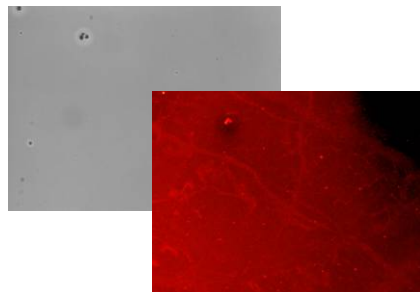
- **mr-I 8030 thermoplastic polymer**



CdS



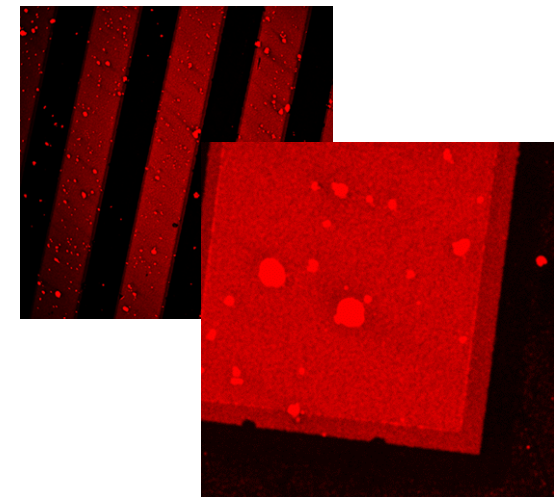
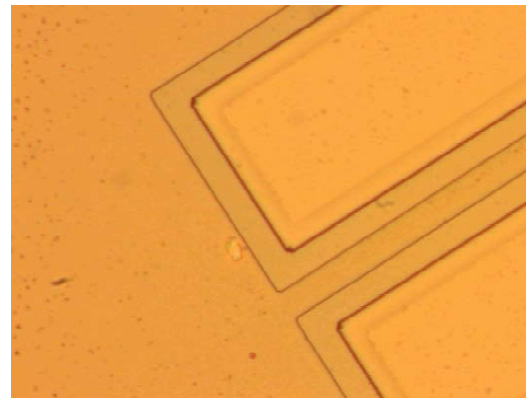
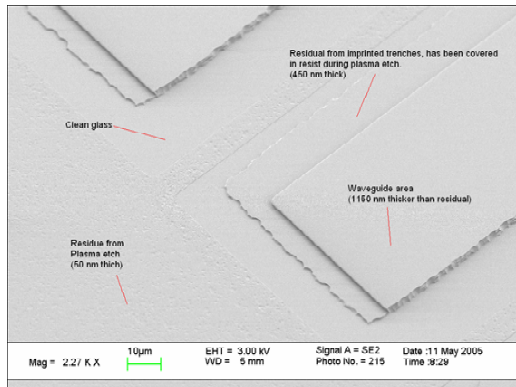
- **mr-L 6000.3 XP curing polymer**



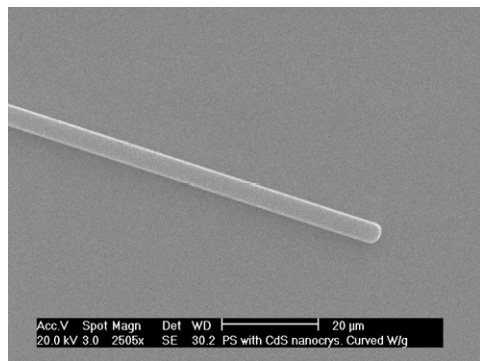
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**First imprinting test on NC-polymer nanocomposites**

**TOPAS**

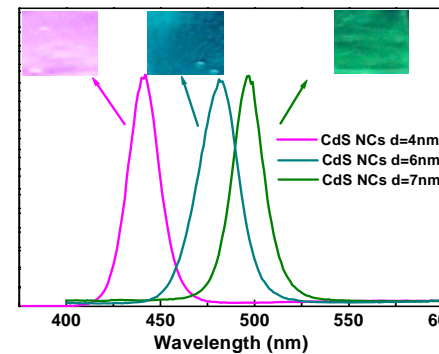


CdSe@ZnS NCs in TOPAS: imprinted laser ridges (in collaboration with MIC)



**PS**

CdS NCs in Polystyrene: imprinted waveguide (in collaboration with Tyndal)



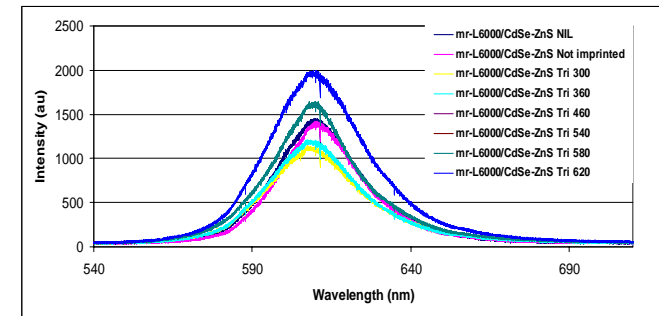
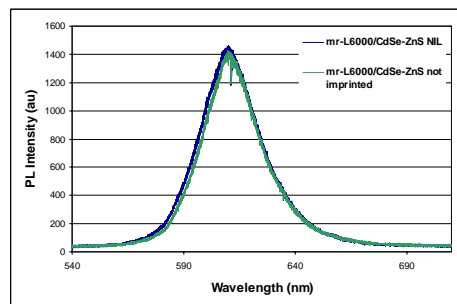
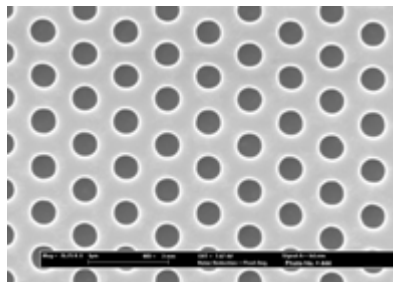
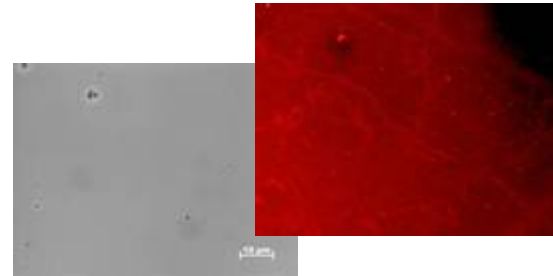
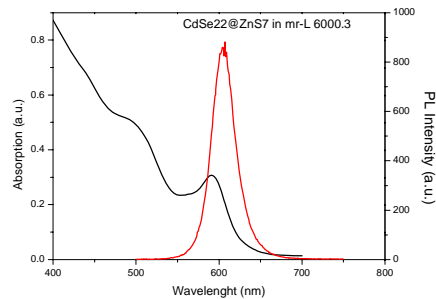
**PMMA**

Luminescent CdS NCs in PMMA

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## Photonic band gap structures with NC/polymer nanocomposites

- Slight enhancement in PL QY for CdSe@ZnS NCs in mr-L 6000.3  
 → Result of chemical interaction between polymer chains and NC surface

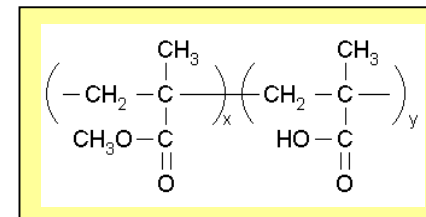


Optical properties of the NCs were minimally affected by the nanoimprint process

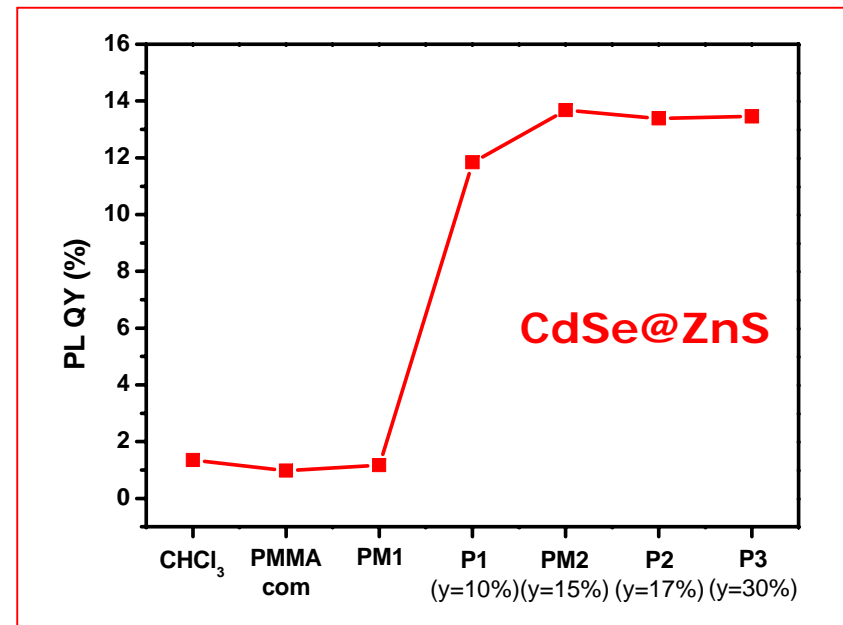
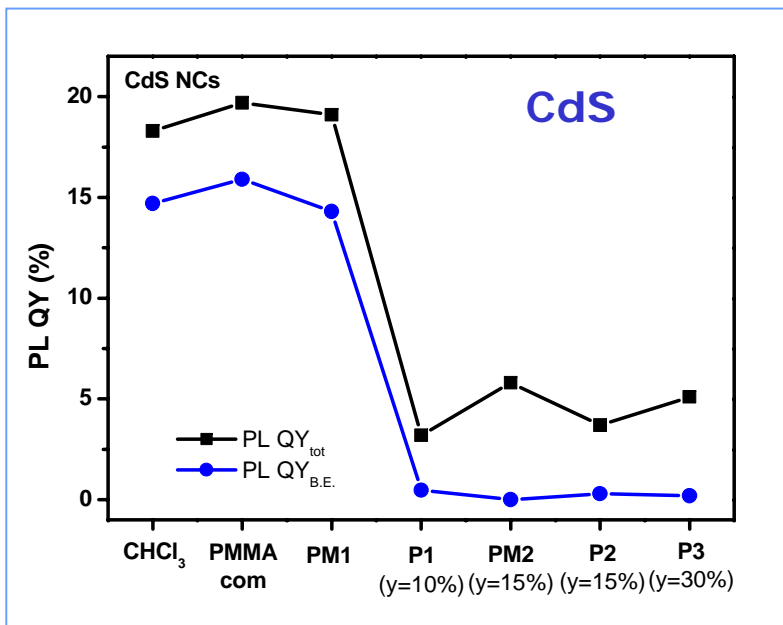
Depending on the lattice dimensions enhancement in the PL intensity up to a factor two with respect to that of the nanoimprinted unpatterned substrate

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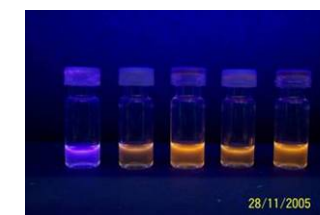
## Nanocrystals in MMA-MA copolymers



Luminescence quantum efficiency (PL QE) is highly enhanced



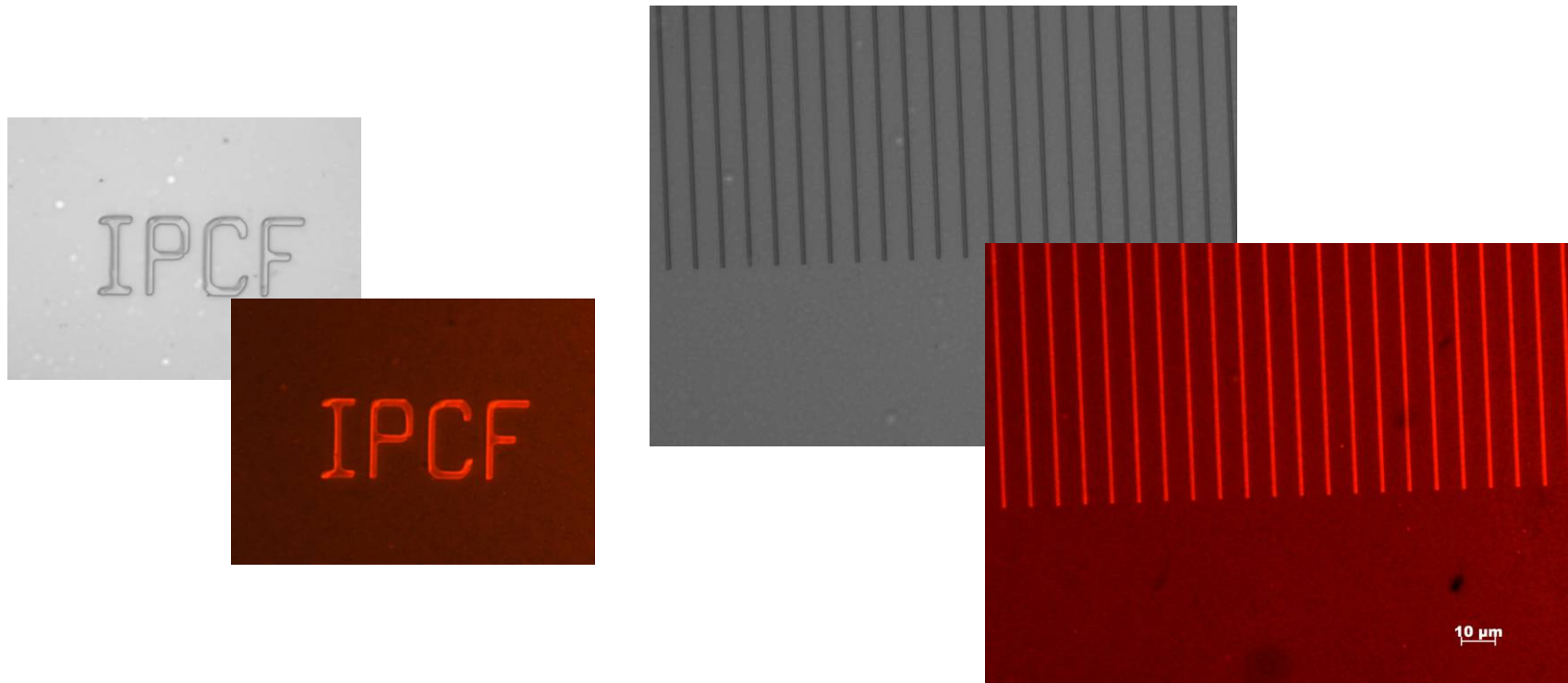
PL QY dramatically decreased



Specific interaction between the NC surface and the functional groups

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**Imprinting test on luminescent NCs/PMMA based co-polymer composites**



**Homogeneous distribution of NCs inside the polymer matrix**

CdSe@ZnS NCs in PMMA modified co-polymer: imprinted waveguide  
*(in collaboration with Tyndal)*

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## *Surface modification of selected polymers by plasma treatment*

### OBJECTIVE

- to improve the release process by plasma functionalisation of the polymer surface
- in order to avoid the problems of adhesion between the stamp and the substrate during de-moulding in the NIL process

### Plasma treatment of

- polymers to be imprinted
- polymer stamps

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## *Selected polymers and surface characterization*

**RF and micro wave plasma**  
treatment of selected polymers

mr-I 7000  
mr-I 8000  
mr-I 9000  
mr-L 6000  
TOPAS  
PMMA

### **Surface characterization**

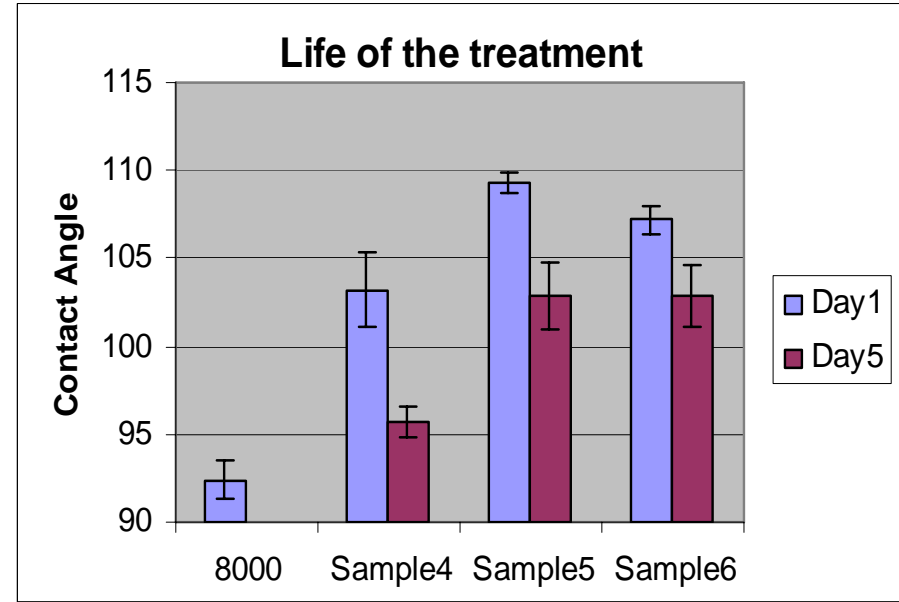
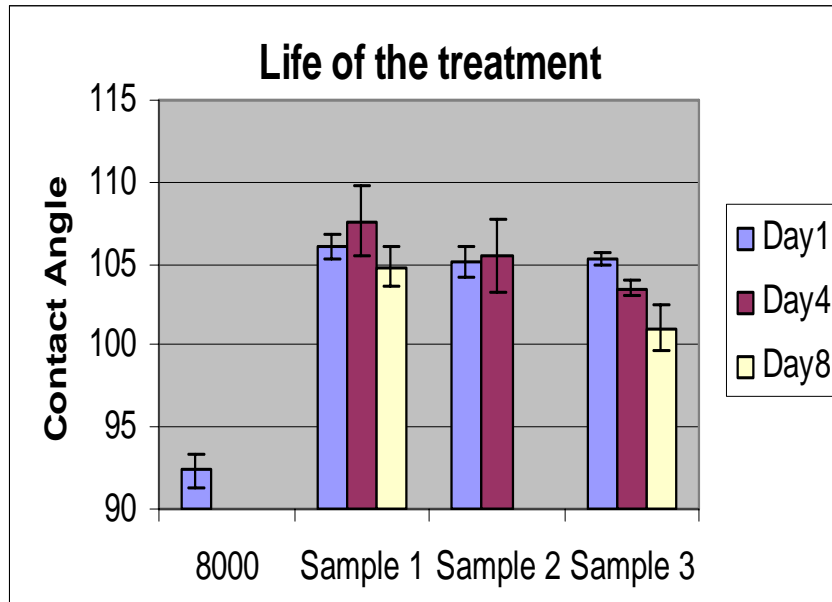
- Contact angle (DIGIDROP)
- AFM – Roughness, thickness and nanoindentation
- UFM
- XPS
- FTIR and Raman spectroscopy
- X-Ray Diffraction

**Imprint tests** at *PSI (Switzerland) and Tekniker (Spain)*

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## Durability of RF plasma treatment on mr-I 8000



**Sample 1** Time=2min Power=100W SF6=37,5 Ar =150

**Sample 2** Time=2min Power=25W SF6=50 Ar =200

**Sample 3** Time=2min Power=100W Sff6=200 Ar =0

**Sample 4** Time=1min Power=125W SF6=37,5 Ar= 100

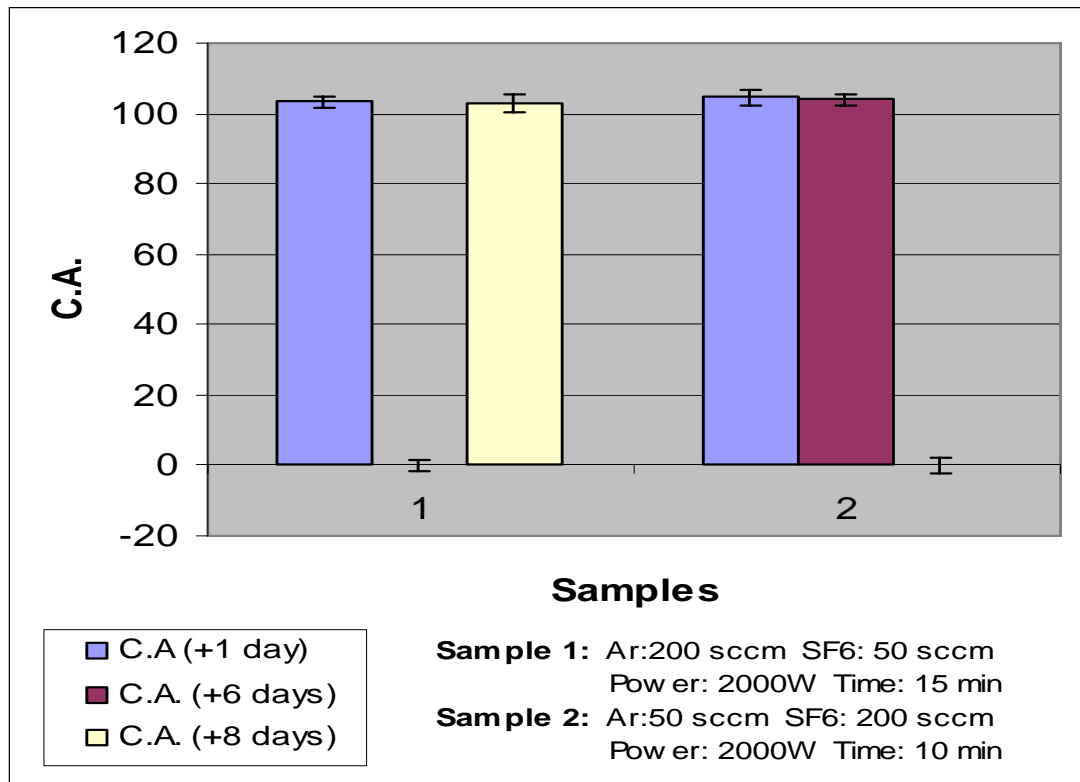
**Sample 5** Time=1min Power=125W SF6=175 Ar=25

**Sample 6** Time=1min Power=125W SF6=225 Ar= 25

**Increase in contact angle from about 92° up to 108°  
Under specific conditions: Decrease in contact angle up to 4°  
after 8 days**

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## Durability of micro wave plasma treatment on mr-I 8000



Under specific conditions: Decrease in contact angle below 4° after 8 days

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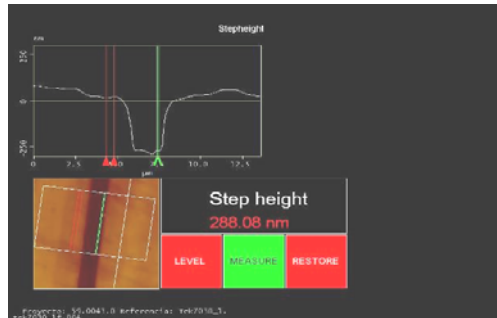
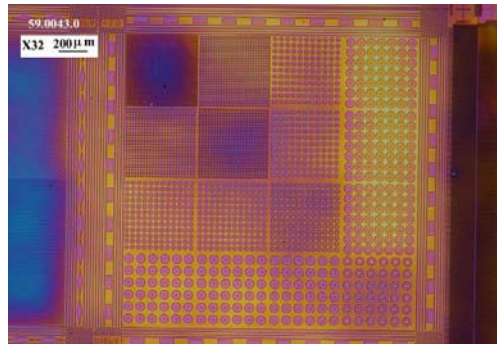
## Imprint tests with plasma modified polymers

*mr-I 7000*  
 $SF_6 + Ar$   
 Microwave plasma  
 3000 W  
 10 min

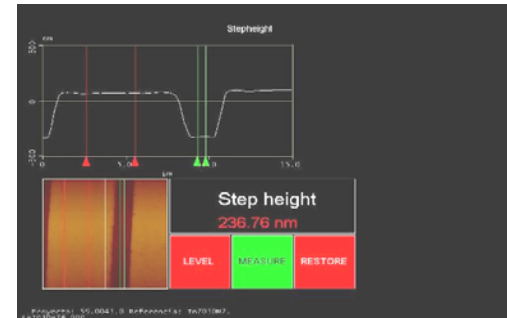
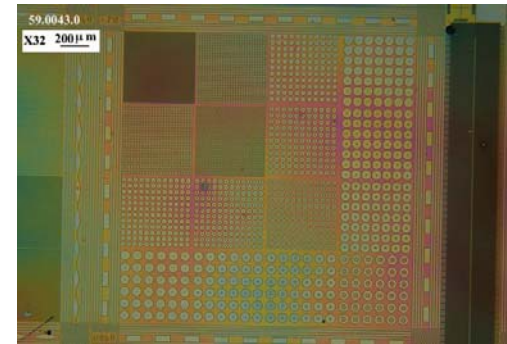
⇒ Similar cavity filling and residual layer

⇒ Lower surface roughness on modified surfaces

Unmodified surface



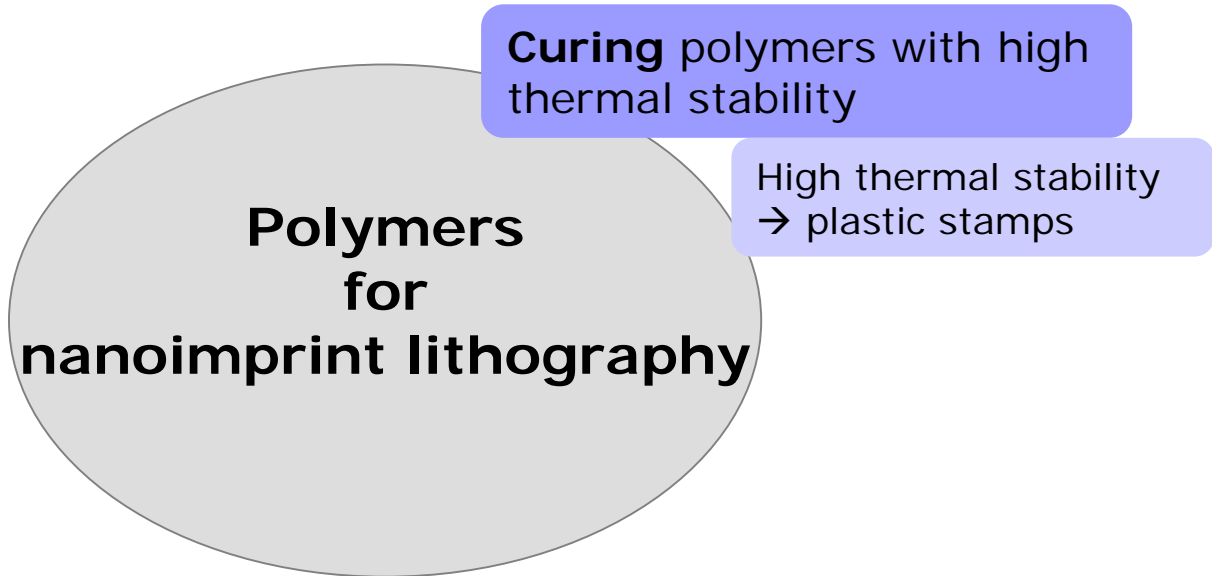
Plasma modified surface



**Plasma treatment improves quality of the patterned surface**

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*Polymer development for NIL - Strategies*



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## Polymers with low $T_g$

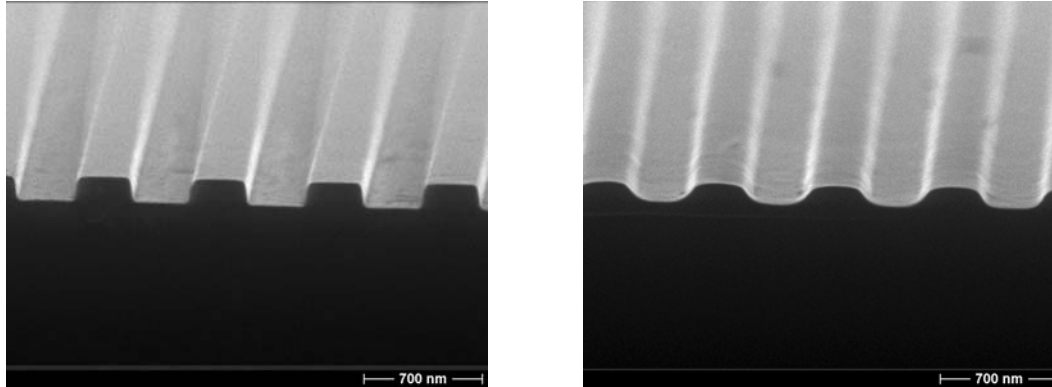
Frequent requests for polymers with low glass transition temperature

- Low *imprint temperature*
  - Good *polymer flow* at moderate temperature
  - Less problems with *thermal expansion*
- 
- *Shorter cycle time* due to faster reaching the imprint temperature?  
**No!**  
At least with imprinters with passive cooling distinct increase in cooling time when the imprint chuck approaches ambient temperature

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## Thermal behaviour of thermoplastics

Example: Thermoplastic with  $T_g$  40 °C



400 nm lines and trenches of the thermoplastic:  
immediately after imprinting (left),  
same patterns after heating the imprint to 60 °C for 5 min (right)

Thermal stability of imprinted patterns (deterioration by thermal flow) is determined by the glass transition temperature.

***You need sufficient thermal stability of imprinted patterns in subsequent processes such as plasma etching.***

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*Approach to thermal stability*

Imprinting at low/  
moderate temperature

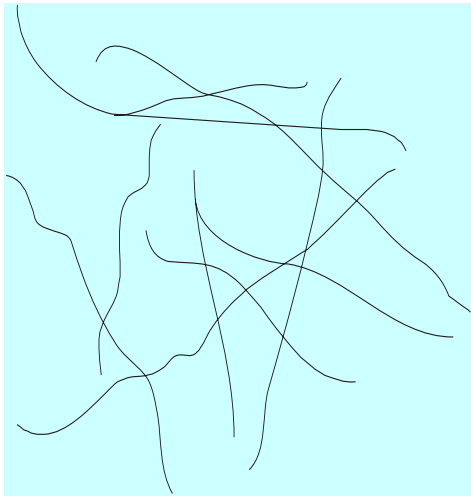
Low  $T_g$  (pre)polymers

Curing of the  
imprinted polymer

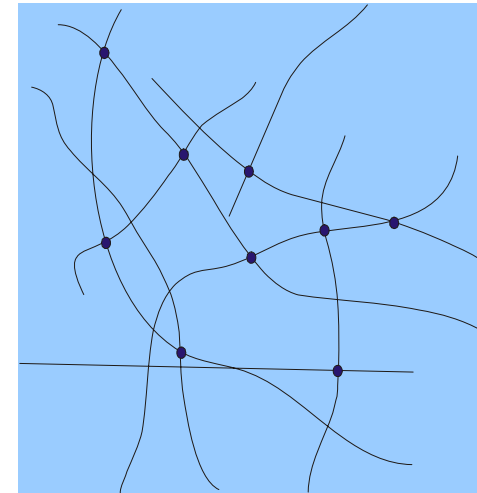
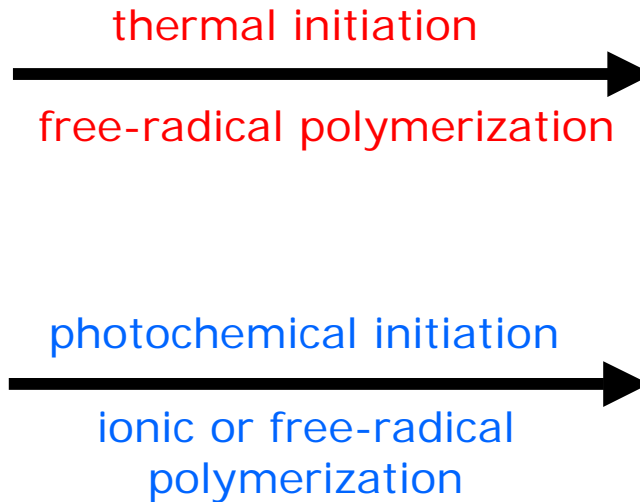
Imprints with  
sufficient thermal  
stability

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## Thermal and photochemical curing



linear or branched  
**thermoplastic**  
(pre)polymer



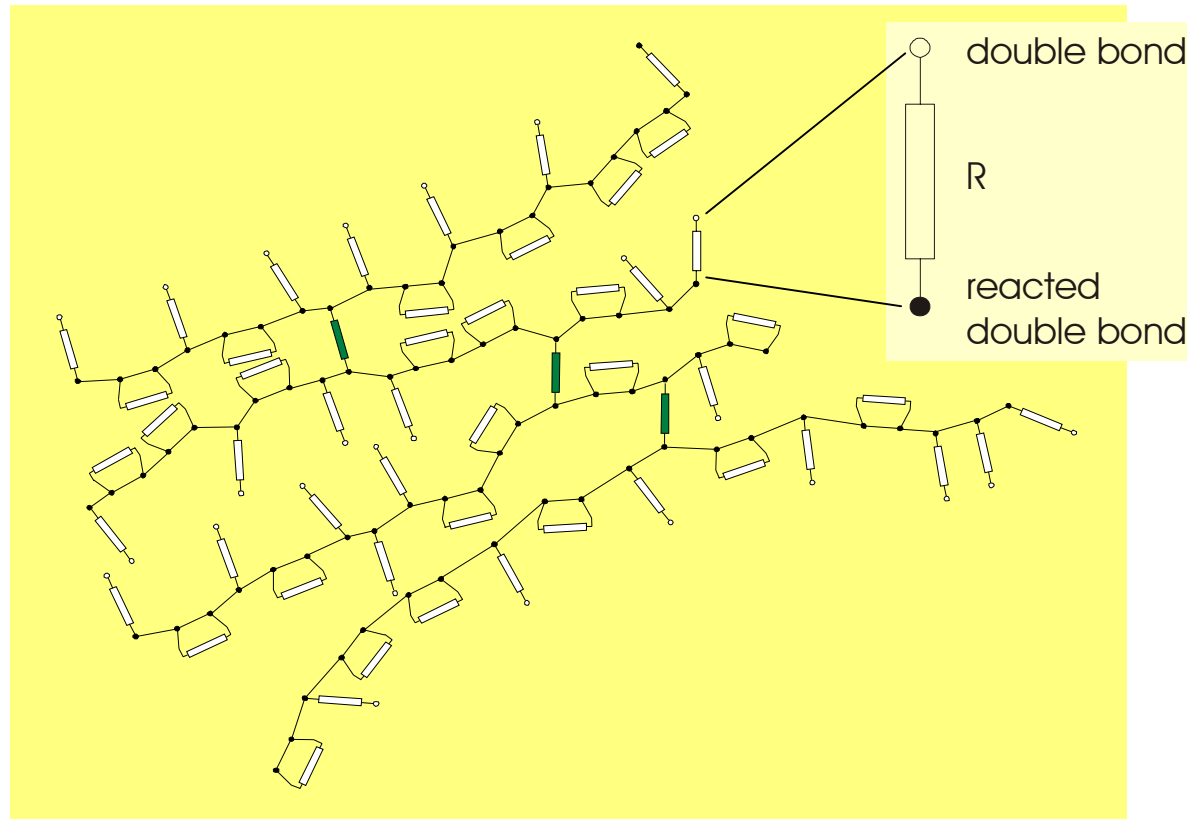
**cured** polymer

(Curing:  
Crosslinking of the macromolecules,  
generation of a spatial macromolecular network)

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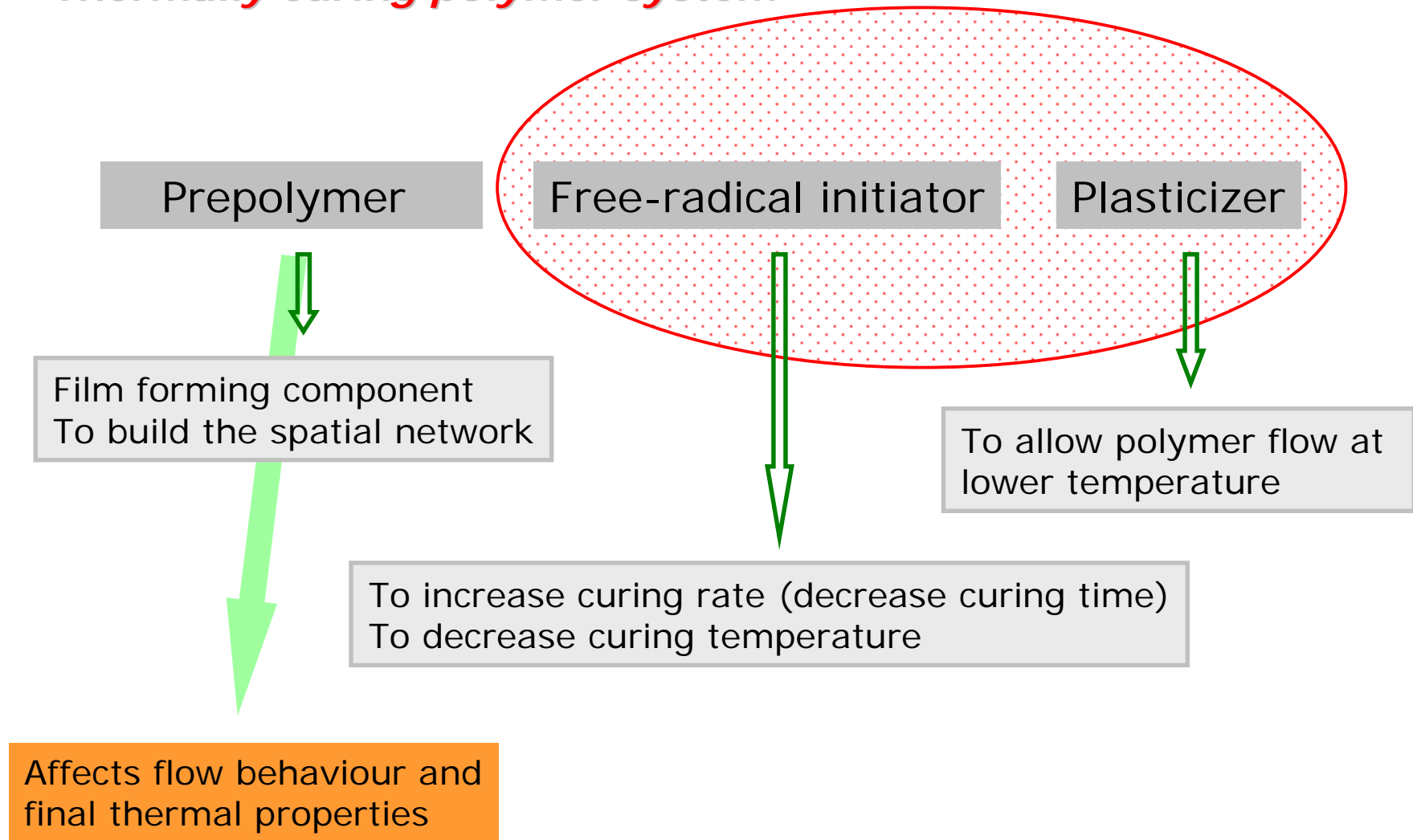


*Schematic structure of thermally curing prepolymers*



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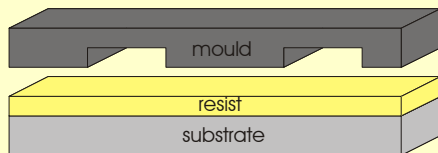
*Thermally curing polymer system*



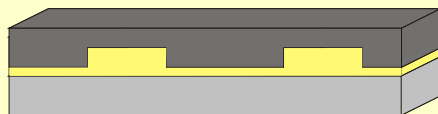
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*Imprinting thermally curing polymer mr-I 9000E*

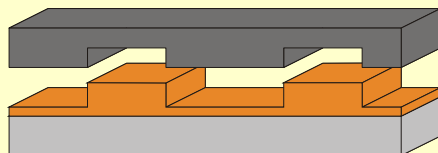
Spin coating and prebake



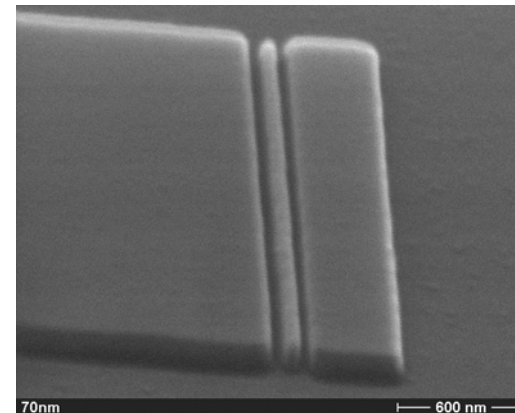
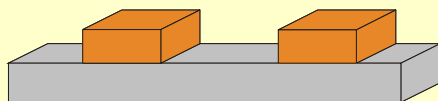
Nanoimprinting @  $T > T_G$  and thermal curing ( $T_G \uparrow$ )



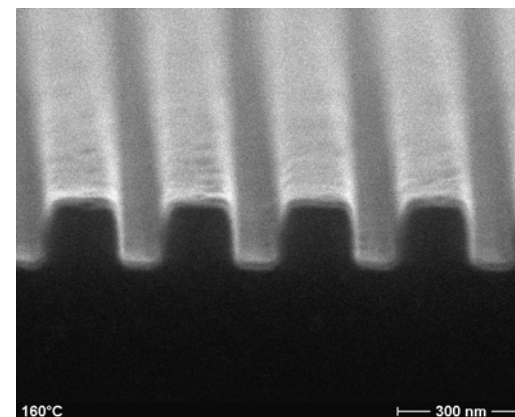
Mould detachment @  $T < T_G$



Anisotropic plasma etch



50 nm line and trenches



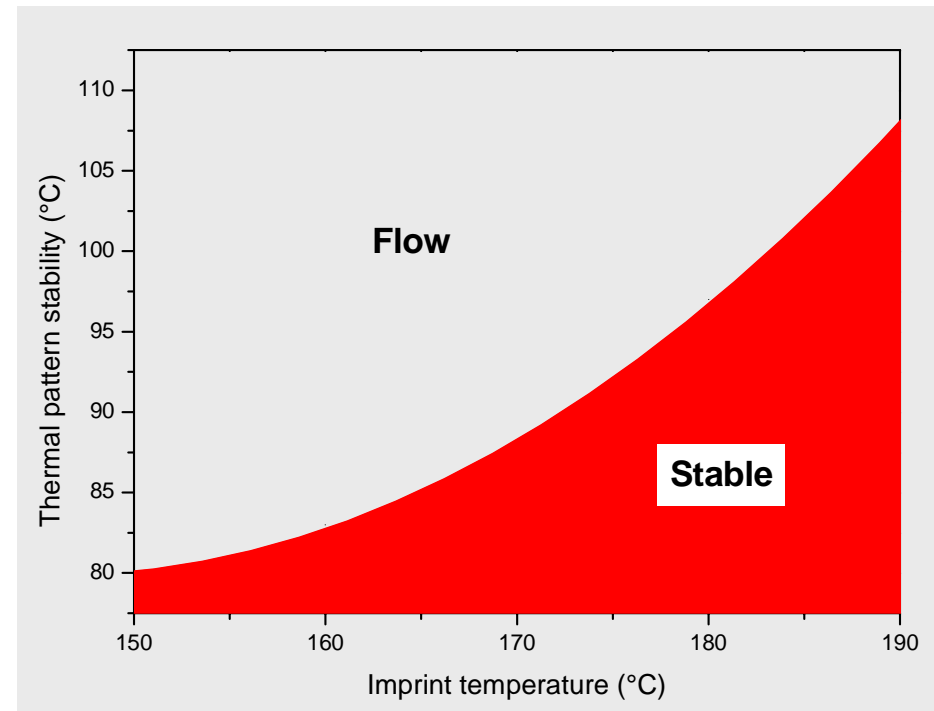
100 nm trenches

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## Increase in $T_g$ during imprinting

- Thermally curing polymer system is cured during imprinting
- $T_g$  of the imprints ( $\rightarrow$  thermal stability) of the imprints **controlled by process conditions**
  - imprint temperature
  - imprint time

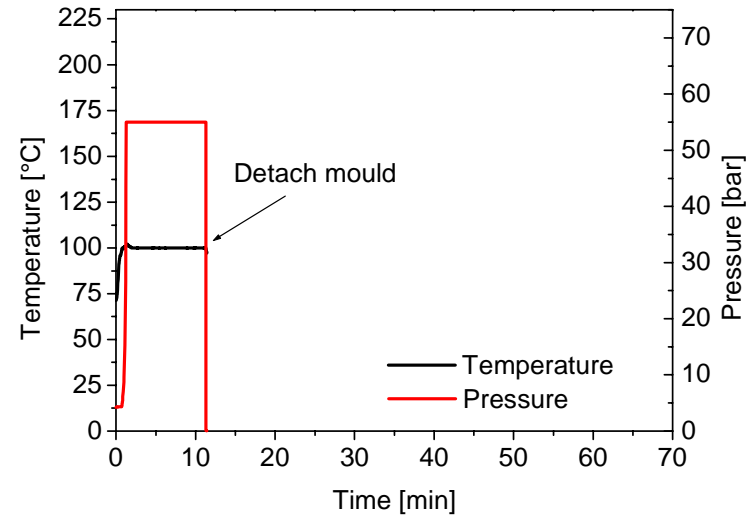
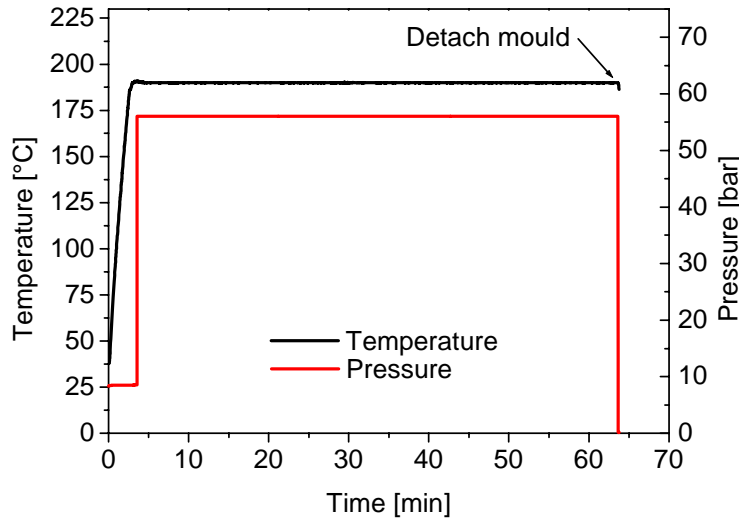
Thermal stability of imprinted patterns determined as onset of pattern flow



Imprint time 5 min  
Imprint pressure 50 bar

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## Imprinting schemes

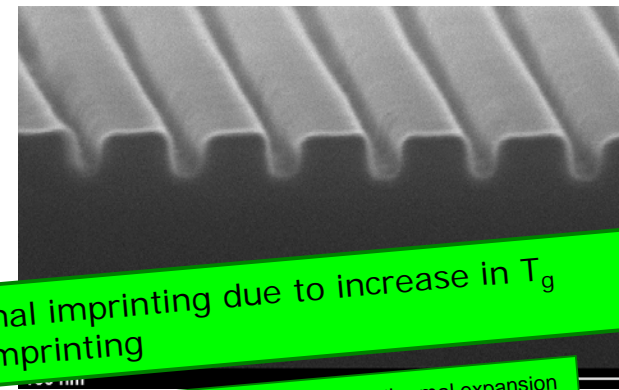


### Initiator A + Plasticizer 1

- Imprinted at **100 °C** for **10 min**, no cooling phase
- Film thickness 170 nm, 100 nm trenches, 10 – 20 nm residual layer

### Starting model system

- Best imprint results (no displacement of the patterns), when **stamp is detached at imprint temperature**
- **190 °C** and **1 h** imprint time (→ sufficient curing) necessary for excellent patterns



Isothermal imprinting due to increase in  $T_g$  during imprinting

Reduction of issues of thermal expansion  
Decrease in imprint time

Litho 20

*mr-NIL 6000 – Photochemically curing resist for NIL*

## Resist for thermal nanoimprint lithography

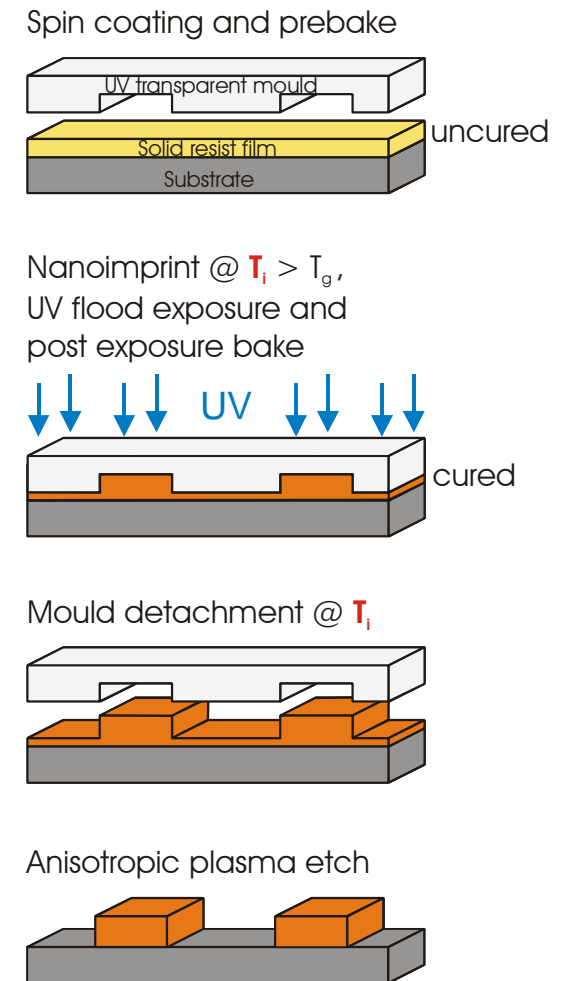
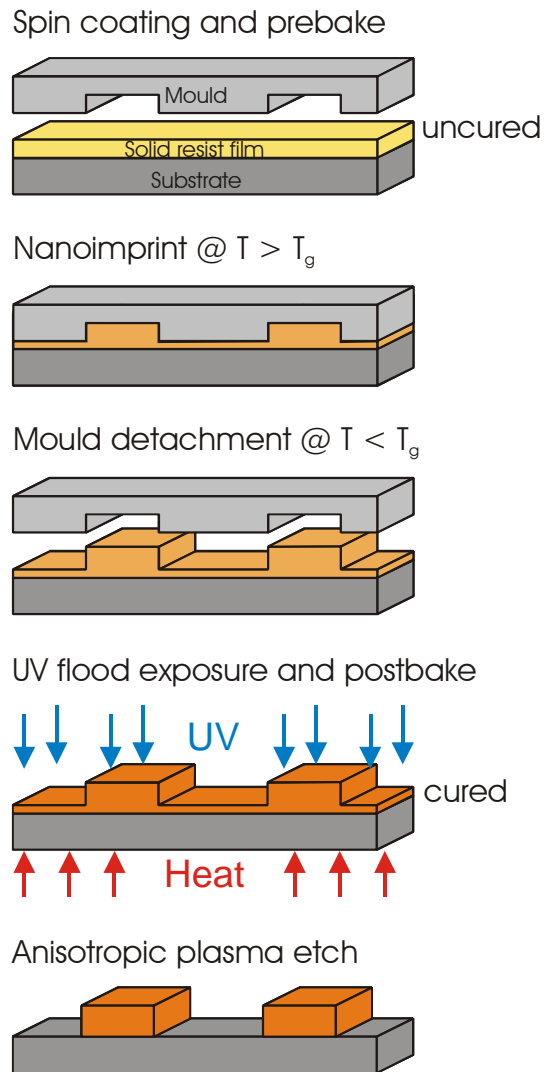


solid resist film after spin coating

- Excellent processing, good adhesion to different substrate materials, outstanding thermal stability
- Uncured polymer:  
 $T_g = 40\text{ }^\circ\text{C}$
- Ready-to-use polymer solutions for 100, 200 and 300 nm film thickness
- Prebake 120 °C, 10 min
- Imprinting 80 – 100 °C, 30 – 60 s, 50 bar
- UV flood exposure and post bake beneficially in the imprint tool
- Resolution of at least 50 nm equidistant lines & spaces @ 300 nm film thickness
- **Plasma etch resistance** superior to PMMA  
*Selectivity to SiO<sub>2</sub> about 2* (CHF<sub>3</sub> plasma)

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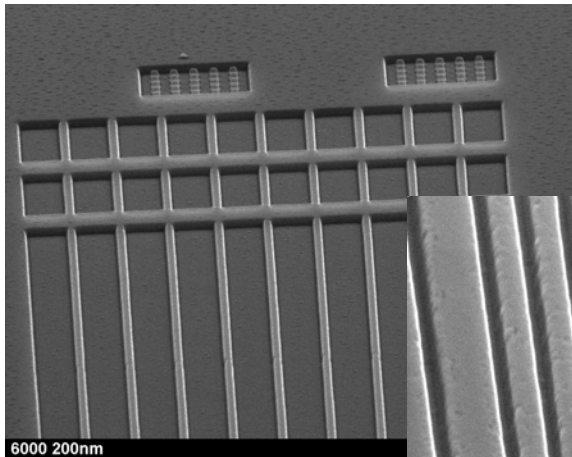
## Process schemes



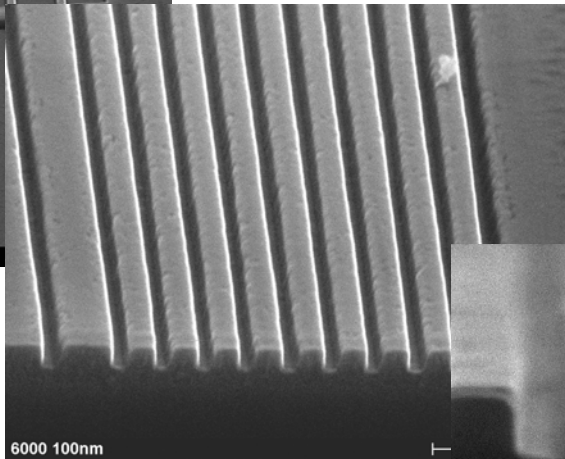
Isothermal imprinting due to increase in  $T_g$  during imprinting

Reduction of issues of thermal expansion  
Decrease in imprint time

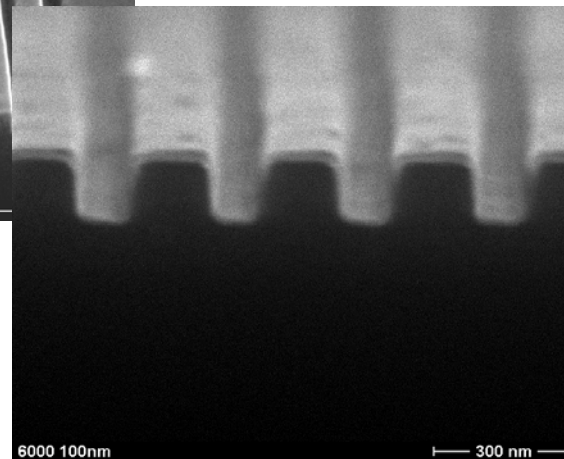
*mr-NIL 6000 – Examples*



200 nm patterns



100 nm trenches,  
300 nm pitch



100 nm trenches,  
300 nm pitch

Film thickness 300 nm

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## Summary

- A number of thermoplastics were developed within the NaPa project differing in thermal and other properties and functionality
- Curing polymers were developed offering the chance of isothermal imprinting
- Elaboration of surface modification of cured polymers is ongoing to to apply these polymers as working stamps for NIL.

The nanoimprint lithographer has the choice

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