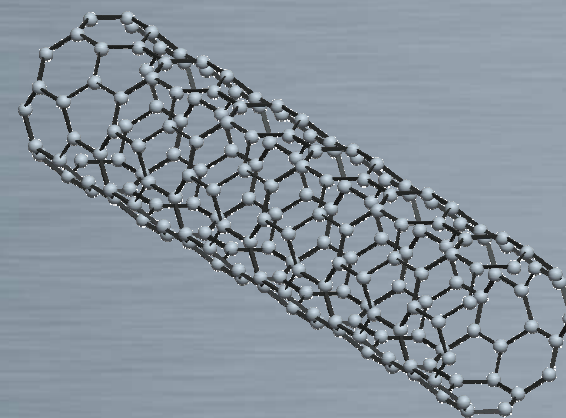




# Applying Magnetic Fields to Carbon-based low Dimensional Materials: from Aharonov-Bohm effects to Landau levels

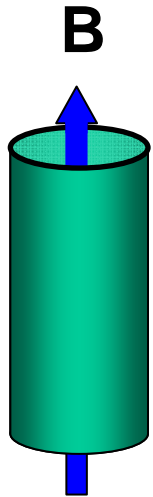
Stephan Roche



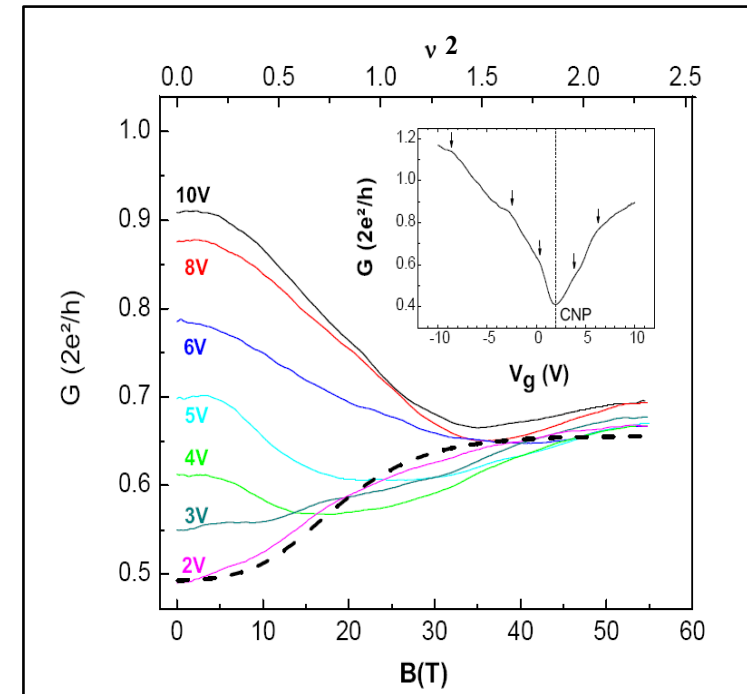
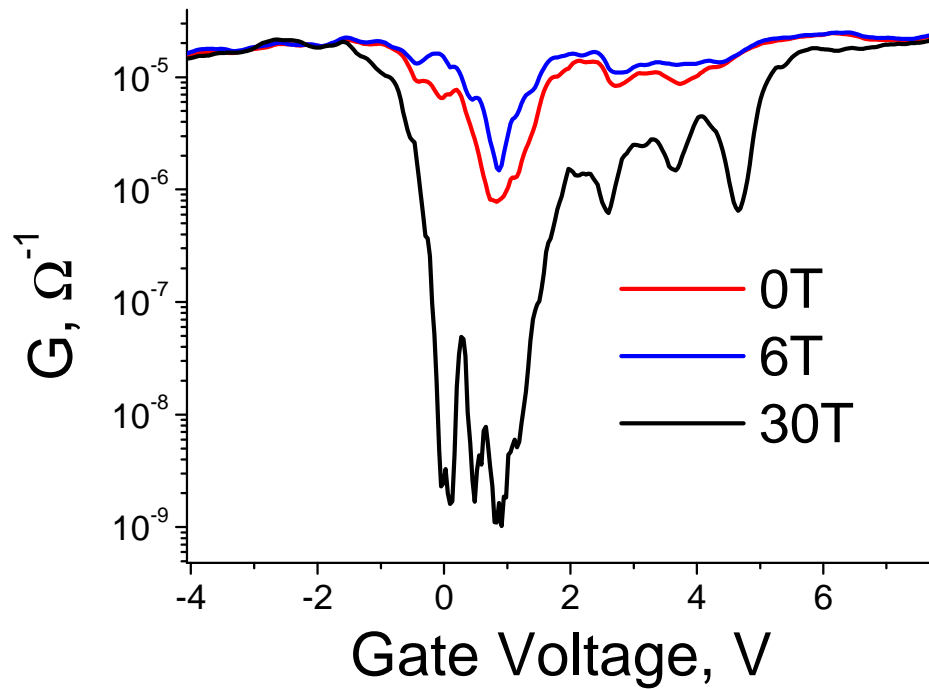
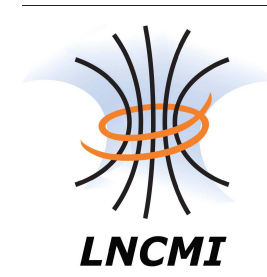
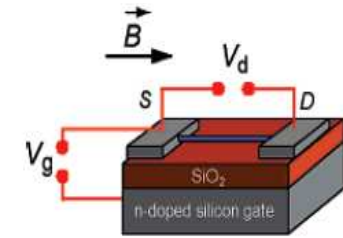
CIN2

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I NANOTECNOLOGIA  
CAMPUS UAB. BELLATERRA. BARCELONA

# High Magnetic Field Effects in CNTs



NHMFL, Tallahassee, Florida,  
"RRC Kurchatov Institute", Moscow



# OUTLINE of the TALK

## 1. INTRODUCTION

- *Basics of  $sp^2$  electronic features*

## 2. Aharonov-Bohm Effect in CNTs

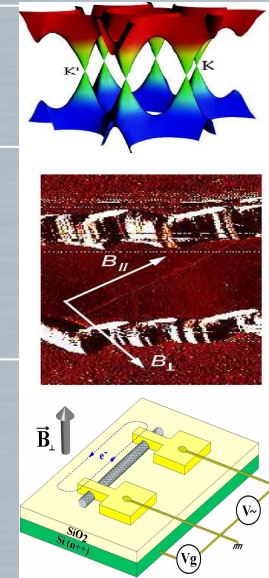
- *Theory and original controversies*

*Magnetic field induced metal-semiconductor transition*

## 3. Landau levels in CNTs

*Fabry-Perot regime*

*Propagative Landau levels and Fermi level pinning*



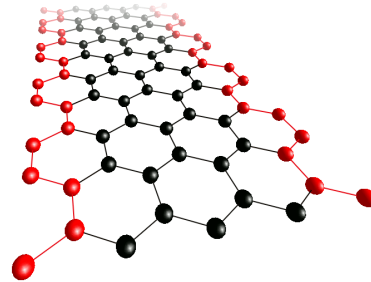
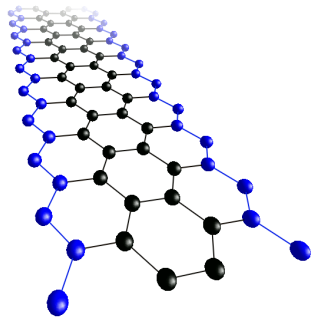
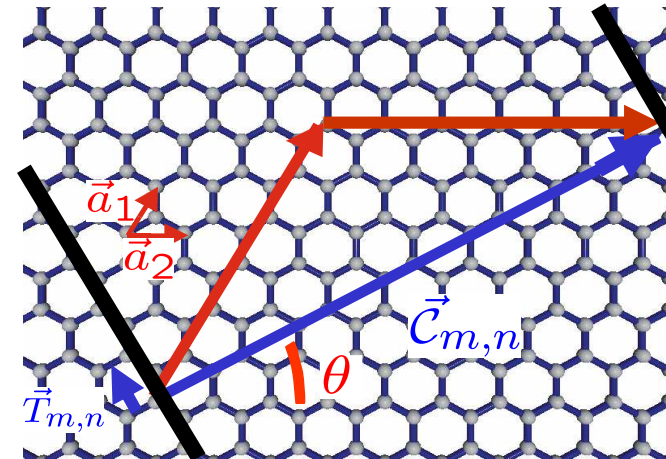
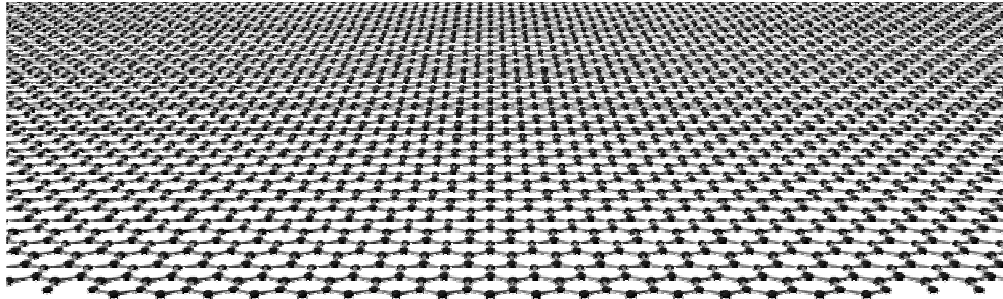
# CIN2

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EN NANOCIÈNCIA

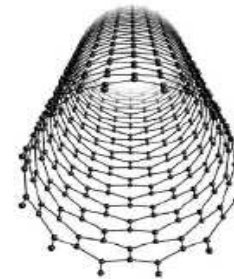
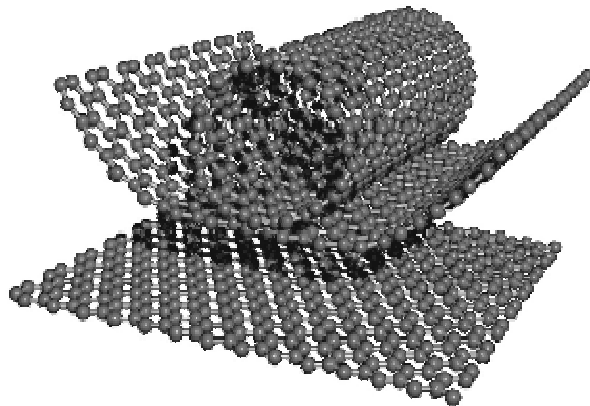
I NANOTECNOLOGIA

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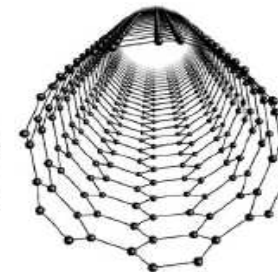
# Graphene (ribbons) & Carbon Nanotubes



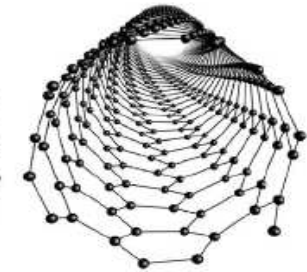
$$d_t = \frac{|\vec{C}_{m,n}|}{\pi}$$



(12,0)



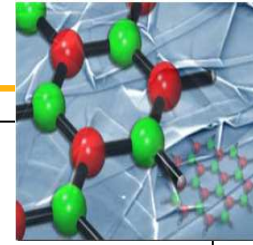
(6,6)



(6,4)



# $\pi$ Effective Model

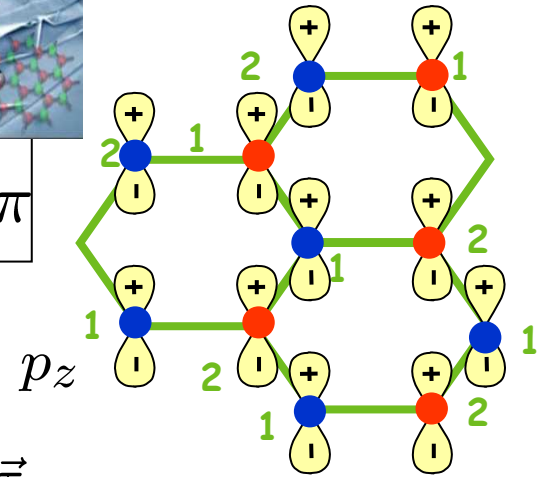


## Hybrid Molecular Orbitales

Cohesion  $s, p_x, p_y \equiv \sigma$

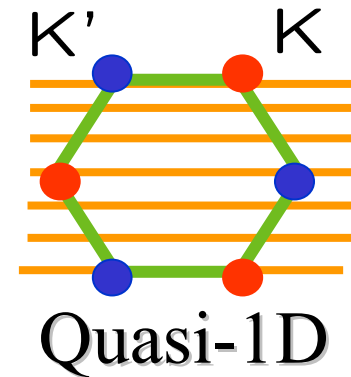
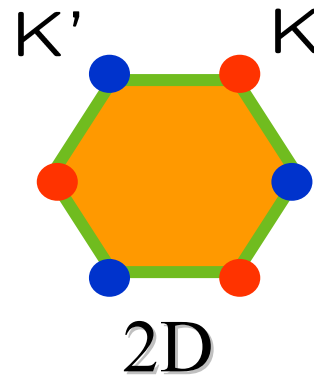
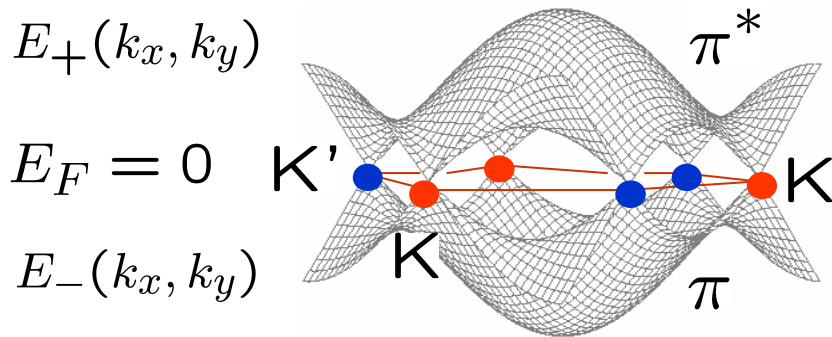
Electronic Properties in the vicinity of  $E_F$   $p_z \equiv \pi$

2 atoms/ cell  $\gamma_0$  nearest neighbor orbital overlap

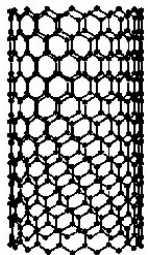


$$H(\vec{k}) = \begin{bmatrix} 0 & f(\vec{k}) \\ f^*(\vec{k}) & 0 \end{bmatrix} \quad f(\vec{k}) = \gamma_0 \sum_{\alpha} e^{i\vec{k} \cdot \vec{\tau}_{\alpha}}$$

$$E_{\pm}(k_x, k_y) = \pm \gamma_0 \left( 3 + 4 \cos\left(\frac{\sqrt{3}k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + 2 \cos(k_y a) \right)^{1/2}$$



# Nanotubes: Electronic Properties



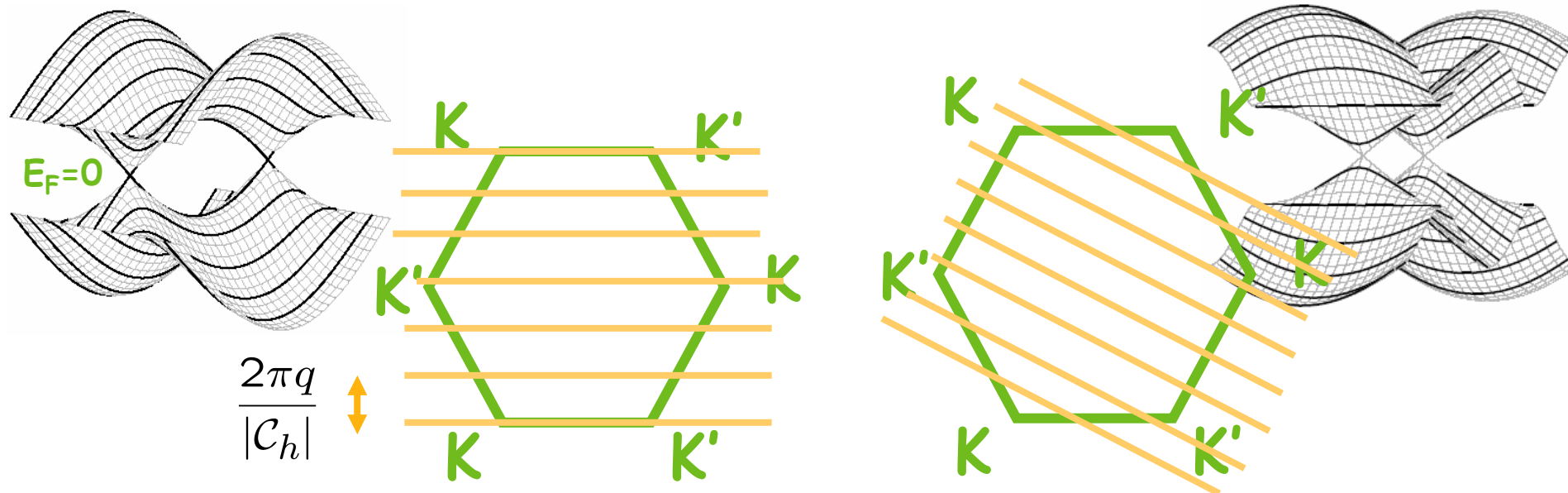
## Periodic Boundary conditions

$$-\frac{\pi}{|\vec{T}_{(n,m)}|} \leq k_y (= k) \leq +\frac{\pi}{|\vec{T}_{(n,m)}|} \quad k_x = \frac{2\pi q}{|\vec{C}_{(n,m)}|} (q = 1, N)$$

## Symmetry choice

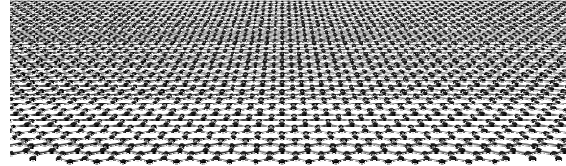
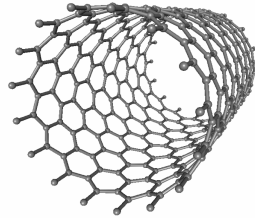
$$\vec{C}_{n,n} = n(\vec{a}_1 + \vec{a}_2)$$

$$\vec{C}_{n,m} = (3p \pm 1)\vec{a}_1$$

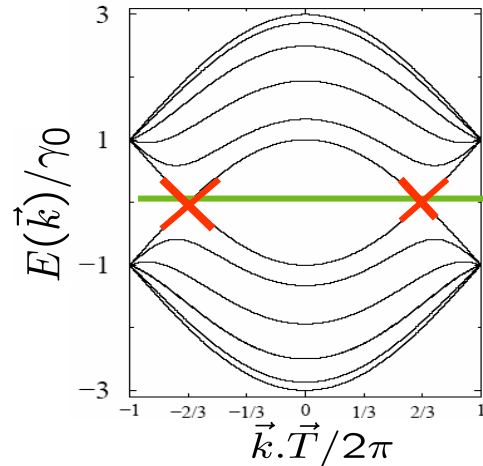


# Remark : Massless Dirac Fermions in 2D vs 1D

**Nanotubes**  
(n,n) armchairs



**2D Graphene**

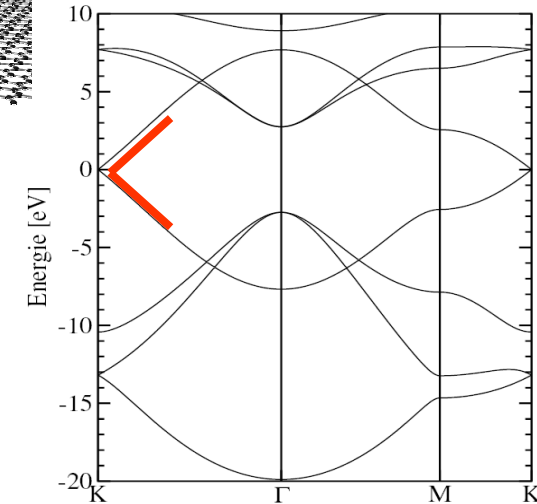


Linearization close to Fermi level

$$\vec{Q} = \vec{K}_{\pm} + \vec{p}/\hbar$$

$$\mathcal{H}_{K_{+}}(\vec{p}) = v_F \vec{\sigma} \cdot \vec{p}$$

(in the sublattice basis)



Dispersion relation

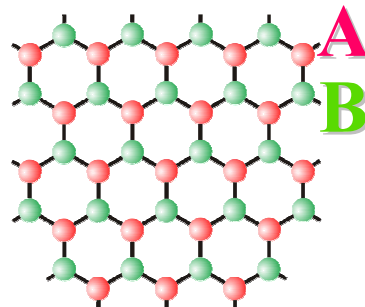
$$E(\vec{p}) = s v_F |\vec{p}| \quad s = \pm 1$$

$$E(\vec{p}) = s \sqrt{v_F^2 p^2 + m^{*2} c^4}$$

Massless particles

Eigenstates (« pseudospin »)

$$|\Psi_{\vec{p}}^{K_{+}}\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_p^{K_{+}}(A) \\ \psi_p^{K_{+}}(B) \end{pmatrix}$$



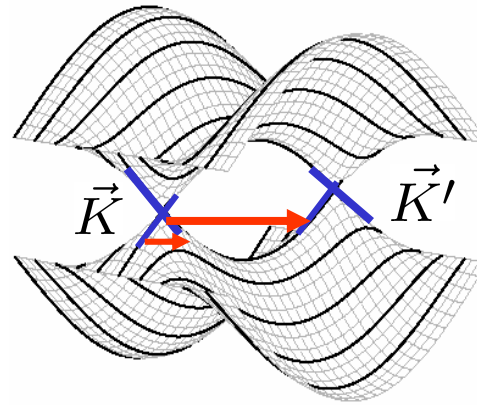
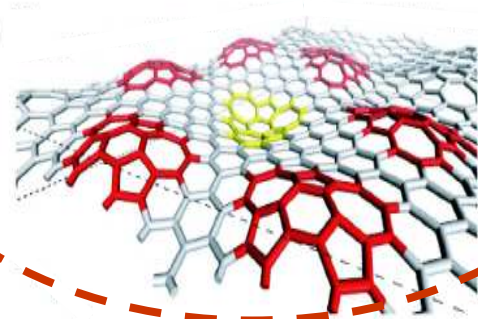
$$= \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\theta(p)/2} \\ -e^{+i\theta(p)/2} \end{pmatrix}$$

$$|\downarrow\rangle \sim \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

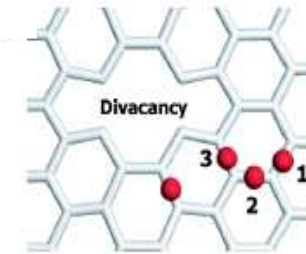
$$|\uparrow\rangle \sim \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

# Pseudospin symmetry & backscattering effects

Long range potential  
Intravalley scattering



Short range potential  
Intervalley scattering



- 1D metallic nanotubes : *Absence of backscattering*,
  - 2D graphene : *Anti-localization phenomenon*,
- Sign inversion of quantum correction ~ S.O coupling effects*

1d

=0

$$|\langle \psi_{\mathbf{k},s} | \mathcal{T} | \psi_{\mathbf{k}',s'} \rangle|^2$$

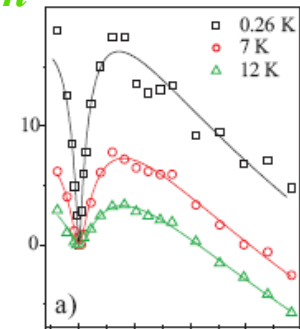
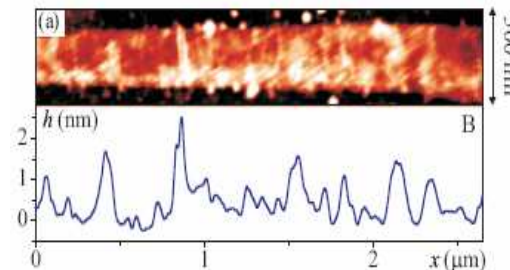
$$\theta_k + \theta_{-k} = \pm \pi$$

$$\langle s | \mathcal{R}[\theta_k] R^{-1}[\theta_{-k}] | s \rangle = \cos(\theta_k + \theta_{-k})/2,$$

T. Ando, T. Nakanishi and R. Saito,  
*J. Phys. Soc. Jpn* 67, 2857 (1998)

2d

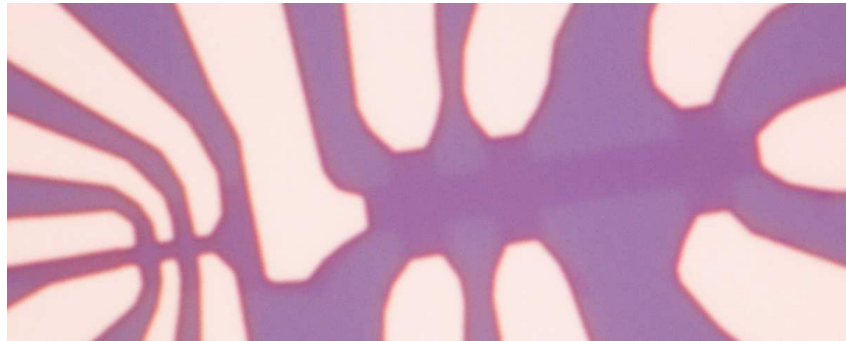
*Transition Weak localization*  
*/ Anti-weak localization*



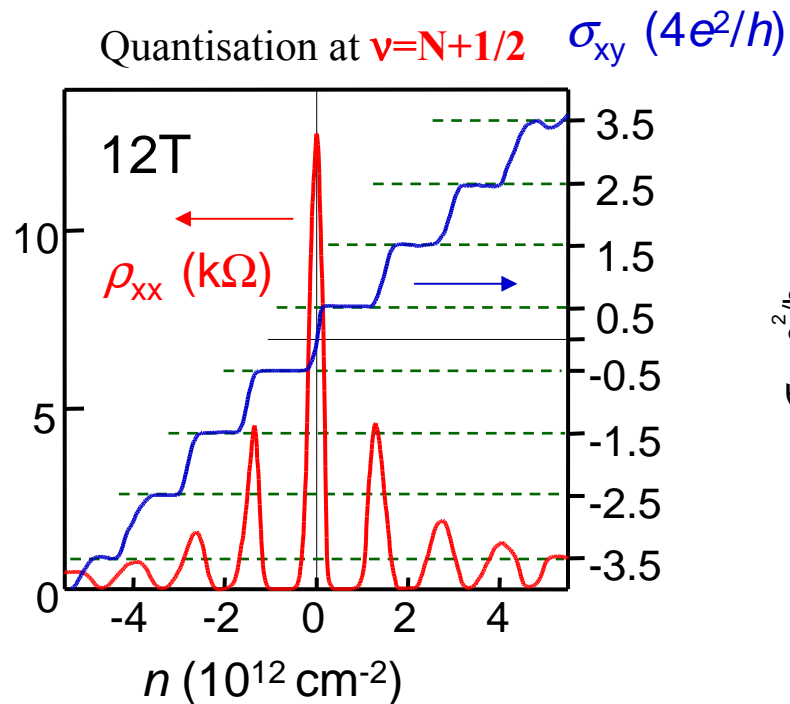
E. McCann *et al.*, *Phys. Rev. Lett.* 97, 146805 (2006)  
F.V. Tikhonenko *et al.*, *Phys. Rev. Lett.* 100, 056802 (2008)



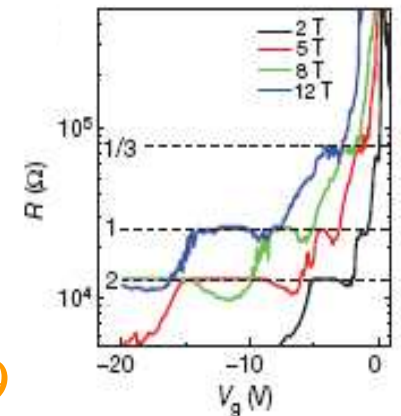
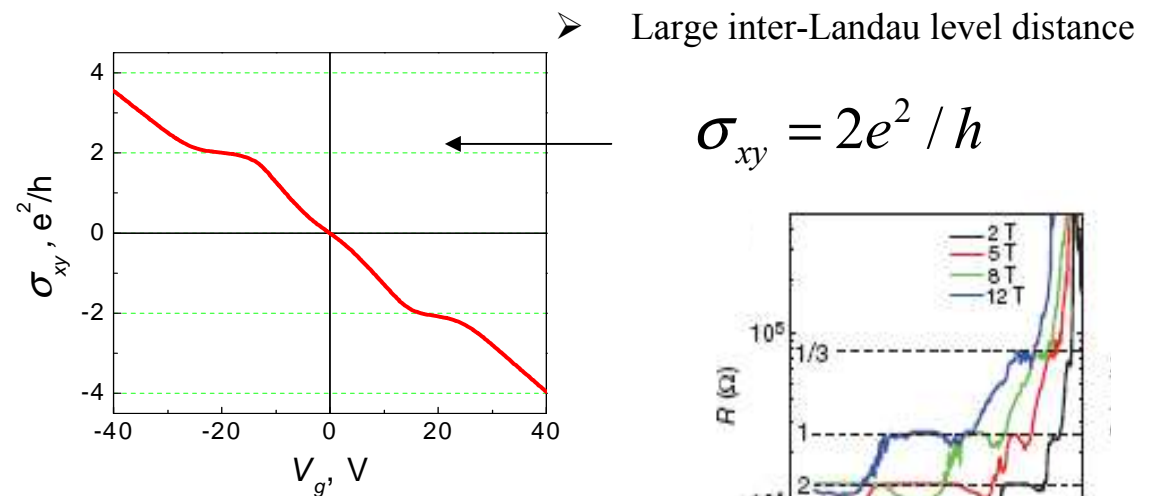
# Unconventional Quantum Hall effect



**Huge Mobility:** 20.000-100.000 cm<sup>2</sup>/V·s  
(order of magnitude better than silicon)



**Room Temperature and low magnetic field**  
**Integer Quantum Hall effect !**



X. Du et al. **Nature** 2009

# OUTLINE of the TALK

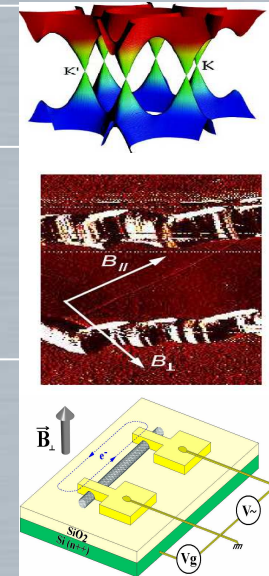
1.

2. Aharonov-Bohm Effect in CNTs

*Theory and original controversies*

*Magnetic field induced metal-semiconductor transition*

3.



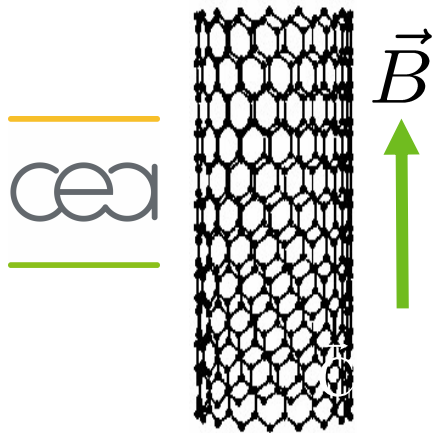
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# Aharonov-Bohm effects on the Electronic Spectrum



$\{\vec{C}_h/|\vec{C}_h|, \vec{T}/|\vec{T}|\}$

**Landau gauge**

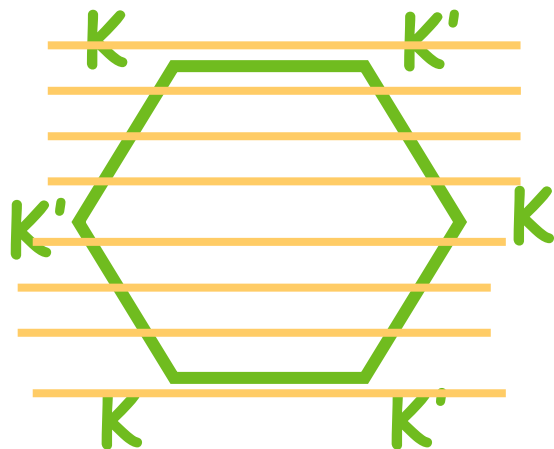
$$\vec{A} = (\phi/|\vec{C}_h|, 0)$$

**Wavefunction**

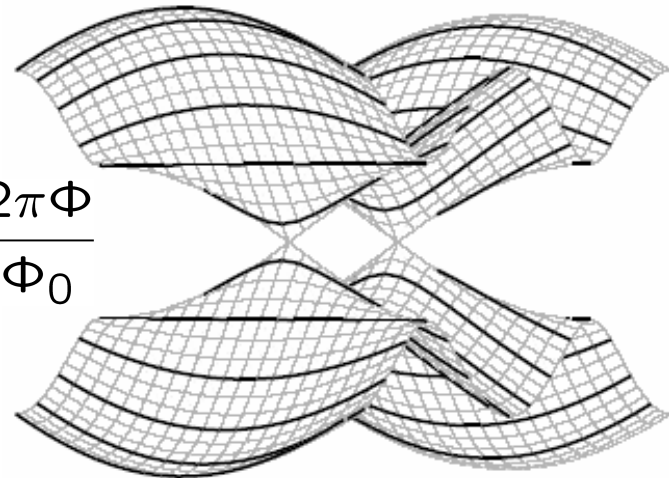
$$\Psi \sim e^{ik_y y} e^{i(k_x x + \frac{e}{\hbar} \int \vec{A} \cdot d\vec{r})}$$

$$\begin{aligned} \Delta\varphi_{\vec{r}, \vec{r}'} &= \int_0^1 (\vec{r}' - \vec{r}) \cdot (\vec{A}(\vec{r} + \lambda[\vec{r}' - \vec{r}])) d\lambda \\ &= i(x - x')\phi/|\vec{C}_h| \end{aligned}$$

$$\delta\vec{k}(\phi) \cdot \vec{\kappa}_x = \delta\vec{k}(0) \cdot \vec{\kappa}_x + 2\pi\phi/(\phi_0|\vec{C}_h|)$$



$$k_x = \frac{2\pi q}{|\vec{C}_h|} + \frac{2\pi\Phi}{\Phi_0}$$

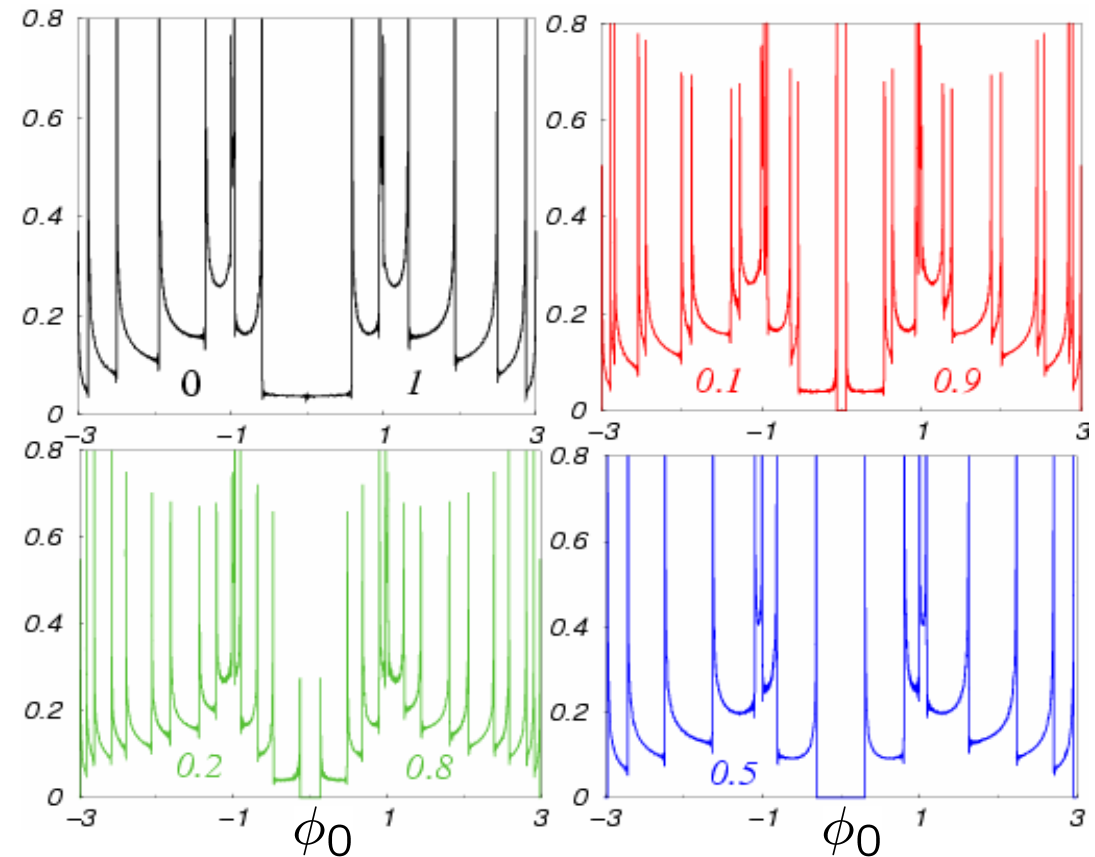
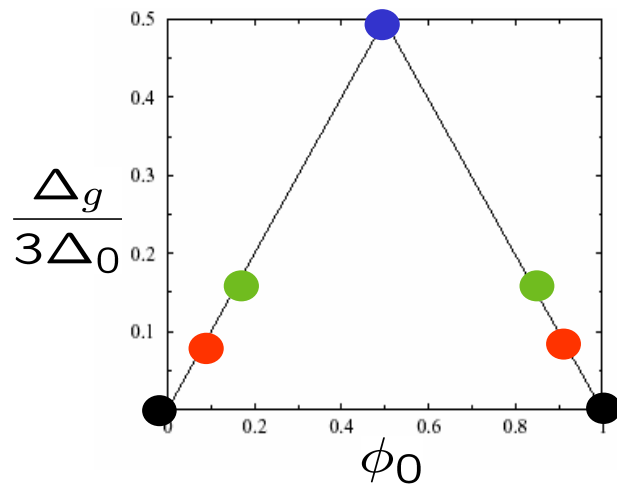


# Magnetic field driven spectral changes

$\phi_0$  Periodic Gap-oscillations

Van-Hove Singularities modulations

$$\Delta_g = \begin{cases} 3\Delta_0 \frac{\phi}{\phi_0} & \text{if } 0 \leq \phi \leq \frac{\phi_0}{2} \\ 3\Delta_0 \left| 1 - \frac{\phi}{\phi_0} \right| & \text{if } \frac{\phi_0}{2} \leq \phi \leq \phi_0 \end{cases}$$



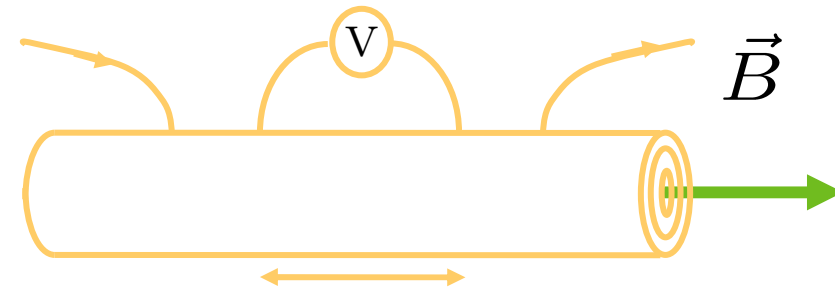
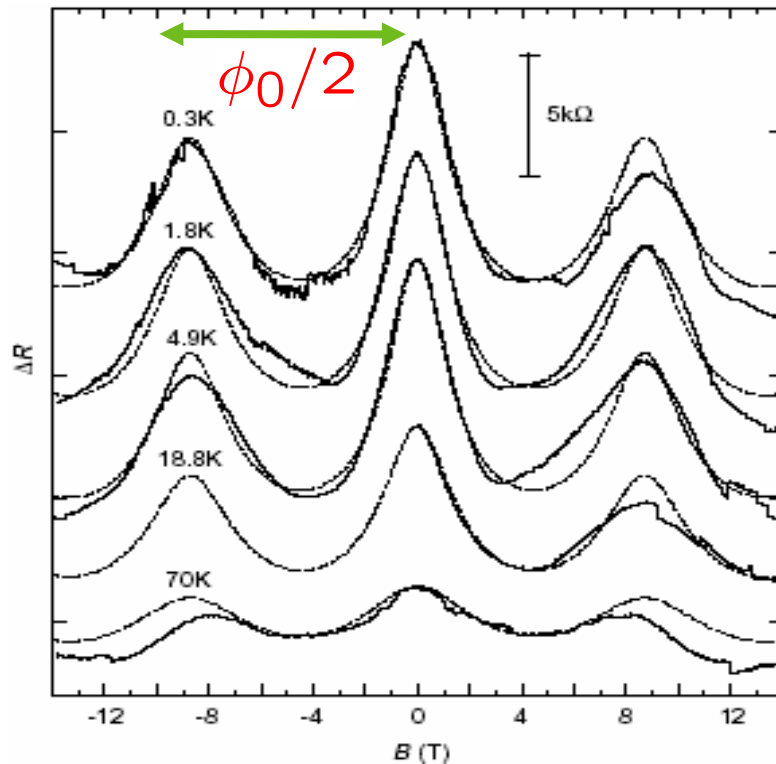
H. Akiji and T. Ando, *J. Phys. Soc. Jpn* 62, 2470 (1993)

H. Akiji and T. Ando, *J. Phys. Soc. Jpn* 65, 505 (1996)

S.R., G. Dresselhaus, M. Dresselhaus, R. Saito, *PRB* 62, 16092 (2000)



# Magnetotransport in nanotubes : first experiments

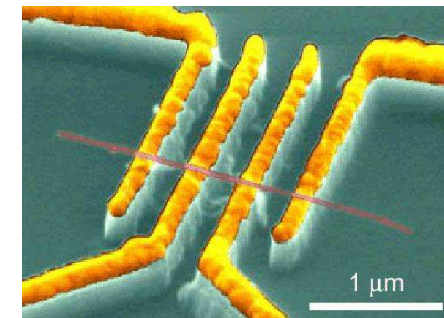


A. Bachtold et al, **Nature** 397, 673 (1999)

- \* Negative Magnetoresistance
- \*  $\phi_0/2$  -periodic oscillations

## Weak localization (AAS oscillations)

Diffusive regime (small elastic mean free path)

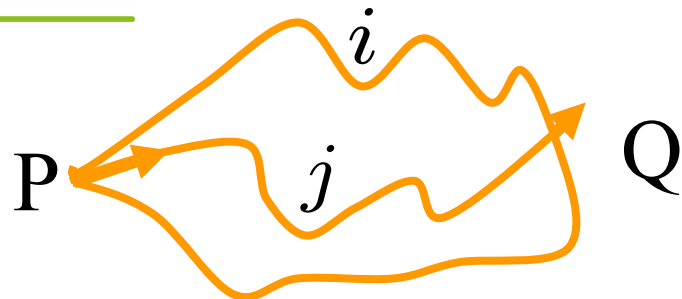


# Weak localization phenomenon

Beyond the diffusive regime



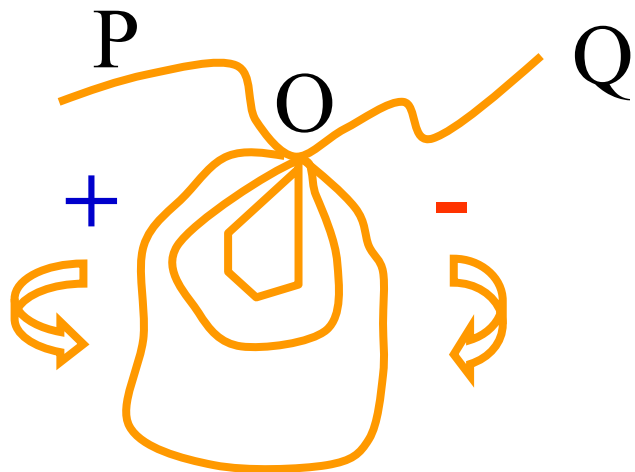
Quantum conductance  $G = \frac{2e^2}{h} \mathcal{P}_{P \rightarrow Q}$



$$\mathcal{P}_{P \rightarrow Q} = \sum_i |\mathcal{A}_i|^2 + \sum_{i \neq j} \mathcal{A}_i \mathcal{A}_j e^{i(\alpha_i - \alpha_j)}$$

↑ Classical term      ↑ Interference term

Time-reversed trajectories interfere constructively



$$\mathcal{P}_{O \rightarrow O} = |\mathcal{A}_+ e^{i\alpha_+} + \mathcal{A}_- e^{+i\alpha_-}|^2 = 4|\mathcal{A}_0|^2$$

**Quantum interference effects**



Enhanced return probability to the origin

**Increase of quantum resistance**

# WL & Aronov-Altshuler-Spivak oscillations

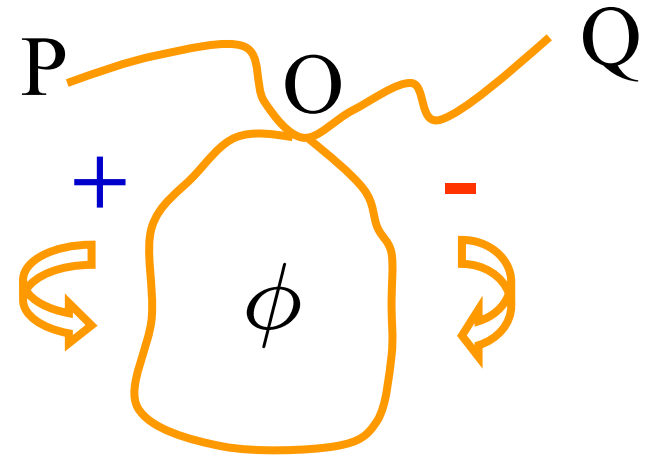
## Switching on Perpendicular B

$$\alpha_{\pm} = \pm \frac{e}{\hbar} \oint \vec{A} \cdot d\vec{r}$$

$$\mathcal{P}_{O \rightarrow O} = 4 |\mathcal{A}_0|^2$$



$$|\mathcal{A}_0|^2 |1 + e^{i(\alpha_+ - \alpha_-)}|^2 = 2 |\mathcal{A}_0|^2 \left(1 + \cos \frac{2\pi\phi}{\phi_0/2}\right)$$



**NEGATIVE MAGNETORESISTANCE**

$\phi_0/2$  -periodic oscillations

Theory: Altshuler, Aronov & Spivak **JETP 1981**

Experiment: Sharvin & Sharvin **JETP 1981**

# B-dependent diffusion coefficient

Average over the spectrum



$$\ell_e \leq L_{\text{tube}}$$

**Negative MR**

$\frac{\Phi_0}{2}$  -oscillations (type AAS)

$$L_{\text{tube}} \geq \ell_e \geq |C_h|$$

**Negative MR**

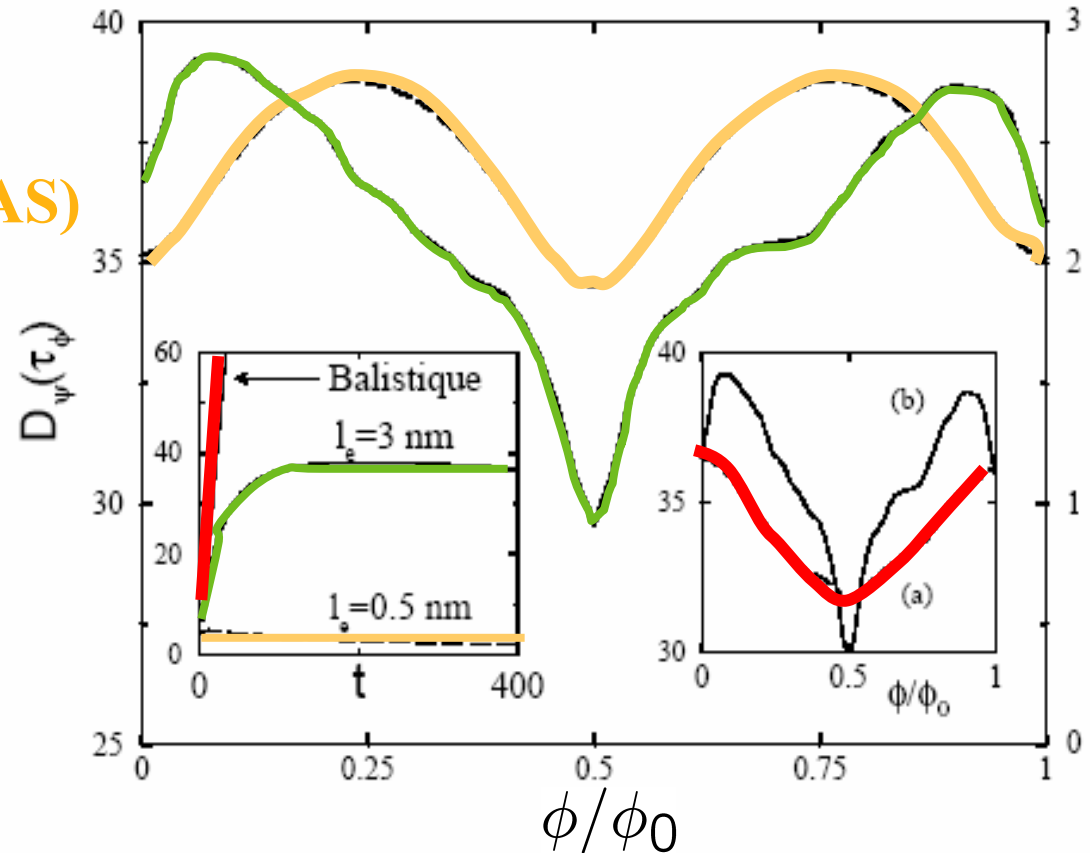
$\Phi_0$  -oscillations (AB)

$$\ell_e \geq L_{\text{tube}}$$

**Positive MR**

$\Phi_0$  -oscillations (AB)

*Metallic tube*  
(Anderson-type disorder)



SR, F. Triozon, A. Rubio, D. Mayou,  
**PRB 64, 121401 (2001)**



# Magnetoconductance for parallel fields



Tube length: 1.4  $\mu\text{m}$   
diameter:  $\sim 36 \text{ nm}$

$T = 4.5 \text{ K}$

Périodicity en  $\phi_0$

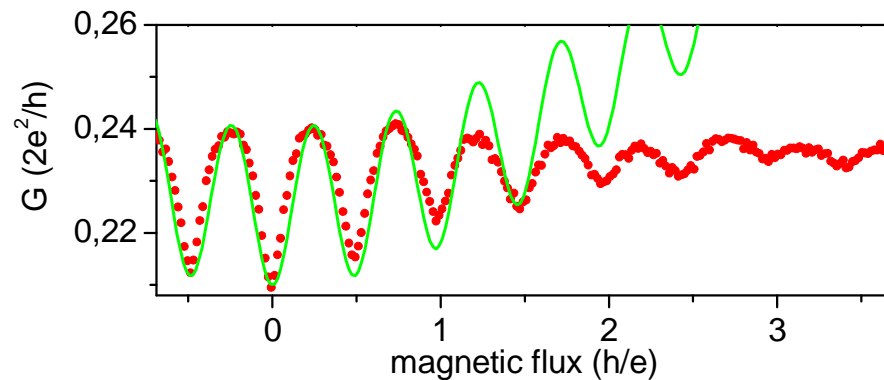
$$\Delta B = \frac{\phi_0}{\pi r^2} = 4.1 \text{ Tesla}$$

Ch. Strünk  
(regensburg, Germany)

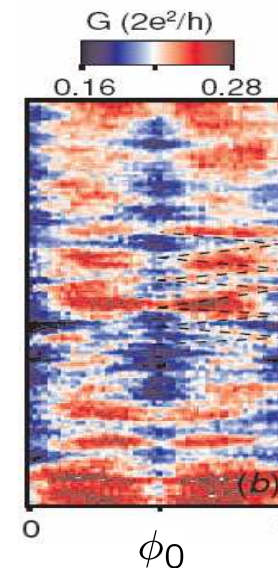
## Weak localization signatures

**Negative MR**

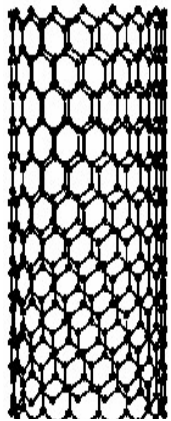
**Oscillations AAS**  $\frac{\phi_0}{2}$



## Field dependent additional features ?



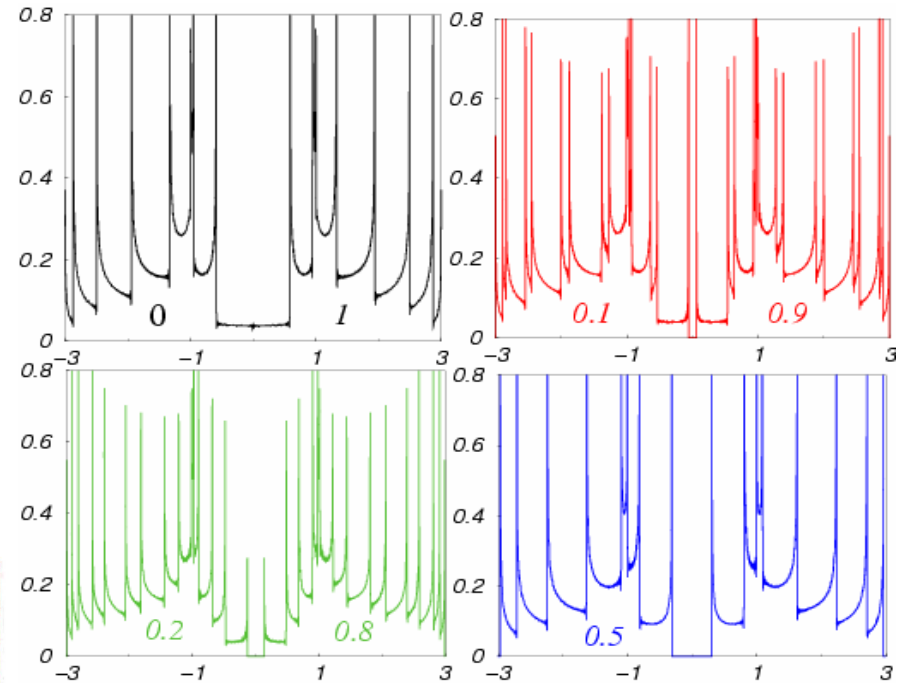
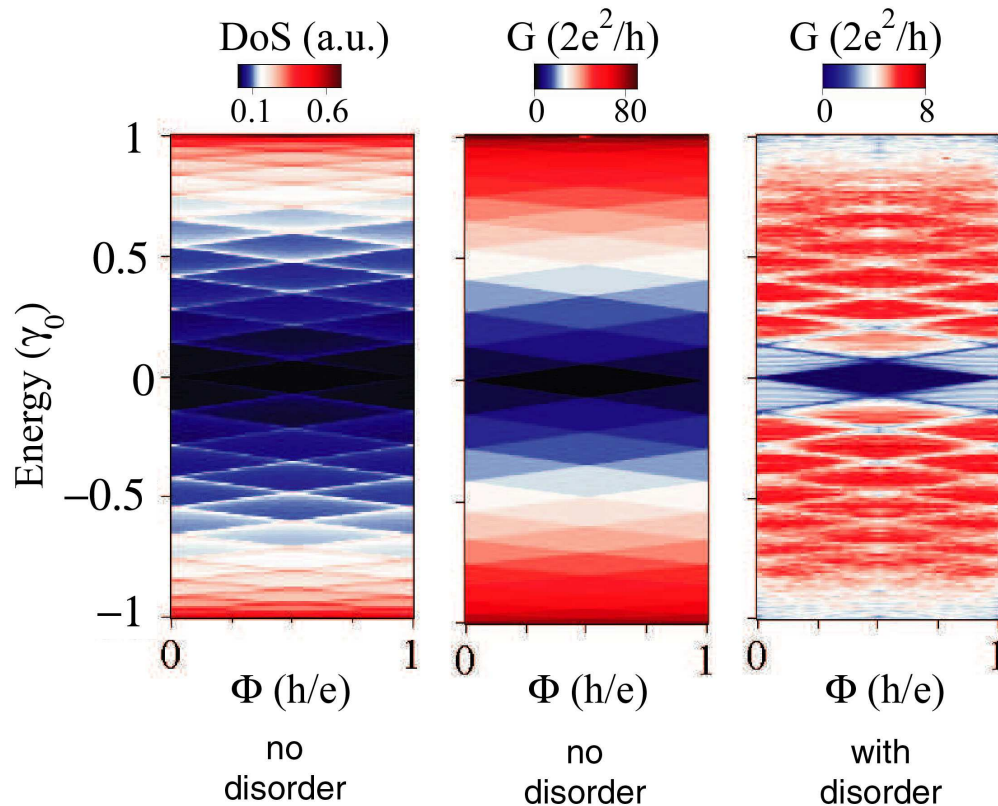
# B-dependent bandstructure features



$\vec{B}$



**Parallel Magnetic field**  
 Van-hove singularity splitting  
 Orbital degeneracy breaking

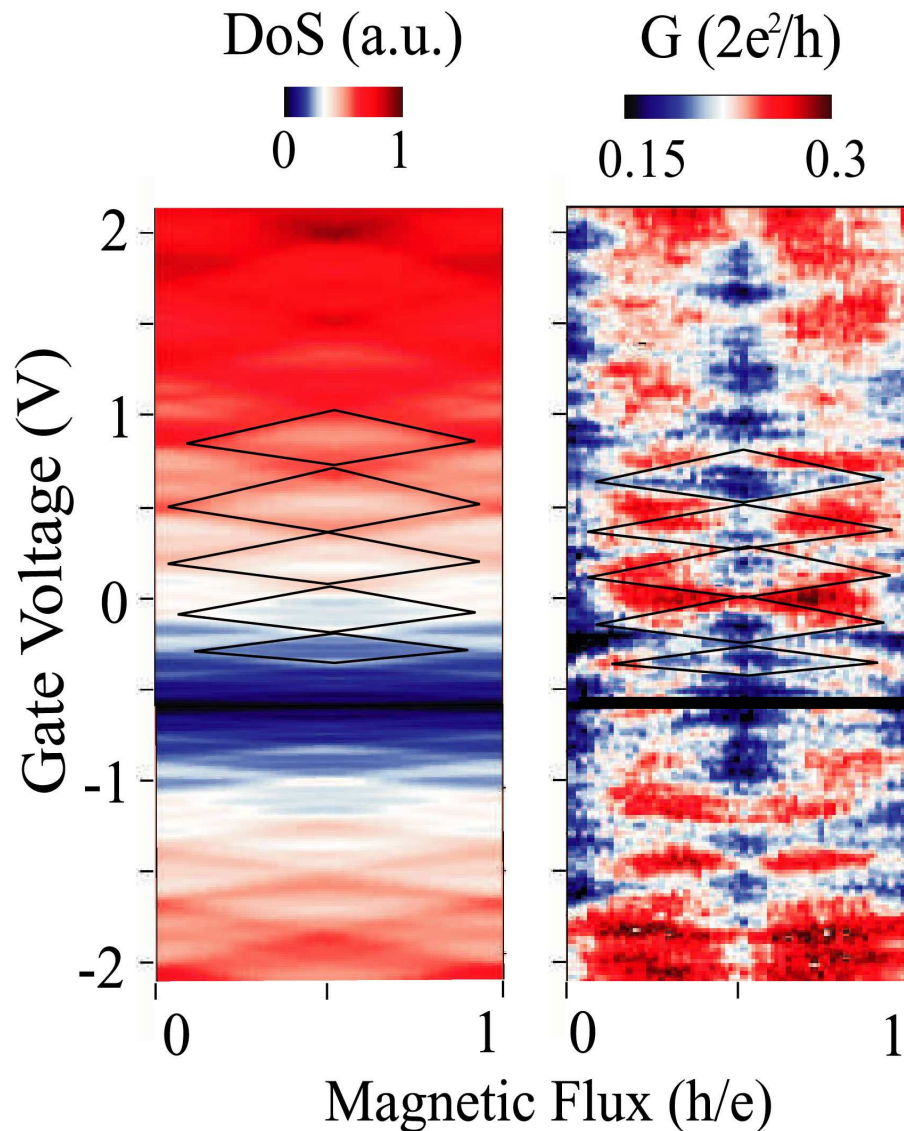


S.R., G. Dresselhaus, M. Dresselhaus, R. Saito,  
 PRB 62, 16092 (2000)

Field-dependent DoS + conductance for  
 (22-22) 3nm metallic nanotube

# Comparison with experiments

Density of states for a (260,260) metallic nanotube (diameter  $\sim 36$  nm)

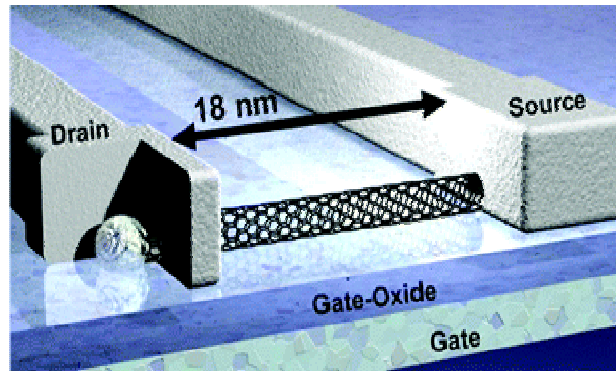


Experimental data  
For conductance

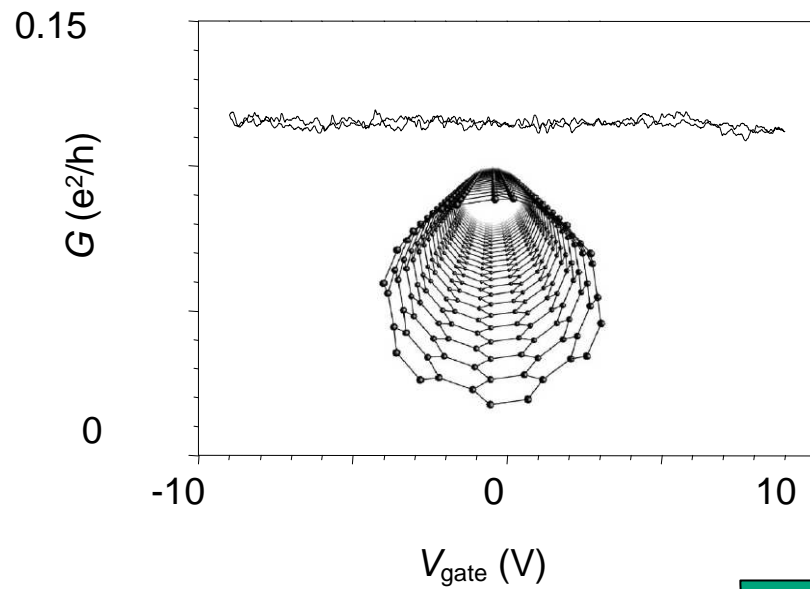
*Systems too disordered  
and larger diameter to see  
Gap opening...*

C Strunk, B Stojetz and SR  
*Semicond. Sci. Technol.* **21** (2006)  
S38–S45

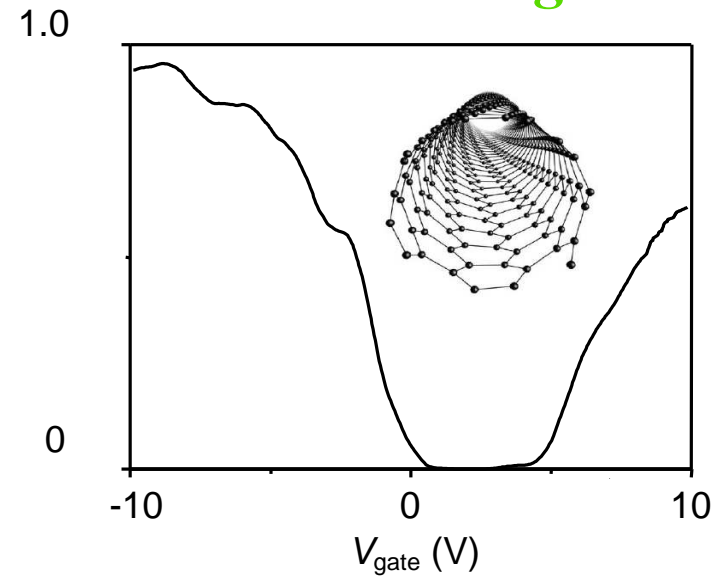
# CNT-based device characteristics



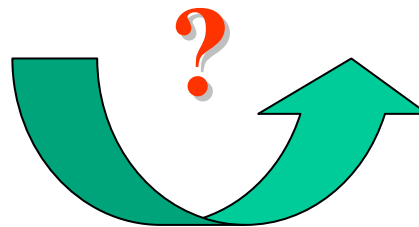
*Metallic nanotube*



*Semiconducting nanotube*



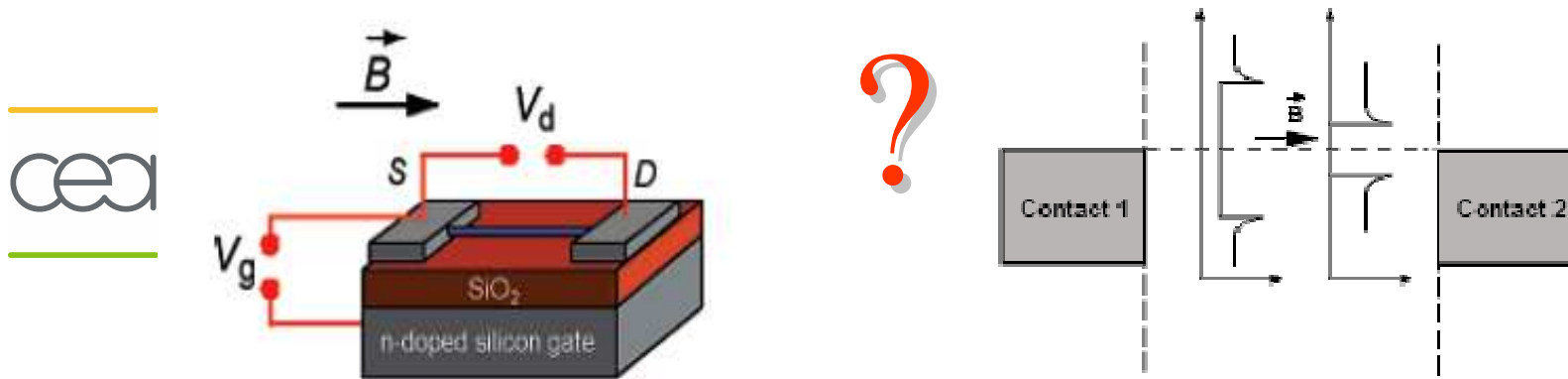
*Conducting nanoscale  
interconnects*



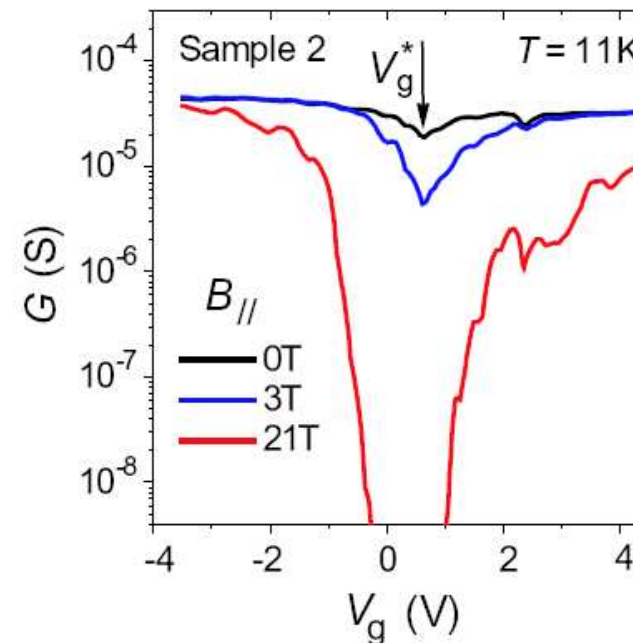
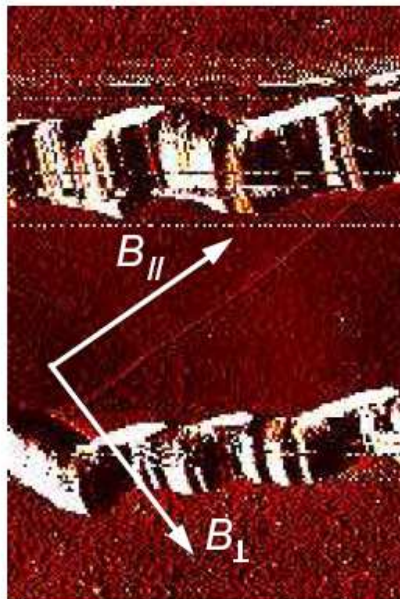
*Field Effect Transistors*

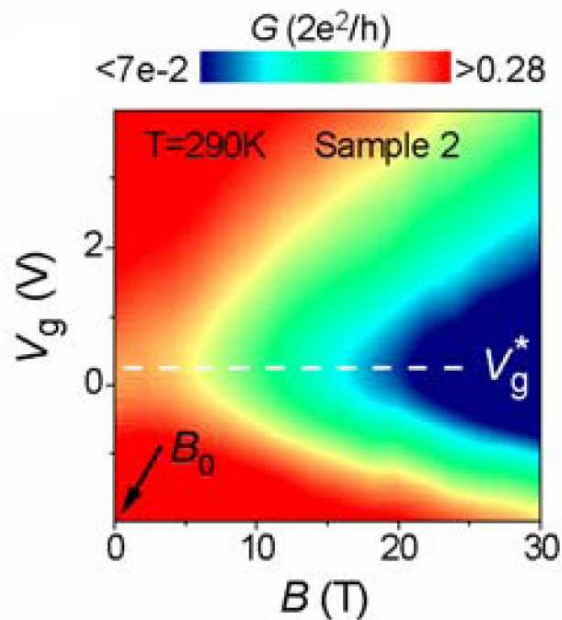
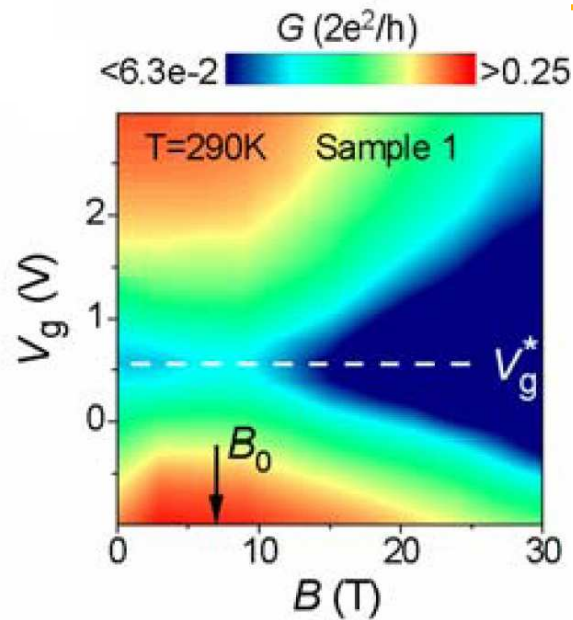


# Basic Principle to engineer a B-modulated FET ?



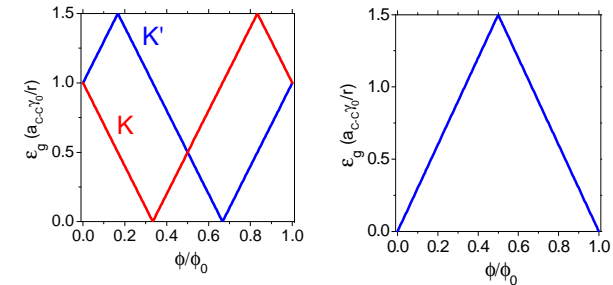
G Fedorov, A Tselev, D Jiménez, S Latil, N Kalugin, P Barbara, D Smirnov, SR,  
**Nano Lett. 7, 960 (2007)**





## Chirality dependent effects

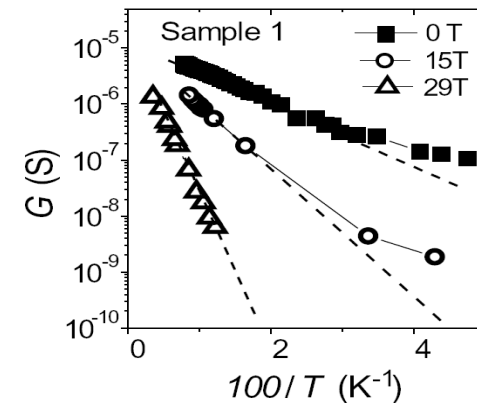
-) *Tight-binding calculations for all possible chiralities*  
 (diam  $\sim 1-2$  nm)  
*Chirality identification*



## Charge transport mechanism

-) *Temperature-dependent  $G$  reveals that charge transport is dominated by a Tunneling regime through a Schottky barrier (B-dependent features)*

*Arrhenius plots*



# OUTLINE of the TALK

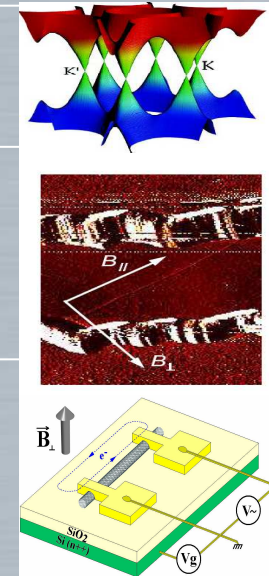
1.

2.

3. Landau levels in CNTs

*Fabry-Perot regime*

*Propagative Landau levels and Fermi level pinning*



# CIN2

CENTRE D'INVESTIGACIÓ  
EN NANOCIÈNCIA

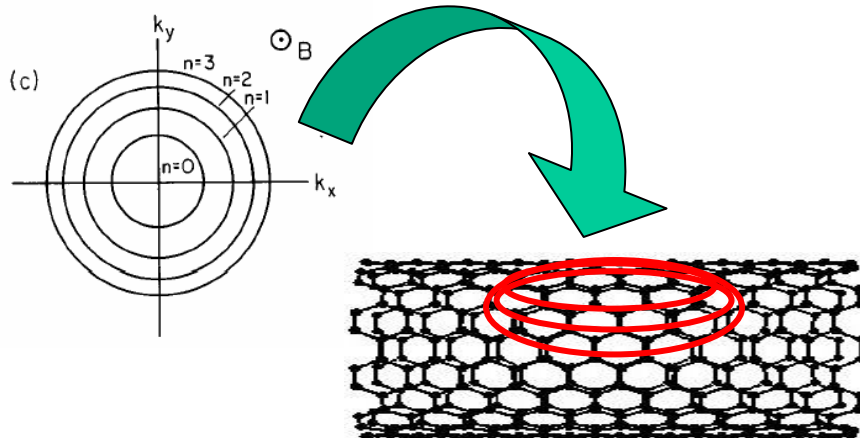
I NANOTECNOLOGIA

CAMPUS UAB. BELLATERRA. BARCELONA

# Perpendicular Magnetic field

An experimental challenge

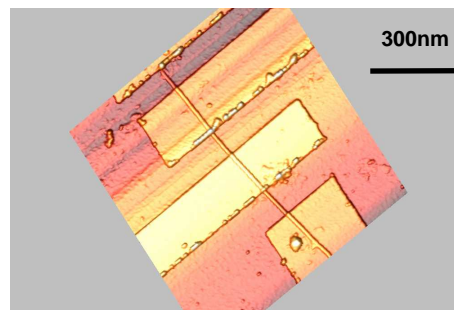
👉 To fit the Landau radius on the surface the CNT



$$v^2 = \left( \frac{R}{l_B} \right)^2 = \frac{R^2 e}{\hbar} B \gg 1$$

d (nm)	SWCNT	MWCNT
B(v=1) (T)	2633	30

👉 To work on clean CNT for a clear observation of Landau quantization



$$l_e \gg l_B$$

In clean MWCNT,  $l_e \approx$  few 100nm

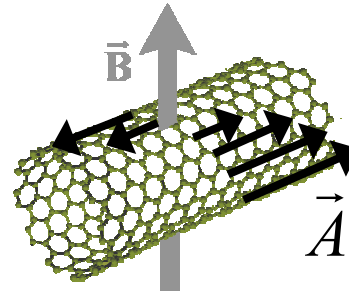
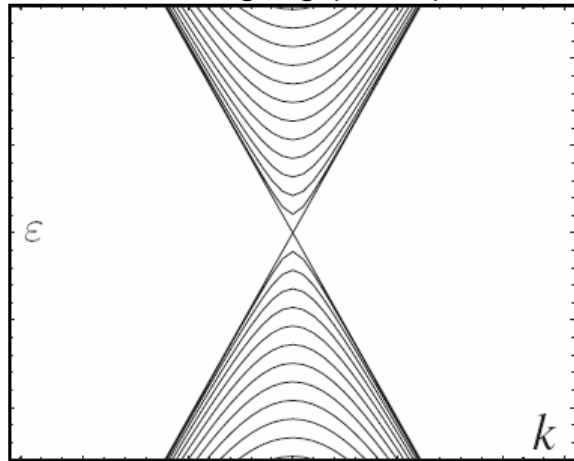


# Perpendicular Magnetic field

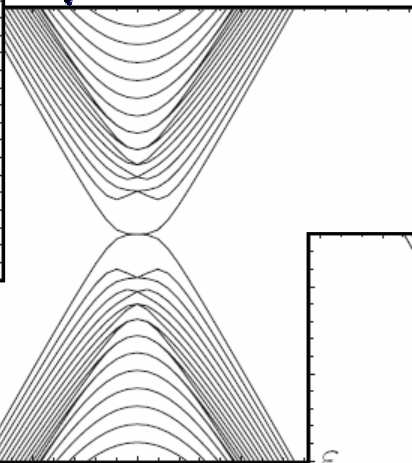
band structure calculations under  $B_{\perp}$



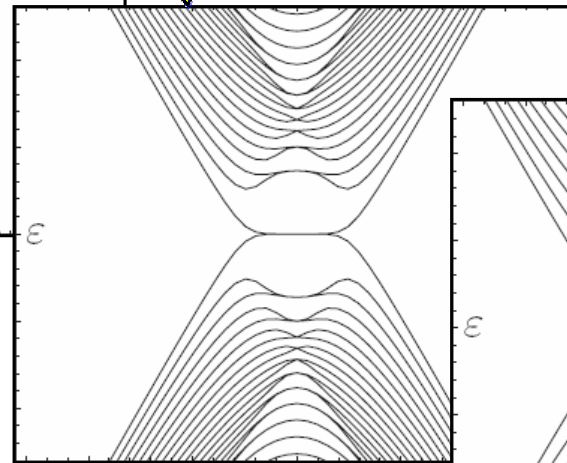
$B=0T, \nu=0$  Zigzag (510,0),  $r=20nm$



$B=5T, \nu=3.04$

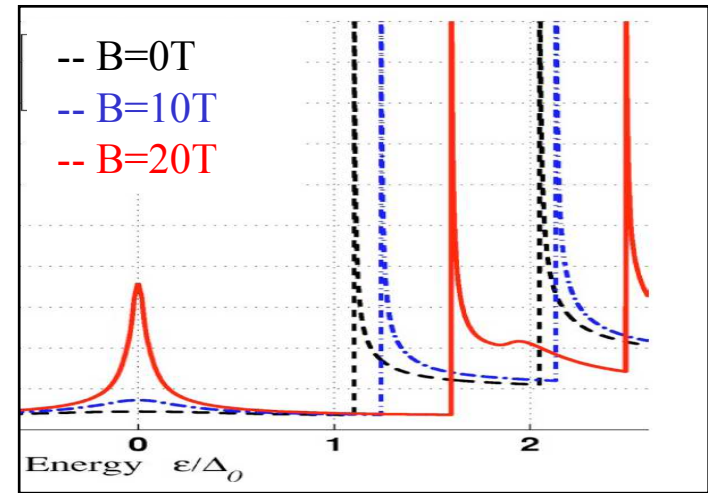
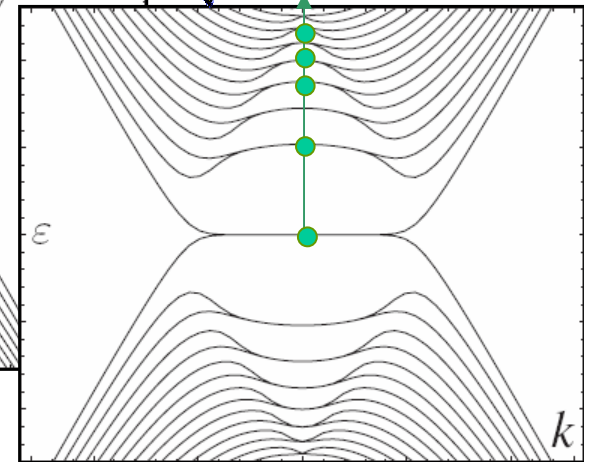


$B=10T, \nu=6.07$



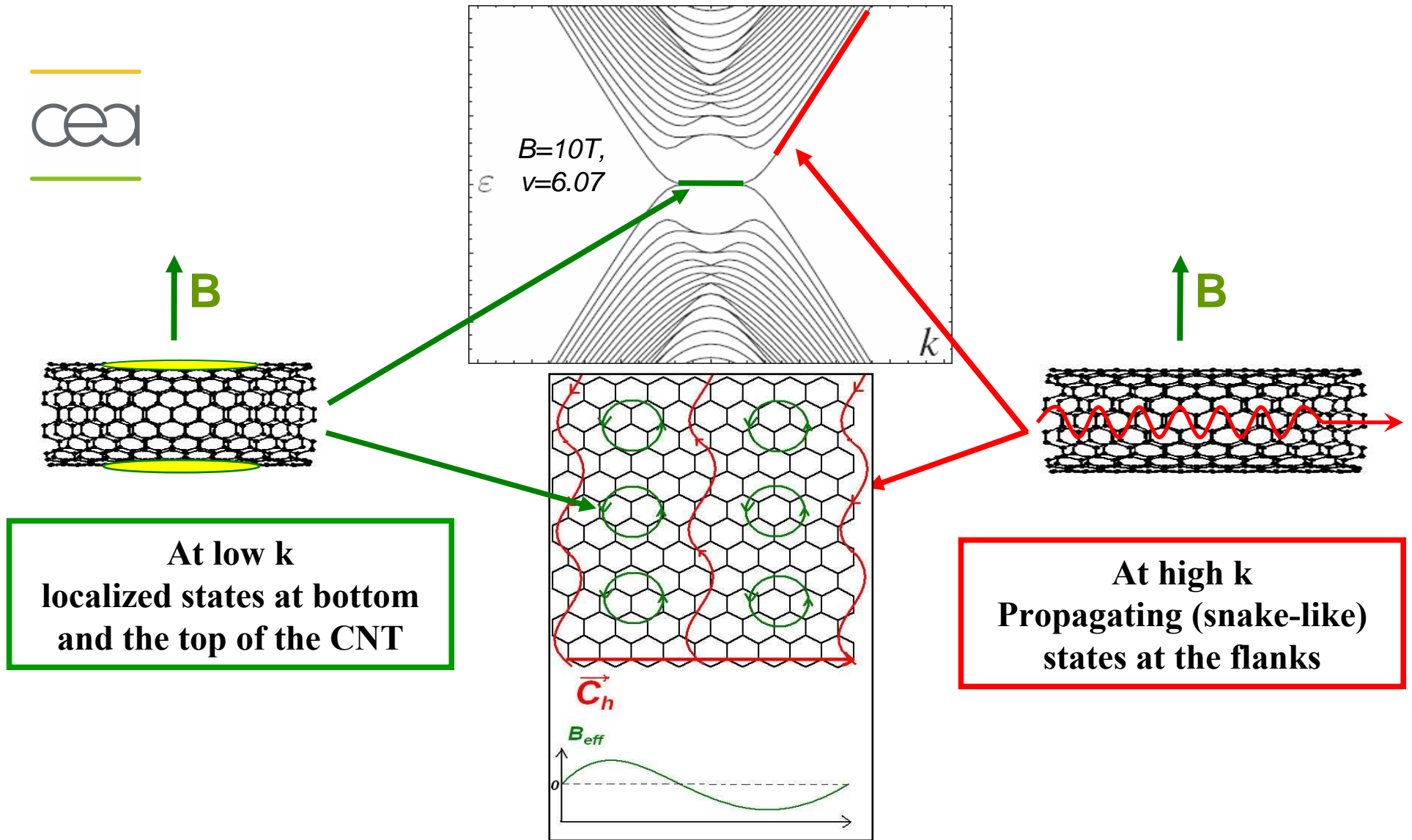
$$E_n \propto \sqrt{2n\hbar eB}$$

$B=20T, \nu=12.14$

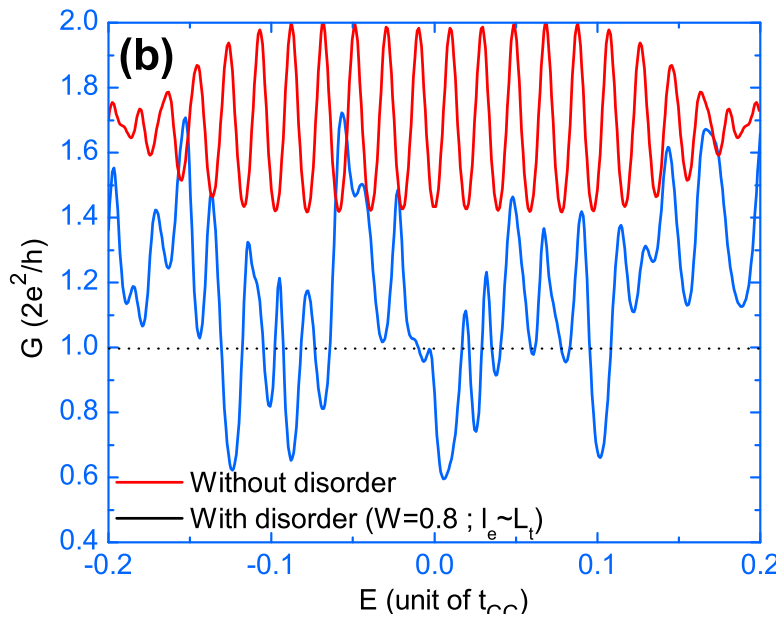
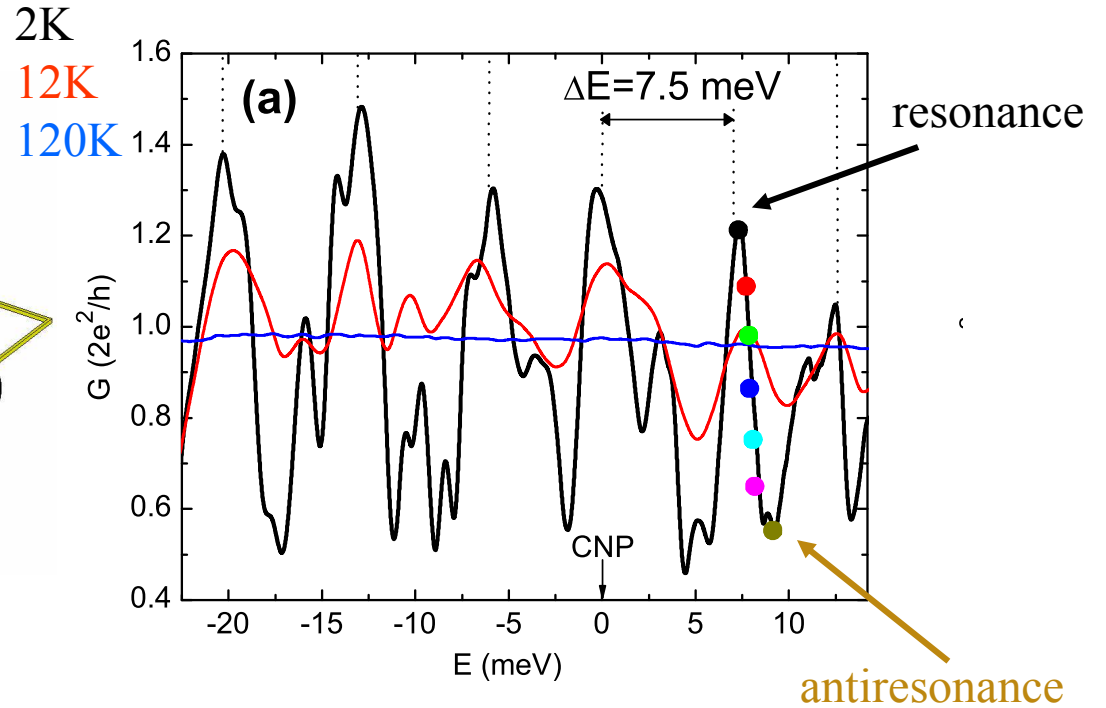
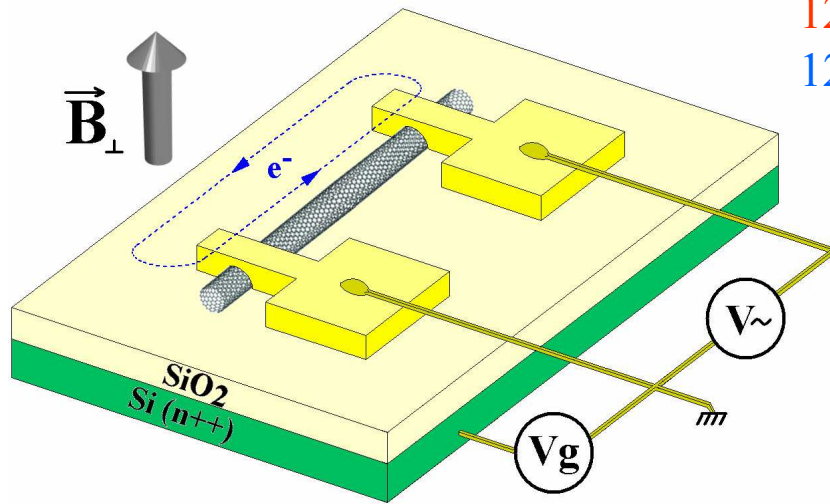


**Flattening of the 1<sup>st</sup> subband**  
**Other subbands up-shifted to higher energy**

# Some pictures of Landau states



# Ballistic Metallic Tube : Fabry-Pérot Cavity



- Coherent low T regime.
- Fabry-Perot oscillation.

Simulation  
(Landauer)

$$\delta E = \hbar v_F / 2L \approx 7.5 \text{ meV}$$

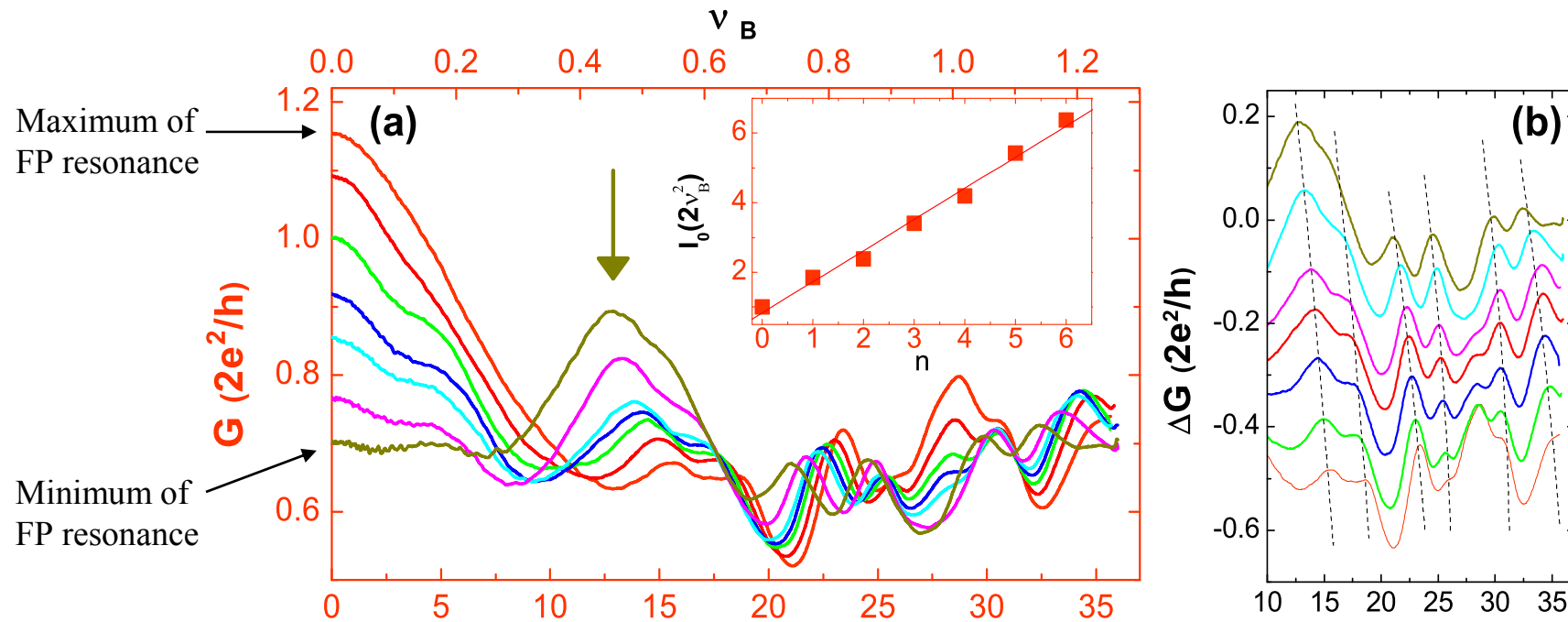
## Diapositive 27

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**D2**

Expérience interférométrique : dépendance de la phase de la fonction d'onde dans une cavité résonante. Oscillations de Fabry-Pérot.  
DRFMC; 26/03/2008

# Magnetic-field induced Modulation of interferences



- **Modification of band structure under  $\mathbf{B}_{\text{perp}}$  field :**

$$E_{\pm}(k, \nu_B) = \pm \frac{\hbar \nu_F}{I_0(2\nu_B^2)} |k - k_F|$$

- **Maximas of  $G$  each time the matching phase condition is recovered :**

$$\delta k(\nu_B)L = p\pi$$



## Diapositive 28

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**D4**

Mécanisme : pente relation dispersion diminue (structure de bande modifiée sous champ) et condition d'accord de phase modifiée.

Résultats cohérents qualitativement : fonction de Bessel retrouvée en fonction de l'ordre de la résonance. Pente des courbes à un ordre donné dB/dE bon signe et bon ordre de grandeur.

Oscillation aperiodiques pilotées par la fonction de Bessel.

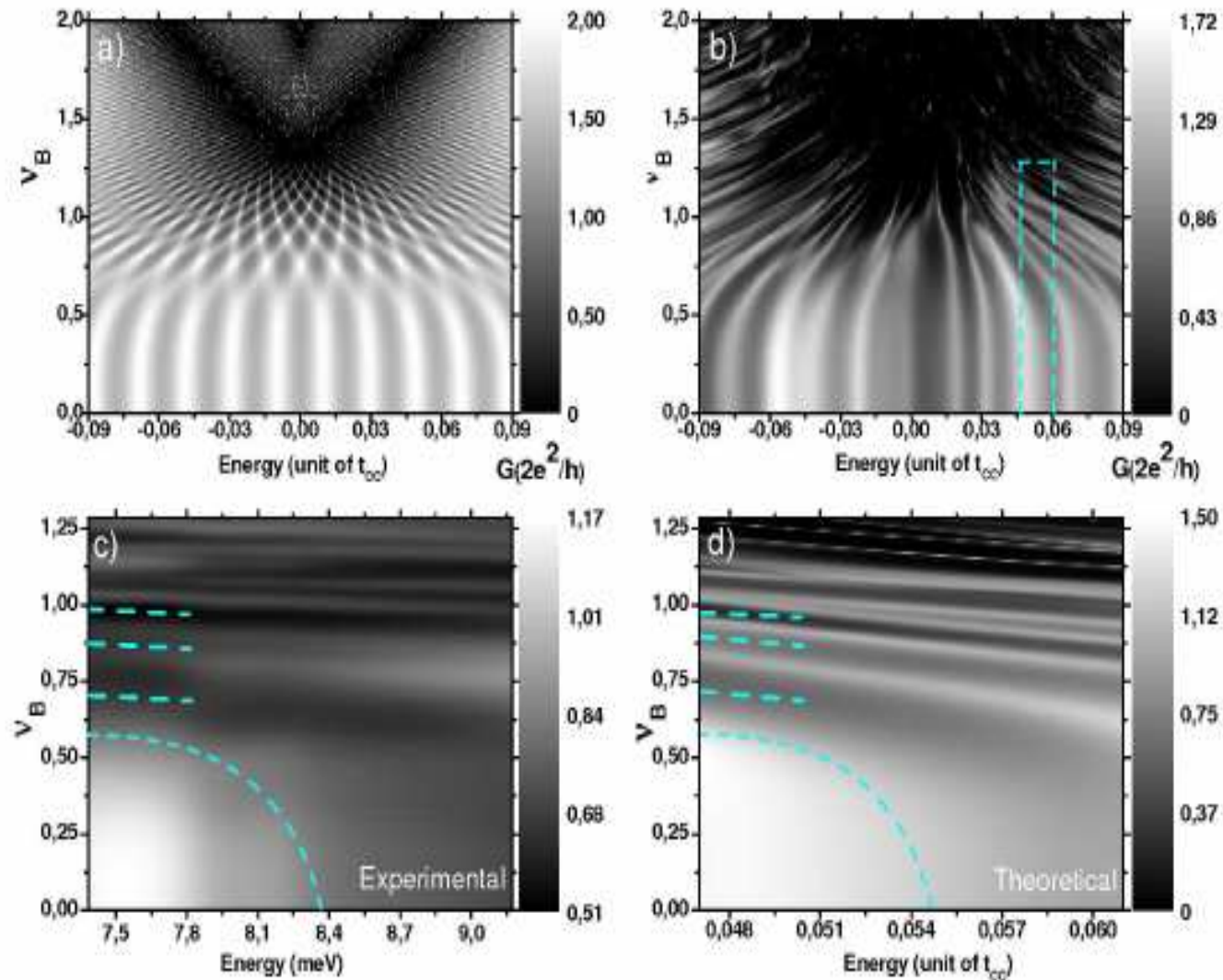
Vg entre max et min d'une résonance. Pente bas champ : effet de contact ?

DRFMC; 26/03/2008

# Signature of Landau level formation on conductance



With Disorder



B. Raquet, R. Avriller, B. Lassagne, S. Nanot, W. Escoffier, JM Broto, S.R.

**Phys. Rev. Lett. 101, 046803 (2008)**

# Acknowledgements

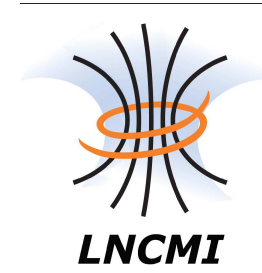
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## Coworkers

Theory : Rémi Avriller (UAM)  
David Jimenez (UAB)

## Experiments

Benjamin Lassagne, Sebastien  
Nanot, Bertrand Raquet



Georgy Fedorov



Alexander von Humboldt  
Stiftung/Foundation